

CITY OF NEWPORT BEACH

Annual Action Plan FY 2017-2018

Adopted - May 23, 2017

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Table of Contents

Executive Summary

AP-05 Executive Summary	2
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The Process

PR-05 Lead & Responsible Agencies	8
AP-10 Consultation	9
AP-12 Participation	20

2017-2018 Action Plan

AP-15 Expected Resources.....	29
AP-20 Annual Goals and Objectives.....	33
AP-35 Projects.....	36
AP-38 Project Summary Information.....	38
AP-50 Geographic Distribution	44
AP-55 Affordable Housing.....	45
AP-60 Public Housing	48
AP-65 Homeless and Other Special Needs Activities.....	50
AP-75 Barriers to affordable housing	53
AP-85 Other Actions.....	55
AP-90 Program Specific Requirements	58

Action Plan Appendices

Citizen Participation	Appendix A
Grantee Unique Appendices.....	Appendix B
Grantee SF-424s and Action Plan Certifications.....	Appendix C

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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The 2017-2018 Action Plan is the third of five annual plans implementing the 2015-2019 Consolidated Plan Strategic Plan goals for the investment of annual allocations of Community Development Block Grant (CDBG) funds from the U.S. Department of Housing and Urban Development (HUD). The Action Plan identifies available resources, annual goals, projects and activities for the period beginning July 1, 2017 and ending June 30, 2018.

The City receives CDBG funds from HUD on a formula basis each year, and in turn, awards grants to nonprofit, for-profit or public organizations for programs and projects in furtherance of the Strategic Plan. The CDBG program generally provide for a range of eligible activities for the benefit of low- and moderate-income Newport Beach residents, as discussed below.

Community Development Block Grant (CDBG)

The Housing and Community Development Act of 1974 created the CDBG Program with three primary objectives against which HUD evaluates the Consolidated Plan and the City's performance under the Plan. Those primary objectives are decent housing, suitable living environments, and expanded economic opportunities for low- and moderate-income persons. The CDBG regulations require that each activity meet one of the following national objectives:

- Benefit low- and moderate-income persons;
- Aid in the prevention or elimination of slums and blight; or
- Meet other community development needs having a particular urgency (usually the result of a natural disaster).

For the 2017-2018 program year, the City estimates receiving \$359,743 of CDBG funds from HUD. When this amount is combined with prior year unallocated funds and program income received, the City estimates that will be \$1,587,031 available for inclusion in the 2017-2018 Annual Action Plan.

The specific sources of funds taken into consideration for the 2017-2018 program year estimated budget are categorized in Table 1.

Table 1 – Estimated Sources of Funds

Source	Description	Amount
Estimated 2017-2018 Allocation	Estimated CDBG Allocation for 2017-2018	\$ 359,743
Unallocated Funds	Funds not used by activities implemented in prior years	\$ 5,335
Commercial Façade Improvements	Program Year 2015-2016 Canceled Project	\$ 125,320
West Newport Recreation Facility	Program Year 2016-2017 Canceled Project	\$ 100,831
Program Income	Net proceeds from the sale of the Balboa Theater – originally acquired with CDBG Funds	\$ 995,802
Total:		\$ 1,587,031

The CDBG program activities to be implemented from July 1, 2017 to June 30, 2018 include:

2017-2018 CDBG Public Service Activities

- Age Well Senior Services: Home Delivered Meals \$ 26,900
- Council on Aging Orange County \$ 7,000
- Families Forward Transitional Housing Program \$ 15,000
- Stand Up for Kids Orange County: Youth Street Outreach \$ 5,000

2017-2018 CDBG Capital Activities

- Section 108 Loan Repayment \$169,323
- Community Facilities and Infrastructure ADA Improvements \$1,291,860

2017-2018 Program Administration Activities

- CDBG Program Administration \$ 59,948
- Fair Housing Foundation: Fair Housing Services \$ 12,000

TOTAL ESTIMATED ACTIVITIES: \$1,587,031

2. Summary of the objectives and outcomes identified in the Plan

HUD's Community Planning and Development (CPD) Outcome Performance Measurement Framework classifies objectives in three categories: decent housing, a suitable living environment, and economic opportunity. The 2015-2019 Strategic Plan includes five high priority

needs to be addressed through the implementation of activities aligned with five Strategic Plan Goals.

The five high priority needs include:

- Ensure equal access to housing opportunities
- Provide public services for low-income residents
- Provide public services for residents with special needs
- Prevent and eliminate homelessness
- Improve public facilities and infrastructure

The following five goals are identified in the Strategic Plan:

- Fair Housing Services
- Public Services
- Special Needs Services
- Homelessness Prevention Services
- Public Facilities and Infrastructure Improvements

Table 2 - Strategic Plan Summary

	Goal Name	Category	Need(s) Addressed	5-Year Outcome Indicator	2017-2018 Outcome Indicator
1.	Fair Housing Services	Affordable Housing	Ensure equal access to housing opportunities	1,175 people	105 people
2.	Public Services	Non-Housing Community Development	Provide public services to low-income residents	700 people	127 people
3.	Special Needs Services	Non-Housing Community Development	Provide public services to low-income residents	50 people	0 people
4.	Homelessness Prevention Services	Homeless	Prevent and eliminate homelessness	800 people	215 people
5.	Public Facilities and Infrastructure Improvements	Non-Housing Community Development	Improve public facilities and infrastructure	8,595 people	8,595 people

3. Evaluation of past performance

The City is currently implementing the projects and activities included in the 2016-2017 Action Plan. As of this writing, all projects and activities are underway.

The investment of HUD resources during the 2015-2016 program year was a catalyst for positive change in the community. Together with other federal, state and local investments, HUD resources allowed the City and its partners to accomplish the following goals:

- Provide Fair Housing services to 145 residents;
- Provide Public Services to 109 residents;
- Provide Special Needs to 11 residents;
- Provide Homelessness Prevention and Assistance to 243 residents; and
- Make the scheduled debt service payments on the City's Section 108 Loan.

4. Summary of citizen participation process and consultation process

2015-2019 Citizen Participation Plan

Subsequent to the enactment of the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act of 2009, HUD revised the Consolidated Plan regulations at 24 CFR Part 91 to emphasize the importance of citizen participation and consultation in the development of the Consolidated Plan. HUD strengthened the consultation process with requirements for consultation with the CoC, Public Housing Authorities (PHA), business leaders, civic leaders and public or private agencies that address housing, health, social service, victim services, employment, or education needs of low-income individuals and families, homeless individuals and families, youth and/or other persons with special needs. Together with the analytic capabilities of the eCon Plan Suite, these requirements created the conditions necessary to implement a collaborative, data-driven and place-based planning process that includes a robust level of citizen participation and consultation.

The City adopted its current Citizen Participation Plan on May 12, 2015 that reflects regulatory changes and process improvements. In accordance with the City's adopted Citizen Participation Plan, the City facilitated citizen participation through surveys, community meetings and public hearings. Efforts were made to encourage participation by low- and moderate-income persons, particularly those living in areas and in areas where HUD funds are proposed to be used, and by residents of predominantly low- and moderate-income neighborhoods. The consultation process included representatives of the CoC, PHA, and other specified groups who completed surveys, provided local data and assisted the City to ensure practical coordination of strategies to maximize impact and to avoid duplication of effort.

2017-2018 Action Plan

In the development of the Action Plan, the City solicited applications from non-profit organizations and City Departments for fair housing, public services, community and economic development projects. The draft Action Plan was available for public review and comment from April 7, 2017 to May 9, 2017.

5. Summary of public comments

2017-2018 Action Plan

The City received [*to be inserted after CC meeting*] public comments during the Public Hearing meeting held on May 9, 2017:

- [*comments to be added after CC meeting*]
- [*comments to be added after CC meeting*]

Consolidated Plan

In the development of the Consolidated Plan, the City convened a community meeting to discuss the housing and community development needs in the community on February 26, 2015 at the Newport Beach Civic Center. Community residents and stakeholders attended the meeting and received a presentation on the importance of the Consolidated Plan, Action Plan and the Analysis of Impediments to Fair Housing Choice. Many questions were answered concerning each of the planning documents and participants commented on the extensive efforts made to reach out to the low- and moderate-income community through traditional methods such as newspaper advertisements and flyers distributed to affordable housing sites, senior community centers, libraries, and other public places as well as new technology-based methods such as the City's News Splash email newsletter and the city website.

A community meeting/hearing to discuss the housing and community development needs in Newport Beach was held on February 26, 2015 at the Community Room in the Newport Beach Civic Center. Participants and staff discussed the CDBG program and attendees were encouraged to complete the City's 2015-2019 Consolidated Plan Needs Assessment Survey.

A public hearing to receive comments on the draft 2015-2019 Consolidated Plan was held before the Newport Beach City Council on May 12, 2015. One public comment was received during the public hearing prior to the adoption of the Consolidated Plan:

- Jim Mosher, who summarized his understanding of the Consolidated Plan, Action Plan and Citizen Participation Plan and pointed out the importance of the Citizen Participation Plan and citizen involvement in the grants planning process.

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments and views received by the City in the development and approval of the Consolidated Plan and Action Plan were accepted and taken into consideration.

7. Summary

The 2017-2018 Action Plan addresses four of the five Strategic Plan Goals from the 2015-2019 Consolidated Plan by allocating \$1,587,031 of CDBG funds to projects and activities to be implemented from July 1, 2017 to June 30, 2018.

The total funds available for the 2017-2018 includes the annual allocation from HUD, available prior year resources and program income on hand.

The Process

PR-05 Lead & Responsible Agencies 24 CFR 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan AP

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Table 3 – Responsible Agencies

Agency Role	Name	Department/Agency
CDBG Administrator	Newport Beach	Community Development Department, Planning Division

Narrative

The Planning Division of the City of Newport Beach Community Development Department is the lead agency responsible for the administration of the CDBG program. The Planning Division contracted with LDM Associates, Inc. to prepare the 2015-2019 Consolidated Plan.

In the development of the Consolidated Plan, LDM Associates, Inc. developed and implemented a comprehensive citizen participation and consultation process and conducted a needs assessment and market analysis to identify levels of relative need regarding affordable housing, homelessness, special needs, and community development. This information was gathered through consultation with public officials and local agencies, public outreach and community meetings, review of demographic and economic data, and housing market analysis.

In the implementation of the 2015-2019 Consolidated Plan and each of the five Annual Action Plans, the Planning Division shall be responsible for all grants planning, management and monitoring duties necessary to comply with HUD regulations and City policy.

Consolidated Plan Public Contact Information

City of Newport Beach
James Campbell, Principal Planner
Community Development Department
100 Civic Center Drive
Newport Beach, CA 92660
(949) 644-3309

AP-10 Consultation - 91.100, 91.200(b), 91.215(l)

1. Introduction

The City of Newport Beach consulted with representatives from multiple agencies, groups, and organizations involved in the development of affordable housing, creation of job opportunities for low- and moderate-income residents, and/or provision of services to children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families, and homeless persons. To facilitate this consultation, the City solicited feedback through the following methods:

- Stakeholder surveys (web-based and paper-surveys)
- Individual stakeholder consultations
- Community meetings
- Public hearings
- Receipt of written comments

The input gathered from these consultation partners helped establish and inform the objectives and goals described in the current Strategic Plan. Specific comments received from consultation partners are included in the Attachments section of the 2015-2019 Consolidated Plan. In the development of the 2017-2018 Action Plan, the City provided each agency with an opportunity to comment on the draft Action Plan during the public review and comment period.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).

The City recognizes the importance of careful coordination and alignment among various service providers to maximize the effectiveness of the CDBG program. As a result, during the development of this Consolidated Plan, the City consulted closely with organizations that provide assisted housing, health services and other community-focused programs. Outreach efforts included surveys including specific questions associated with coordination, invitations to community meetings and follow-up in-person interviews where appropriate.

The City further recognizes the importance of continued coordination and alignment during the upcoming five-year planning period with these organizations and agencies. The City will continue strengthening relationships and alignment among these organizations.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness

The Continuum of Care (CoC) for Orange County guides the development of homeless strategies and the implementation of programs to end homelessness throughout the region. Orange County's homeless system of care is comprised of a network of public, private, faith-based, for-profit, and nonprofit service providers who utilize several federal, state and local resources to provide services for homeless people. The region's municipalities, including the City of Newport Beach, also provide resources for services that assist the homeless and those at risk of becoming homeless. The County's nonprofit community plays a key role in the Continuum of Care system. Hundreds of agencies throughout the County provide programs ranging from feeding the homeless on the street to creating permanent supportive housing opportunities. These services are available to homeless families with children, and single men and women. The County's nonprofit community also serves special needs populations, such as victims of domestic violence, veterans, the disabled and youth.

The CoC guides the development of homeless strategies and the implementation of programs to end homelessness throughout the region. The City provided a detailed questionnaire to the CoC to identify the CoC's perceived needs in the county and its objectives to address the needs of different homeless populations, specifically chronically homeless families and individuals, families with children, veterans, unaccompanied youth and persons at risk of homelessness. Following the delivery and response to this questionnaire, the City followed up with the CoC to clarify existing needs and objectives and understand opportunities for collaboration and coordination during the five-year planning process.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards and evaluate outcomes, and develop funding, policies and procedures for the administration of HMIS

In the development of the 2015-2019 Consolidated Plan, the City of Newport Beach consulted with 25 housing, social service and other entities involved in housing, community and economic development in Newport Beach and how CDBG funds and other resources should be invested to provide decent affordable housing, a suitable living environment and economic opportunities for low- and moderate-income residents. The Orange County Continuum of Care was consulted directly by telephone and e-mail to discuss performance standards, outcomes, and policies and procedures for HMIS.

The Orange County CoC established a collaborative implementation and management with the three CoCs located in Los Angeles County (City of Pasadena, City of Glendale, and Los Angeles County). The Collaborative developed and maintains a Policies and Procedures guide for the use of the HMIS system. Additionally, HMIS system administrators provide training as needed to HMIS users and provide troubleshooting assistance on an “as needed” basis.

Table 4 provides a listing of the entities consulted as part of this planning process.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdictions consultations with housing, social service agencies and other entities

Table 4 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	Orange County Housing Authority
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
2	Agency/Group/Organization	Oasis Senior Center
	Agency/Group/Organization Type	Services-Elderly Persons Other government - Local
	What section of the Plan was addressed by Consultation?	Public Services AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
3	Agency/Group/Organization	Regional Center of Orange County
	Agency/Group/Organization Type	Services-Persons with Disabilities Other government - Local
	What section of the Plan was addressed by Consultation?	Public Services AP 2017-2018

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
4	Agency/Group/Organization	Aids Services Foundation of Orange County
	Agency/Group/Organization Type	Services-Persons with HIV/AIDS
	What section of the Plan was addressed by Consultation?	Public Services AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
5	Agency/Group/Organization	New Horizon Shelters
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
6	Agency/Group/Organization	211 Orange County
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth AP 2017-2018

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
7	Agency/Group/Organization	Newport Beach Unified School District
	Agency/Group/Organization Type	Services-Education Other government - Local
	What section of the Plan was addressed by Consultation?	Educational Services AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
8	Agency/Group/Organization	Fair Housing Foundation
	Agency/Group/Organization Type	Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Public Services AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and in person
9	Agency/Group/Organization	OC Health Care Agency
	Agency/Group/Organization Type	Health Agency Other government - Local
	What section of the Plan was addressed by Consultation?	Public Services AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
10	Agency/Group/Organization	Newport Beach Chamber of Commerce
	Agency/Group/Organization Type	Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Economic Development AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.

11	Agency/Group/Organization	Irvine Company
	Agency/Group/Organization Type	Housing Business Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
12	Agency/Group/Organization	Vocational Visions
	Agency/Group/Organization Type	Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Public Services AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
13	Agency/Group/Organization	Women Helping Women
	Agency/Group/Organization Type	Services-Victims of Domestic Violence Services-Employment
	What section of the Plan was addressed by Consultation?	Public Services AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
14	Agency/Group/Organization	Mariposa Women and Family Services
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Public Services AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
15	Agency/Group/Organization	Age Well Senior Services
	Agency/Group/Organization Type	Services-Elderly Persons Services-Persons with Disabilities

	What section of the Plan was addressed by Consultation?	Public Services AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and in person
16	Agency/Group/Organization	Families Forward
	Agency/Group/Organization Type	Services-Victims of Domestic Violence Services-homeless Services-Education Services-Employment
	What section of the Plan was addressed by Consultation?	Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Public Services AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and in person
17	Agency/Group/Organization	Human Options, Inc.
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Employment
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Public Services AP 2017-2018

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and in person
18	Agency/Group/Organization	Serving People in Need, Inc. (SPIN)
	Agency/Group/Organization Type	Services - Housing Services-Victims of Domestic Violence Services-homeless
	What section of the Plan was addressed by Consultation?	Public Services AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and in person
19	Agency/Group/Organization	Youth Employment Services of The Harbor Area
	Agency/Group/Organization Type	Services-Education Services-Employment
	What section of the Plan was addressed by Consultation?	Public Services AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and in person
20	Agency/Group/Organization	Rebuilding Together Orange County
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
21	Agency/Group/Organization	Mercy House Transitional Living Centers
	Agency/Group/Organization Type	Services-Persons with HIV/AIDS Services-homeless

	What section of the Plan was addressed by Consultation?	Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
22	Agency/Group/Organization	Orange Coast Interfaith Shelter
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
23	Agency/Group/Organization	Wise Place
	Agency/Group/Organization Type	Services-Victims of Domestic Violence
	What section of the Plan was addressed by Consultation?	Public Services AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
24	Agency/Group/Organization	Seaview Lutheran Plaza
	Agency/Group/Organization Type	Housing

	What section of the Plan was addressed by Consultation?	Housing Needs AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.
25	Agency/Group/Organization	Habitat for Humanity of Orange County, Inc.
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment AP 2017-2018
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey, e-mail, and mail.

Identify any Agency Types not consulted and provide rationale for not consulting

The City maintains comprehensive list of local agencies, organizations and other stakeholders and invited representatives from each entity to participate at multiple points in the planning process. If an agency did not attend to the meeting or participate in surveys, it was done so by the agency's choice.

If an agency or organization was not consulted and would like to be included in the City's list of stakeholders, the agency or organization may contact the Community Development Department, Planning Division at (949) 644-3200.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Table 5 – Other local / regional / federal planning efforts

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	The Commission to End Homelessness	Strategic Plan goals are consistent with Ten Year Plan
2014-2021 Housing Element	City of Newport Beach	Strategic Plan goals are consistent with Housing Element policies and goals

AP-12 Citizen Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The City established and followed a process for the development of the five-year Consolidated Plan that included broad participation from the community. To assist in the identification of priority needs in the City, the 2015-2019 Consolidated Plan Needs Assessment Survey was prepared and distributed to residents of the City to solicit resident input in the prioritization of needs related to community services, community facilities, infrastructure, neighborhood services, special needs services, businesses and jobs, and housing. The surveys were available online and also were made available at various public facilities.

A community meeting to discuss the housing and community development needs in the community was held on February 26, 2015. The public hearing took place on May 12, 2015 to receive comments on the draft 2015-2019 Consolidated Plan.

At each step in the process, the City was careful to ensure that low- and moderate-income residents, members of minority groups, agencies involved in the provision of services to these populations, and others who are directly impacted by the programs and activities supported by the Consolidated Plan programs had the opportunity to be actively involved.

In the preparation of the 2015-2019 Consolidated Plan, the City followed the process established for citizen participation set forth in the Citizen Participation Plan. To promote greater public accessibility to program documents, the Citizen Participation Plan, Consolidated Plan, Action Plans, CAPERs and the Analysis of Impediments to Fair Housing Choice are posted on the City website at:

<https://www.newportbeachca.gov/index.aspx?page=1871>

In the preparation of the 2017-2018 Action Plan, the City made the draft Action Plan available for public review and comment from April 7, 2017 to May 9, 2017. Residents were invited to review the draft Action Plan and to attend the public hearing or submit written comments concerning the projects and activities in the Action Plan.

Citizen Participation Outreach

Table 6 – Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response / attendance	Summary of Comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Newspaper Ad	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted / broad community</p> <p>Residents of Public and Assisted Housing</p>	Newspaper ad published February 10, 2015 in the Daily Pilot newspaper announcing the Community Meeting to receive input on the preparation of the City's 2015-2019 Consolidated Plan and the 2015-2016 Action Plan.	Not applicable.	Not applicable.	https://www.newportbeachca.gov/index.aspx?page=1364

Sort Order	Mode of Outreach	Target of Outreach	Summary of response / attendance	Summary of Comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Public Meeting	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted / broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>Publicly-noticed Community Meeting on February 26, 2015 at 6:30 p.m. at Newport Beach Civic Center Community Room.</p> <p>Two residents attended this meeting.</p>	<p>No comments were received.</p> <p>Participants discussed the CDBG program including eligible activities.</p> <p>Participants were encouraged to complete the Consolidated Plan Community Survey.</p>	No comments were received.	https://www.newportbeachca.gov/index.aspx?page=1364

Sort Order	Mode of Outreach	Target of Outreach	Summary of response / attendance	Summary of Comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Internet Outreach	Non-targeted / broad community	The 2015-2019 Consolidated Plan Community Survey was available online from January, 2015 to March, 2015. The City advised residents of the availability of the survey via email to stakeholders, posting on the City website and during the Community Meetings. The purpose of the survey was to allow all residents the opportunity to provide their assessment of the level of need in Newport Beach for a variety of housing, community and economic development activities.	57 Newport Beach residents completed the survey.	All comments were accepted.	https://www.newportbeachca.gov/index.aspx?page=1364

Sort Order	Mode of Outreach	Target of Outreach	Summary of response / attendance	Summary of Comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Newspaper Ad	Non-targeted / broad community	Newspaper ad published on April 10, 2015 in the Daily Pilot newspaper announcing the availability of the draft 2015-2019 Consolidated Plan, and draft 2015-2016 Annual Action Plan for a 30-day public review and comment period to include a public hearing before the Newport Beach City Council on May 12, 2015.	Not applicable.	Not applicable.	https://www.newportbeachca.gov/index.aspx?page=1364

Sort Order	Mode of Outreach	Target of Outreach	Summary of response / attendance	Summary of Comments received	Summary of comments not accepted and reasons	URL (If applicable)
5	Public Hearing	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	Public hearing before the Newport Beach City Council on May 12, 2015 to receive comments on the draft 2015-2019 Consolidated Plan and draft 2015-2016 Annual Action Plan prior to adoption and submission to HUD.	One public comment was received from Jim Mosher, who summarized his understanding of the Consolidated Plan, Action Plan and Citizen Participation Plan and pointed out the importance of the Citizen Participation Plan and citizen involvement in the grants planning process.	All comments were accepted.	Not applicable.

Sort Order	Mode of Outreach	Target of Outreach	Summary of response / attendance	Summary of Comments received	Summary of comments not accepted and reasons	URL (If applicable)
6	Newspaper Ad	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted / broad community</p> <p>Residents of Public and Assisted Housing</p>	Newspaper ad published April 8, 2016 in the Daily Pilot newspaper announcing the availability of the draft 2016-2017 Annual Action Plan for a 30-day public review and comment period to include a public hearing before the Newport Beach City Council on May 10, 2016.	Not applicable.	Not applicable.	https://www.newportbeachca.gov/index.aspx?page=1364

Sort Order	Mode of Outreach	Target of Outreach	Summary of response / attendance	Summary of Comments received	Summary of comments not accepted and reasons	URL (If applicable)
7	Public Hearing	Minorities Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing	Public hearing before the Newport Beach City Council on May 10, 2016 to receive comments on the draft 2016-2017 Annual Action Plan prior to adoption and submission to HUD.	Two public comments were received. Refer to Summary of Public Comments in AP-05 Executive Summary.	All comments were accepted.	Not applicable.
8	Newspaper Ad	Minorities Persons with disabilities Non-targeted / broad community Residents of Public and Assisted Housing	Newspaper ad published April 7, 2017 in the Daily Pilot newspaper announcing the availability of the draft 2017-2018 Annual Action Plan for a 30-day public review and comment period to include a public hearing before the Newport Beach City Council on May 9, 2017.	Not applicable.	Not applicable.	https://www.newportbeachca.gov/index.aspx?page=1364

Sort Order	Mode of Outreach	Target of Outreach	Summary of response / attendance	Summary of Comments received	Summary of comments not accepted and reasons	URL (If applicable)
9	Public Hearing	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	Public hearing before the Newport Beach City Council on May 9, 2017 to receive comments on the draft 2017-2018 Annual Action Plan prior to adoption and submission to HUD.	<i>[comments to be added after CC meeting]</i> . Refer to Summary of Public Comments in AP-05 Executive Summary.	All comments were accepted.	Not applicable.

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

The projects and activities included in the 2017-2018 Action Plan are based on resources that are reasonably anticipated to be available to the City from federal, state, local and private sources from July 1, 2017 through June 30, 2018. The \$995,085 of program income is the net proceeds available from the sale of the Balboa Theater in 2016. The \$231,486 of prior year resources is as a result of 1) cancellation of the 2015-2016 Commercial Façade Improvements Activity; 2) cancellation of the 2016-2017 West Newport Recreation Facility Activity; and 3) unexpended funds from other completed CDBG activities. The actual resources available to support activities during the implementation of the remainder of the 2015-2019 Consolidated Plan may vary significantly due to factors outside of the City's control. For example, HUD formula grant allocations are subject to change each year based on a number of factors such as the amount of the national appropriation, changes in ACS population data applied to the CPD grant formulas, statutory changes to the CPD grant formulas, the addition or removal of entitlements receiving a particular CPD grant and the availability of reallocated funds. Additionally, state, local and private resources will vary significantly depending on economic conditions.

Anticipated Resources

Table 7 - Expected Resources – Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of Con Plan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	359,743	995,802	231,486	1,587,031	719,486	Based on level funding from HUD in subsequent years

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City and its development partners will continue to seek new opportunities to leverage federal funds, such as the Low-Income Tax Credit program and U.S. Department of Housing and Urban Development Section 202 and 811 for the Elderly Program. Depending on the financing structure of a given activity, the City may use CDBG funds to leverage appropriate state, local and private resources, including but not limited to those listed below.

State Resources

- State Low-Income Housing Tax Credit Program
- Building Equity and Growth in Neighborhoods Program (BEGIN)
- CalHome Program
- Multifamily Housing Program (MHP)
- Housing Related Parks Grant
- CalHFA Single and Multi-Family Program
- Mental Health Service Act (MHSA) Funding

Local Resources

- Orange County Housing & Finance Agency (OCHFA) Funding
- Southern California Home Financing Authority (SCHFA) Funding
- Orange County Continuum of Care Program
- Orange County Housing Authority (OCHA) Programs

Private Resources

- Federal Home Loan Bank Affordable Housing Program (AHP)
- Community Reinvestment Act Programs
- United Way Funding
- Private Contributions

Matching Requirements

The City of Newport Beach does not receive HOME funds as part of its entitlement allocation. The amount of matching is therefore; not applicable for the 2015-2019 Consolidated Plan.

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The City does not currently own any publicly owned land or property that can be used to address the needs of the Consolidated Plan.

Discussion

Assuming continued level funding of the CDBG programs, the City expects to utilize approximately \$2.9 million of CDBG funds and program income during the five-year period beginning July 1, 2015 and ending June 30, 2020 to achieve the goals of the Strategic Plan.

The City does not anticipate receiving any new program income funds during the remainder of the five-year period, based on past and current projects and activities.

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Table 8 – Goals Summary

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding FY 2017-2018	Goal Outcome Indicator FY 2017-2018
1	Fair Housing Services	2015	2019	Affordable Housing	Citywide	Ensure equal access to housing opportunities	CDBG: \$12,000 Fair Housing Foundation	Other: 105 Other
2	Public Services	2015	2019	Non-Housing Community Development	Citywide	Provide public services for low-income residents	CDBG: \$33,900 Age Well & Council on Aging	Public service activities other than Low/Moderate Income Housing Benefit: 127 Persons
3	Homelessness Prevention Services	2015	2019	Homeless	Citywide	Prevent and eliminate homelessness	CDBG: \$20,000 Families Forward & StandUp for Kids	Homelessness Prevention: 215 persons
4	Public Facilities / Infrastructure Improvements and Section 108 Debt Service	2015	2019	Non-Housing Community Development	Citywide	Improve public facilities and infrastructure	CDBG: \$1,461,183 (includes prior year funds and PI \$1,227,288)	Other: Section 108 Debt Service & Public Facility/infrastructure: 8,595 Persons

Goal Descriptions

Table 9 – Goal Descriptions

1	Goal Name	Fair Housing Services
	Goal Description	Affirmatively further fair housing choice through the provision of fair housing education, counseling, anti-discrimination and landlord-tenant mediation services.
2	Goal Name	Public Services
	Goal Description	Provide public services for low- and moderate-income residents including senior citizens, families and youth including but not limited to those concerned with food, essential services, transportation, health, recreation and employment.
3	Goal Name	Homelessness Prevention Services
	Goal Description	Support a continuum of services in Orange County to prevent and eliminate homelessness including, but not limited to, homelessness prevention programs, emergency shelter programs and transitional housing.
4	Goal Name	Public Facilities/Infrastructure Improvements and Section 108 Debt Service
	Goal Description	Provide for debt service on the Section 108 Loan previously used to fund public improvements as part of the Balboa Village Pedestrian and Streetscape Improvement to Balboa Boulevard, Pier Parking Lot, Pier Plaza and Lot A connecting access to Main Street and provide funds for a public facility/infrastructure improvement.

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b)

During the 2017-2018 Action Plan period, the City does not anticipate creating any affordable housing units that will meet the HOME Investment Partnerships (HOME) program definitions of affordable housing found at 24 CFR 92.252 for rental and 24 CFR 92.254 for homeownership.

Any new affordable housing that is made available to Newport Beach residents will be as a result of other local, state or federal investment or as part of a density bonus agreement.

AP-35 Projects – 91.220(d)

Introduction

To address the high priority needs identified in the Strategic Plan to the 2015-2019 Consolidated Plan, the City of Newport Beach will invest CDBG funds in projects that provide fair housing services, provide services to low- and moderate-income residents including youth and seniors, provide services to residents with special needs, prevent homelessness and strengthen economic opportunities. Together, these projects will address the needs of low- and moderate-income Newport Beach residents.

The Fair Housing Services project will provide fair housing assistance to an estimated 105 moderate-income people, the Public Services project will provide assistance to an estimated 127 presumed low-income elderly people, and the Homelessness Prevention projects will provide supportive services to an estimated 215 presumed extremely-low income homeless people.

Projects

Table 10 – Project Information

#	Project Name
1	CDBG Administration
2	Fair Housing Services
3	Public Services
4	Homelessness Prevention Services
5	Section 108 Loan Repayment
6	Public Facility/Infrastructure Improvements

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Based on the Strategic Plan, the City is allocating 100 percent of its non-administrative CDBG funds for program year 2017-2018 to projects and activities that benefit low- and moderate-income people throughout the City.

The primary obstacles to meeting the underserved needs of low- and moderate-income people include lack of funding from federal, state and other local sources and the high cost of housing that is not affordable to low-income residents. To address these obstacles, the City is investing CDBG funds through the 2017-2018 Action Plan in projects that provide public services to low-

and moderate-income people and those with special needs and projects that prevent homelessness and strengthen economic opportunities for the low- and moderate-income residents of Newport Beach.

AP-38 Project Summary

Project Summary Information

Table 11 – Project Summary

1	Project Name	CDBG ADMINISTRATION
	Target Area	Citywide
	Goals Supported	All
	Needs Addressed	All
	Funding	CDBG: \$59,948
	Description	Administration services of the CDBG Program
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	Not applicable.
	Location Description	100 Civic Center Drive, Newport Beach, California
	Planned Activities	Administration of the CDBG Program

2	Project Name	FAIR HOUSING SERVICES
	Target Area	Citywide
	Goals Supported	Fair Housing Services
	Needs Addressed	Ensure equal access to housing opportunities
	Funding	CDBG: \$12,000
	Description	Affirmatively further fair housing choice through the provision of fair housing education, counseling, anti-discrimination and landlord-tenant mediation services.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 105 people will benefit from the proposed activity.
	Location Description	Citywide.
	Planned Activities	Fair Housing Foundation: Fair Housing Services (105 people) \$12,000

3	Project Name	PUBLIC SERVICES
	Target Area	Citywide
	Goals Supported	Public Services
	Needs Addressed	Provide public services for low-income residents
	Funding	CDBG: \$33,900
	Description	Provide public services for low- and moderate-income residents including senior citizens, families and youth including but not limited to those concerned with food, essential services, transportation, health, recreation and employment.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 127 people will benefit from the proposed activities.
	Location Description	Citywide.
	Planned Activities	Age Well Senior Services: Home Delivered Meals (92 people) \$26,900 Council of Aging of Orange County (35 people) \$7,000

4	Project Name	HOMELESSNESS PREVENTION SERVICES
	Target Area	Citywide
	Goals Supported	Homelessness Prevention Services
	Needs Addressed	Prevent and eliminate homelessness
	Funding	CDBG: \$20,000
	Description	Support a continuum of services in Orange County to prevent and eliminate homelessness including, but not limited to, homelessness prevention programs, emergency shelter programs and transitional housing.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 215 people will benefit from the proposed activities.
	Location Description	Citywide.
	Planned Activities	Families Forward: Housing Program (200 people) \$15,000 StandUp for Kids: Kids Street Outreach Program (15 people) \$5,000

5	Project Name	SECTION 108 LOAN REPAYMENT
	Target Area	Citywide
	Goals Supported	Section 108 Debt Service (Public Facilities)
	Needs Addressed	Improve public facilities and infrastructure and economic opportunity
	Funding	CDBG: \$169,323
	Description	Section 108 Loan Repayment
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	Not applicable.
	Location Description	Not applicable.
	Planned Activities	Section 108 Loan Repayment

6	Project Name	PUBLIC FACILITY IMPROVEMENTS
	Target Area	Citywide
	Goals Supported	Public Facility and Infrastructure Improvements
	Needs Addressed	Improve public facilities and Infrastructure
	Funding	CDBG: \$1,291,860
	Description	Provide for Public Facility and Infrastructure ADA Improvements for the benefit of low- and moderate-income residents.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 8,595 people will benefit from the proposed activity.
	Location Description	To Be Determined, Newport Beach, CA (Citywide)
	Planned Activities	Community Facilities and Infrastructure ADA Improvements (8,595 people) \$1,291,860

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Based on evaluation of CPD Maps data, there are no areas within the City exhibiting a greater level of need for affordable housing or services for low- and moderate-income residents and there were no areas of the City containing minority concentrations; therefore, no geographic priorities were established within the Consolidated Plan and allocation priorities will be driven by citywide need. All CDBG funds will be directed toward activities benefitting low- and moderate-income residents citywide.

The only exception to this approach would be for CDBG projects that are qualified on a low- and moderate-income area basis where a specific proportion of the residents within the service area must be low- and moderate-income residents, such as the new community public facility and community infrastructure.

Geographic Distribution

Table 12 - Geographic Distribution

Target Area	Percentage of Funds
Citywide	100%

Rationale for the priorities for allocating investments geographically

For the 2017-2018 program year, the City's investments in Fair Housing Services, Public Services, and Homelessness Prevention Services projects are based on a citywide geography because individuals in need of these services may live anywhere within the jurisdiction—particularly services for seniors with needed assistance and youths that are homeless or at-risk to become homeless.

Discussion

Based on the Strategic Plan, the City is allocating 100 percent of its non-administrative CDBG funds for program year 2017-2018 to projects and activities that benefit low- and moderate-income people.

AP-55 Affordable Housing – 91.220(g)

Introduction

As stated in the Consolidated Plan, the City of Newport Beach will continue its efforts to leverage other funding sources such as the Affordable Housing Trust Fund to address the local housing needs identified below.

Expand the Supply of Affordable Housing

Based on evaluation of 2007-2011 ACS and CHAS data, there is a need for additional rental housing units affordable for households earning less than 50 percent of AMI. Of the households earning 0-80 percent of AMI, 7,790 are cost burdened households — meaning households paying more than 30 percent of their income for housing. Additionally, 5,805 of the cost burdened households are considered severely cost burdened households — meaning that they pay more than 50 percent of their income for housing. Of the 5,805 severely cost burdened households, 3,580 are renters. Of those severely cost burdened renter households, 2,945 households earn less than 50 percent of AMI and are considered the most at risk of becoming homeless. The City will continue to use its Affordable Housing Trust Fund and other available options under the State Density Bonus law to create additional affordable housing. In February 2013, the City approved the Uptown Newport Planned Community consisting of 1,244 units that included the requirement to construct affordable housing pursuant to State Density Bonus Law. In April 2017, the City issued building permit for the first 462 units that include 92 units for very-low income households. The California Statewide Communities Development Authority (CSCDA) has issued \$161,620,000 in tax-exempt and taxable multifamily affordable housing bonds that will ensure the affordability for 55 years. The project construction will take approximately 2 years.

Preserve the Supply of Affordable Housing

As the City's housing stock ages, a growing percentage of housing units may need rehabilitation to allow them to remain safe and habitable. The situation is of particular concern for low- and moderate-income homeowners who are generally not in a financial position to properly maintain their homes.

The age and condition of Newport Beach's housing stock is an important indicator of potential rehabilitation needs. Commonly, housing over 15 years of age will exhibit deficiencies in terms of paint, weatherization, heating / air-conditioning systems, hot water heaters and finish plumbing fixtures. Housing over 30 years of age will typically some form of major rehabilitation, such as roof replacement, foundation work and plumbing systems.

According to CHAS data showing the year that housing units were built categorized by owner and renter tenure:

- 5,363 or 26 percent of the 20,950 owner-occupied housing units built between 15 and 34 years ago (built between 1980 and 1999).
- 12,619 or 61 percent of the 20,950 owner-occupied housing units in Newport Beach were built 34 or more years ago (built prior to 1980).
- 3,934 or 23 percent of the 17,098 renter-occupied housing units in Newport Beach were built between 15 and 34 years ago (built between 1980 and 1999).
- 11,655 or 68 percent of the 17,098 renter-occupied housing units in Newport Beach were built 34 or more years ago (built prior to 1980).

Preservation of the physical and functional integrity of existing housing units occupied by low- and moderate-income households is a cost-effective way to invest limited resources to retain existing housing units that are already affordable to low- and moderate-income households in the community. Addressing substandard housing conditions through housing preservation activities ensures that all economic segments of the community have the opportunity to live in decent housing that meets local standards.

Table 13 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households to be Supported	
Homeless	165
Non-Homeless	0
Special-Needs	10
Total	175

Table 14 - One Year Goals for Affordable Housing by Support Type

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	10
Acquisition of Existing Units	0
Total	0

Discussion

In 2016, the City created a Senior Housing Assistance Repair Program (SHARP) in collaboration with Habitat for Humanity Orange County (Habitat OC) granting up to \$600,000 for critical home repair for lower-income seniors. Program funding is from the City's Affordable Housing Fund. The first project is complete and repairs were made to the siding, roof, paint, chimney, faucets, outlets, smoke and carbon monoxide detectors. Five additional units are under consideration and it is anticipated that the City may complete approximately 10 additional projects in the coming program year.

The City of Newport Beach is registered as a Qualified Preservation Entity with the California Department of Housing and Community Development (HCD). When notification is received of the expiration of a prior affordable housing agreement, City staff evaluates the potential use of funds to preserve the affordable units.

AP-60 Public Housing – 91.220(h)

Introduction

The Newport Beach Housing Authority does not administer Section 8 and does not own HUD Public Housing. Newport Beach is within the service area of the Orange County Housing Authority (OCHA) for the purposes of Section 8 and Public Housing. As of 2016, OCHA administered a total of 9,973 housing vouchers throughout the County. Of these, 115 were issued to Newport Beach residents. Families received 34 housing vouchers, 23 housing vouchers were issued to Newport Beach's disabled population, and 58 housing vouchers were issued to elderly households. OCHA monitors all units to ensure they are in adequate condition, meeting the Section 8 Housing Quality Standards (HQS).

Actions planned during the next year to address the needs to public housing

OCHA's stated goals include:

- Apply for additional housing assistance funding and programs that may become available.
- Explore the use of Project-Based Housing Choice Vouchers or other housing funds to promote the construction or acquisition activities that will result in additional units or developments that will serve special needs populations.
- Ensure consistent quality of assisted housing services by maintaining high performer status in Section Eight Management Assessment Program (SEMAP) scores.
- Promote Family Self-Sufficiency incentives and homeownership opportunities for Housing Choice Voucher participants in partnership with local programs and related service providers.
- Expand assisted housing choices by conducting outreach efforts to increase the number of property owners and their participation in housing assistance programs.
- Identify and utilize technology to enhance operational effectiveness and efficiency in delivery of housing assistance services

Actions to encourage public housing residents to become more involved in management and participate in homeownership

OCHA supports resident councils and actively seeks input from PHA residents on the management and implementation of OCHA policies and procedures.

Housing prices in Orange County are so high that homeownership opportunities are limited for OCHA residents. On a limited basis, OCHA has partnered with Habitat for Humanity to provide

two affordable homeownership units, but these types of efforts are limited.

OCHA also manages a Housing Choice Voucher Homeownership Program that it markets to all OCHA program tenants.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

Not applicable. OCHA is designated as a High Performing PHA.

Discussion

Newport Beach continues to support OCHA in effective administration of its limited affordable housing resources. The City also continues to work with OCHA to include the residents with Section 8 Housing Choice Vouchers in the federally-funded programs administered by the City, including any homeownership programs.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The City will invest CDBG funds during the 2017-2018 program year to address high priority needs identified in the 2015-2019 Consolidated Plan including preventing homelessness and providing public services to homeless populations.

Homelessness Prevention Services

According to the results of the most recent data available from the bi-annual Point-In-Time Homeless Count (PIT Count) held on January 24, 2015, on any given night in Orange County, approximately 4,450 people are homeless, and over a course of a year, more than 15,291 people are expected to experience homelessness. To address incidences of homelessness in Newport Beach and to prevent extremely-low income Newport Beach families from becoming homeless, the City places a high priority on programs that work to prevent homelessness or rapidly assist homeless individuals living in the community. To address this need, the City will support CDBG public service activities that help prevent homelessness and that provide a structured path to stable housing for individuals and families who become homeless.

Services for Residents with Special Needs

Analysis of available data and consultation with organizations providing services for special needs populations revealed a high need for a range of additional services including, but not limited to, those concerned with domestic violence, human trafficking, mental disabilities, physical disabilities, developmental disabilities, substance abuse/alcoholism and HIV/AIDS.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

To reach out to unsheltered homeless persons and assess their individual needs for the purpose of connecting them with available emergency shelter and transitional housing resources, the City of Newport Beach, through its Planning Division will provide information and referrals — primarily to 2-1-1 Orange County. Additionally, to reduce and end homelessness, the City of Newport Beach will provide CDBG public service funds to the following activities:

- Families Forward: Housing Program (200 people)
- Stand Up for Kids Orange County: Youth Street Outreach Program (15 people)

Addressing the emergency shelter and transitional housing needs of homeless persons

To address the emergency shelter and transitional housing needs of homeless persons, the City supports the Families Forward Housing Program that provides transitional housing, counseling and case management to families at risk of homelessness. Each year, Families Forward serves approximately 200 unduplicated people.

Additionally, the City supports Stand Up for Kids, an organization providing services by reaching out teens and youths (ages 12-24) who are homeless, at-risk, aging-out foster youth, and runaways. The organization provides for necessities (food, hygiene products and clothing), bus passes, emergency housing and medical expenses not covered by Medi-Cal (co-payments for Medi-Cal and dental exams, prescriptions, mental health, and substance abuse treatments). The organization will serve approximately 15 unduplicated people.

Other organizations in the Continuum of Care (CoC) addressing the emergency shelter and transitional housing needs of homeless persons include Orange Coast Interfaith Shelter, Orange County Rescue Mission and WISE Place.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

To address the needs of homeless families, families with children, veterans and their families, the City supports the Families Forward Housing Program, which provides transitional housing, counseling and case management to families at risk of homelessness. Each year, Families Forward serves approximately 200 unduplicated people through its transitional housing program. When paired with financial counseling, career coaching and other available case management services, Families Forward makes certain that families are ready to succeed in their transition to permanent housing.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The Orange County CoC Ten Year Plan to End Homelessness included a goal to establish countywide protocols and procedures to prevent people from being discharged from public and private institutions of care into homelessness that will help decrease the number of persons being discharged into homelessness. The CoC is seeking improve coordination among publicly and privately funded institutions of care and local service agencies in the County of Orange in order to decrease the number of persons being discharged into homelessness annually.

Discussion

The City of Newport Beach considers ending and preventing homelessness a high priority and will support CDBG public service activities that help prevent homelessness and that provide a structured path to stable housing for individuals and families who become homeless.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

A barrier to affordable housing is a public policy or nongovernmental condition that constrains the development or rehabilitation of affordable housing, such as land use controls, property taxes, state prevailing wage requirements, environmental protection, cost of land and availability of monetary resources. Barriers to affordable housing are distinguished from impediments to fair housing choice in the sense that barriers are lawful and impediments to fair housing choice are usually unlawful.

Based on information gathered during community meetings, the Consolidated Plan Needs Assessment Survey, the 2014-2021 Housing Element and market analysis, the primary barriers to affordable housing in Newport Beach are housing affordability and the lack of monetary resources necessary to develop and sustain affordable housing. The two barriers are related in the sense that demand for affordable housing exceeds the supply and insufficient resources are available to increase the supply of affordable housing to meet demand.

For low- and moderate-income households, finding and maintaining decent affordable housing is difficult due to the high cost of housing in Newport Beach and throughout the region in general. Over 20 percent of the City's 38,048 households experience a cost burden or severe cost burden, meaning that they pay more than 30 or 50 percent of their income for housing, respectively. Consistent with available data, responses to the 2015-2019 Consolidated Plan Needs Assessment Survey indicate a high need for additional affordable housing in Newport Beach.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

In the development of the 2014-2021 Housing Element, the City evaluated significant public policies affecting affordable housing development such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges and growth limitations. Based on this evaluation, the City determined that it has taken all appropriate and necessary steps to ameliorate the negative effects of public policies that may have been a barrier to affordable housing. Moreover, the City will continue to use its Affordable Housing Trust Fund to fund future affordable housing projects.

Discussion:

To address housing affordability and the lack of monetary resources for affordable housing, the City will invest Affordable Housing Trust Fund resources to incentivize the development of affordable housing during the five-year period of the Consolidated Plan. In addition, the City will continue to work with the County and refer low- and moderate income residents for participation in their Housing Rehabilitation Program.

AP-85 Other Actions – 91.220(k)

Introduction:

In the implementation of the 2017-2018 Annual Action Plan, the City will invest CDBG resources to address obstacles to meeting underserved needs, reduce the number of poverty-level families, develop institutional structure and enhance coordination between public and private social service agencies.

Actions planned to address obstacles to meeting underserved needs

The primary obstacles to meeting the underserved needs of low- and moderate-income people include lack of funding from federal, state and other local sources, and the high cost of housing that is not affordable to low-income people. To address these obstacles, the City is investing CDBG funds through the 2017-2018 Action Plan in projects that provide assistance to those with special needs, projects that prevent homelessness for low- and moderate-income residents. To address underserved needs, the City is allocating 100 percent of its non-administrative CDBG investments for program year 2017-2018 to projects and activities that benefit low- and moderate-income people or people presumed under HUD regulations to be low- and moderate-income.

Actions planned to foster and maintain affordable housing

In early 2016, the City awarded \$1,600,000 Affordable Housing Trust Funds to Seaview Lutheran Plaza for the rehabilitation of 100 apartments for senior citizens, extending the project's affordability period and additional 30 years. Additionally, \$1,975,000 was awarded to Newport Veterans Housing to rehabilitate 12 former market rate units to be rented affordably for a period of 55 years, with a priority for low-income seniors and veterans. The City will continue to evaluate alternate funding sources and programs to preserve and maintain existing affordable housing such as the County's Residential Rehabilitation Program. In the implementation of the 2017-2018 Annual Action Plan, the City will continue to look at alternate funding sources and programs to preserve and maintain existing affordable housing such as the County's Residential Rehabilitation Program. The City also continues to consider Density Bonuses and other incentives on a project-by-project basis to encourage the construction of housing for persons and families of very low, low, and moderate income.

Actions planned to reduce lead-based paint hazards

In the implementation of any future Residential Rehabilitation Programs, the City will disseminate brochures provided by the U.S. Environmental Protection Agency to all applicants as part of the transmittal of the program application. Any unit receiving assistance through the

program that was built prior to January 1, 1978 will be tested for lead-based paint. If lead-based paint is present, appropriate abatement procedures are implemented as part of the rehabilitation contract consistent with the requirements of 24 CFR Part 35.

Actions planned to reduce the number of poverty-level families

The implementation of CDBG activities meeting the goals established in the 2015-2019 Consolidated Plan-Strategic Plan and this Annual Action Plan will help to reduce the number of poverty-level families by:

- Supporting activities that expand the supply of housing that is affordable to low- and moderate-income households, including investment of the City's Housing Trust Fund resources and using the State Density Bonus law to incentivize the development of additional affordable housing units;
- Supporting a continuum of housing and public service programs to prevent and eliminate homelessness;
- Supporting housing preservation programs that ensure low income households have a safe, decent and appropriate place to live;
- Supporting public services through various nonprofits funded by CDBG that serve the community's youth, seniors, families and those with special needs; and
- Promoting economic opportunity for all residents working for employers doing business with the City of Newport Beach through the Commercial Façade Improvement Program.

In addition to these local efforts, mainstream state and federal resources also contribute to reducing the number of individuals and families in poverty. Federal programs such as the Earned Income Tax Credit and Head Start provide a pathway out of poverty for families who are ready to pursue employment and educational opportunities. Additionally, in California, the primary programs that assist families in poverty are CalWORKs, CalFresh (formerly food stamps) and Medi-Cal. Together, these programs provide individuals and families with employment assistance, subsidy for food, medical care, childcare and cash payments to meet basic needs such as housing, nutrition and transportation. Other services are available to assist persons suffering from substance abuse, domestic violence and mental illness.

Actions planned to develop institutional structure

The institutional delivery system in Newport Beach is high-functioning and collaborative — particularly the relationship between local government and the nonprofit sector comprised of a network of capable community-based organizations that are delivering a full range of services to residents. Affordable housing development and preservation activities will be carried out by the Planning Division of the Community Development Department in partnership with other

agencies. Public service activities will be carried out by nonprofit organizations to achieve the Strategic Plan goals. The Planning Division and the Public Works Department will work together with contractors to implement public facility improvement projects.

One of the key ways the City is developing and expanding institutional structure to meet underserved needs is by funding a wide variety of services targeted to seniors, special needs populations and individuals or families at risk of homelessness with CDBG public service grants.

Actions planned to enhance coordination between public and private housing and social service agencies

To enhance coordination between public and private housing and social service agencies, the City will continue consulting with and inviting the participation of a wide variety of agencies and organizations involved in the delivery of housing and supportive services to low- and moderate-income residents in Newport Beach.

Discussion:

In the implementation of the 2017-2018 Annual Action Plan, the City will invest CDBG resources to address obstacles to meeting underserved needs, reduce the number of poverty-level families, develop institutional structure and enhance coordination between public and private social service agencies.

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

In the implementation of programs and activities under the 2016-2017 Annual Action Plan, the City of Newport Beach will follow all HUD regulations concerning the use of program income, forms of investment, overall low- and moderate-income benefit for the CDBG program.

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	995,802
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	995,802

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

Discussion:

In the implementation of programs and activities under the 2017-2018 Annual Action Plan, the City of Newport Beach will follow all HUD regulations concerning the use of program income, forms of investment, overall low- and moderate-income benefit for the CDBG program.



**2017-2018 ANNUAL ACTION PLAN
JULY 1, 2017 THROUGH JUNE 30, 2018**

**APPENDIX A
Citizen Participation**

- Proof of Publication – Public Hearing and Adoption
- Proof of Publication – NOFA Process
- Summary of Comments Received



**NOTICE OF PUBLIC HEARING AND 30-DAY PUBLIC REVIEW
FOR THE CITY OF NEWPORT BEACH
DRAFT ACTION PLAN (2017-2018)**

NOTICE IS HEREBY GIVEN that on **Tuesday, May 9, 2017**, at **7:00 p.m.**, a public hearing will be conducted in the City Council Chambers at 100 Civic Center Drive, Newport Beach. The City Council of the City of Newport Beach will receive public comments and consider the following:

2017-2018 Draft Action Plan for the upcoming fiscal year beginning July 1, 2017, and ending June 30, 2018. The Action Plan is a grant application to the U.S. Department of Housing and Urban Development (HUD) for the second of five fiscal years covered by the City's current Five-Year Consolidated Plan 2015-2019. The Action Plan will appropriate Community Development Block Grant (CDBG) funding, estimated to be approximately \$1,587,000, to specific activities for the 2017-2018 fiscal year to address Strategic Plan goals included in the Five-Year Consolidated Plan.

NOTICE IS HEREBY FURTHER GIVEN that the publication of this notice commences a minimum 30-day public review period as required under Federal Regulation 24 CFR 91.105(b)(2) and the City's Citizen Participation Plan. This public review and comment period begins **April 7, 2017**, and runs through **May 9, 2017**. The public is invited to submit written comments on the draft document to the Community Development Department no later than 4:00 p.m. on May 9, 2017. Copies of the Draft 2017-2018 Action Plan will be available for public review on the City's website at <http://www.newportbeachca.gov/CDBGreports> and at the following locations:

City Clerk's Office 100 Civic Center Drive Newport Beach, CA, 92660 (949) 644-3005	Community Development Department 100 Civic Center Drive Newport Beach, CA, 92660 (949) 644-3200	Central Library 1000 Avocado Ave Newport Beach, CA 92660 (949) 717-3800
---------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------

NOTICE IS HEREBY FURTHER GIVEN that the approval of the 2017-2018 Action Plan categorically exempt pursuant to Section 15301, of the California Environmental Quality Act (CEQA) Guidelines, California Code of Regulations, Title 14, Chapter 3, because it has no potential to have a significant effect on the environment.

ACCESSIBILITY TO MEETINGS AND DOCUMENTS

It is the objective of the City to comply with Section 504 of the Rehabilitation Act of 1973, as amended, the Americans with Disabilities Act (ADA) of 1990 and the ADA Amendment Act of 2008, the Fair Housing Act, and the Architectural Barriers Act in all respects. If you require public documents in an accessible format, the City will make reasonable efforts to accommodate your request. If you require a disability-related accommodation to attend or participate in a hearing or meeting, including auxiliary aids or services, please contact the City Clerk's Office at least 72 hours prior to the meeting at (949) 644-3005.

All interested parties may appear and present testimony at the public hearing in regard to this application. If you challenge this project in court, you may be limited to raising only those issues you raised at the public hearing or in written correspondence delivered to the City, at, or prior to, the public hearing. The application may be continued to a specific future meeting date, and if such an action occurs additional public notice of the continuance will not be provided. The immediate Friday prior to the public hearing the agenda, staff report, and documents may be reviewed at the City Clerk's Office, 100 Civic Center Drive, Newport Beach, California, 92660 or at the City of Newport Beach website at www.newportbeachca.gov. Individuals not able to attend the meeting may contact the Planning Division or access the City's website after the meeting to review the action on this application.

Questions and written comments may be addressed to James Campbell, Principal Planner at 100 Civic Center Drive, Newport Beach, CA 92660. You may also call Mr. Campbell at (949) 644-3210 with any questions concerning this notice.

Leilani I. Brown, MMC
City Clerk
City of Newport Beach

Publish: April 7, 2017

**City of Newport Beach Notice of Funding Availability (NOFA)
Inviting Applications for the Community Development
Block Grant (CDBG) Program For Fiscal Year 2017-2018**

APPLICATIONS WILL BE ACCEPTED UP TO FEBRUARY 6th, 2017

The Federal Housing and Community Development Act of 1974, as amended, provides Federal Community Development Block Grant (CDBG) funds to the City of Newport Beach for projects that promote the development of viable urban communities by providing decent housing, suitable living environments, and expanded economic opportunities, particularly for low- and moderate-income persons. The City of Newport Beach anticipates receiving an allocation of approximately \$359,000 for fiscal year 2017-2018. The City may use up to 15% of the allocation for eligible public services meeting a CDBG National Objective.

NOTICE IS HEREBY GIVEN that the City of Newport Beach is currently accepting applications from non-profit organizations requesting funds to provide public services to low- and moderate-income residents. Priority for funding will be given to those public services meeting one of the Strategic Plan goals included in the 2015-2019 Consolidated Plan. The Consolidated Plan, the 2015-2016 Annual Action Plan, which is included in the five-year Consolidated Plan, subsequent Annual Action Plans and the prior years Consolidated Annual Performance and Evaluation Reports are available for viewing online at <http://www.newportbeachca.gov/CDBGreports>.

ELIGIBLE PUBLIC SERVICES: Above all, applications must demonstrate that the service will principally benefit persons of low- and moderate-income (households earning less than 80% of Orange County's median income). Types of eligible services include but are not limited to housing and/or support programs for victims of domestic violence, abused children/youth, the disabled, seniors, or the homeless. Additionally, programs addressing unemployment, inadequate healthcare, crime prevention, or substance abuse rehabilitation are eligible. Again, all programs must benefit persons of low-and moderate-incomes.

FAIR HOUSING AND LANDLORD-TENANT SERVICES: Applications will also be accepted from organizations seeking to provide fair housing and landlord-tenant services in accordance with the requirements of the U.S. Department of Housing and Urban Development.

DEADLINE: All interested service providers must complete an application package, available at <http://www.newportbeachca.gov/CDBGapplication>. Completed applications will be accepted until 5:00 p.m. on Monday, February 6, 2017 at the City of Newport Beach, Community Development Department located at 100 Civic Center Drive, Newport Beach, CA 92660.

Questions regarding this NOFA should be directed to Clint Whited, CDBG Consultant at (909) 476-6006 ext. 115.



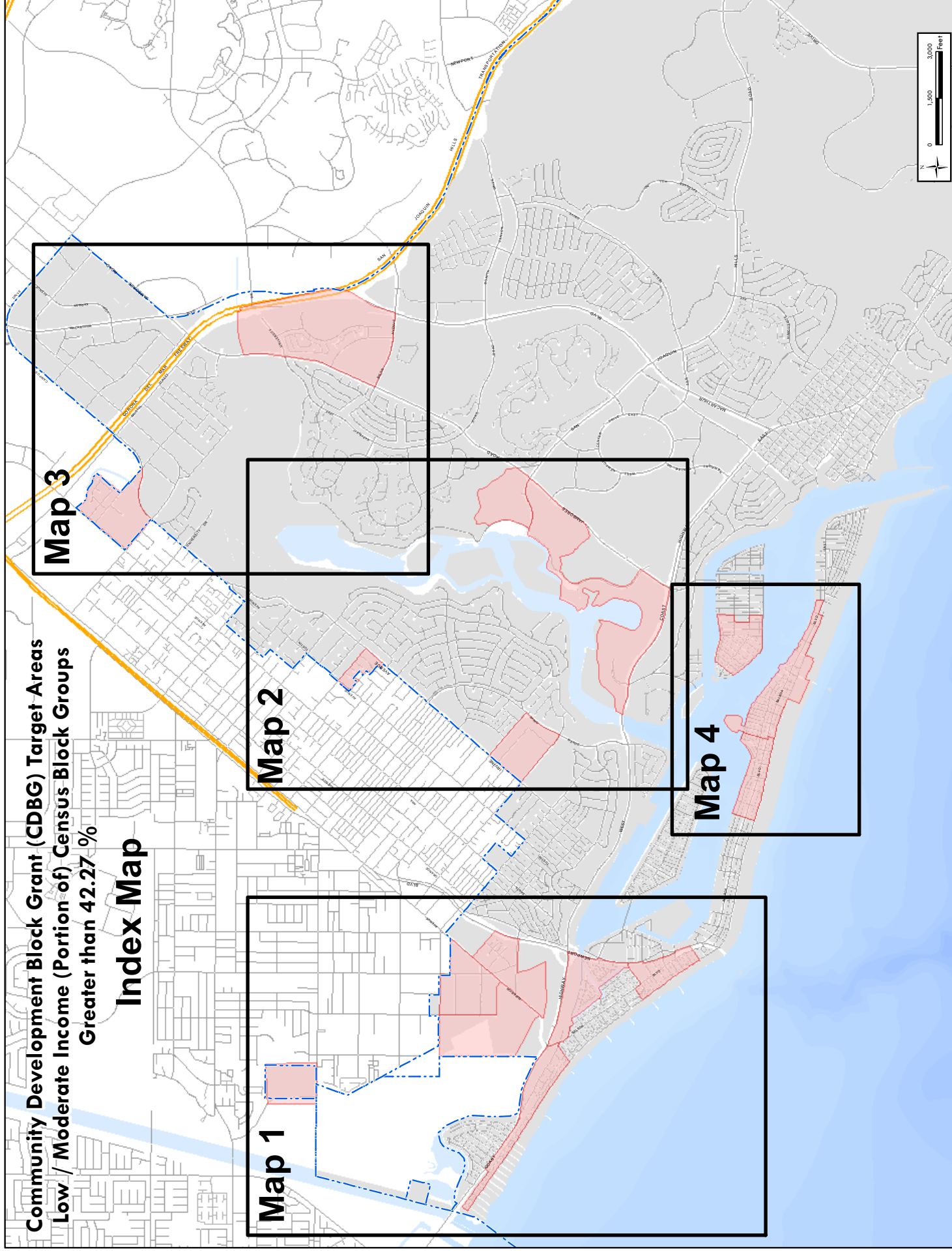
**2017-2018 ANNUAL ACTION PLAN
JULY 1, 2017 THROUGH JUNE 30, 2018**

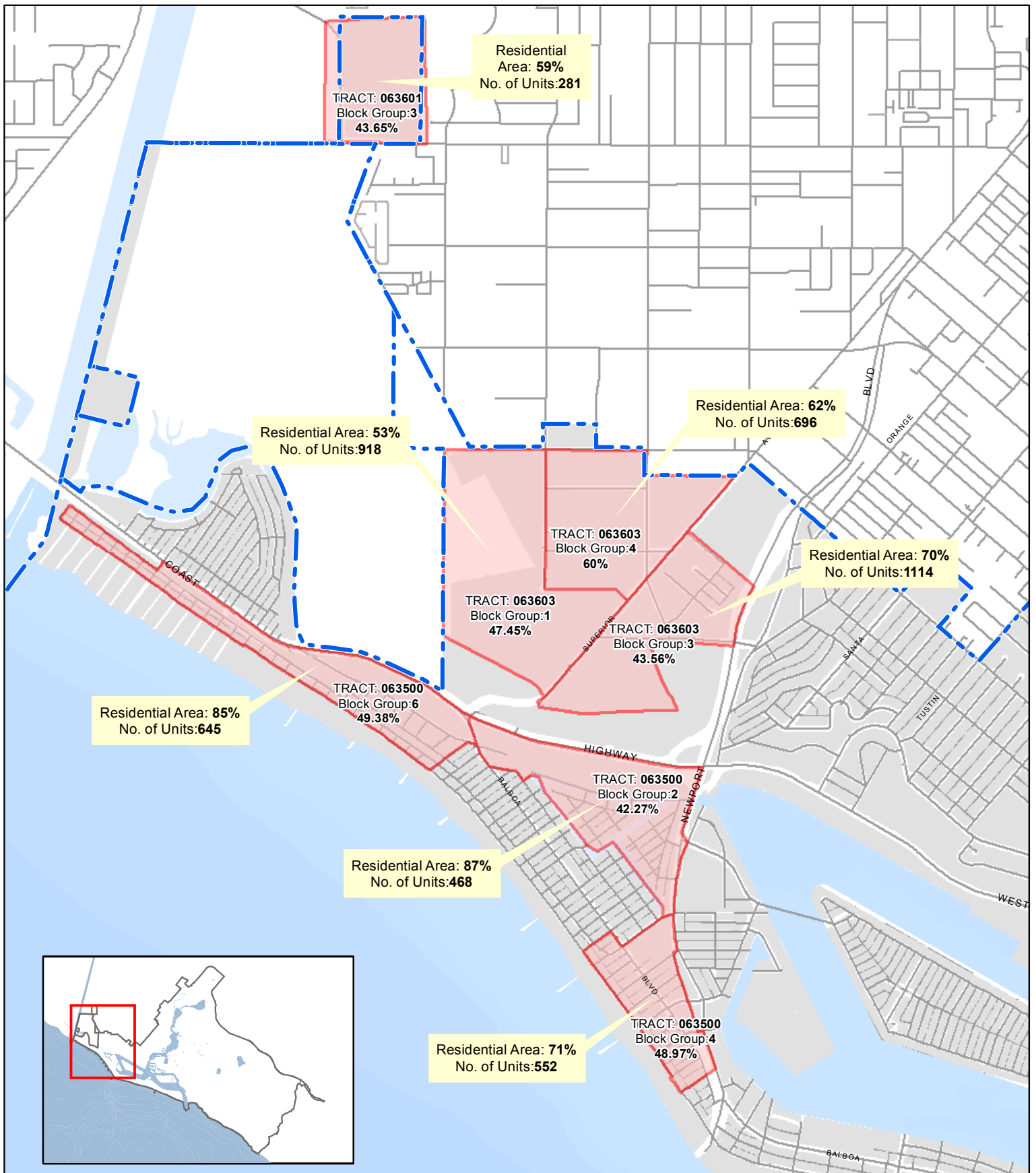
APPENDIX B

- MAPS - CDBG Target Areas

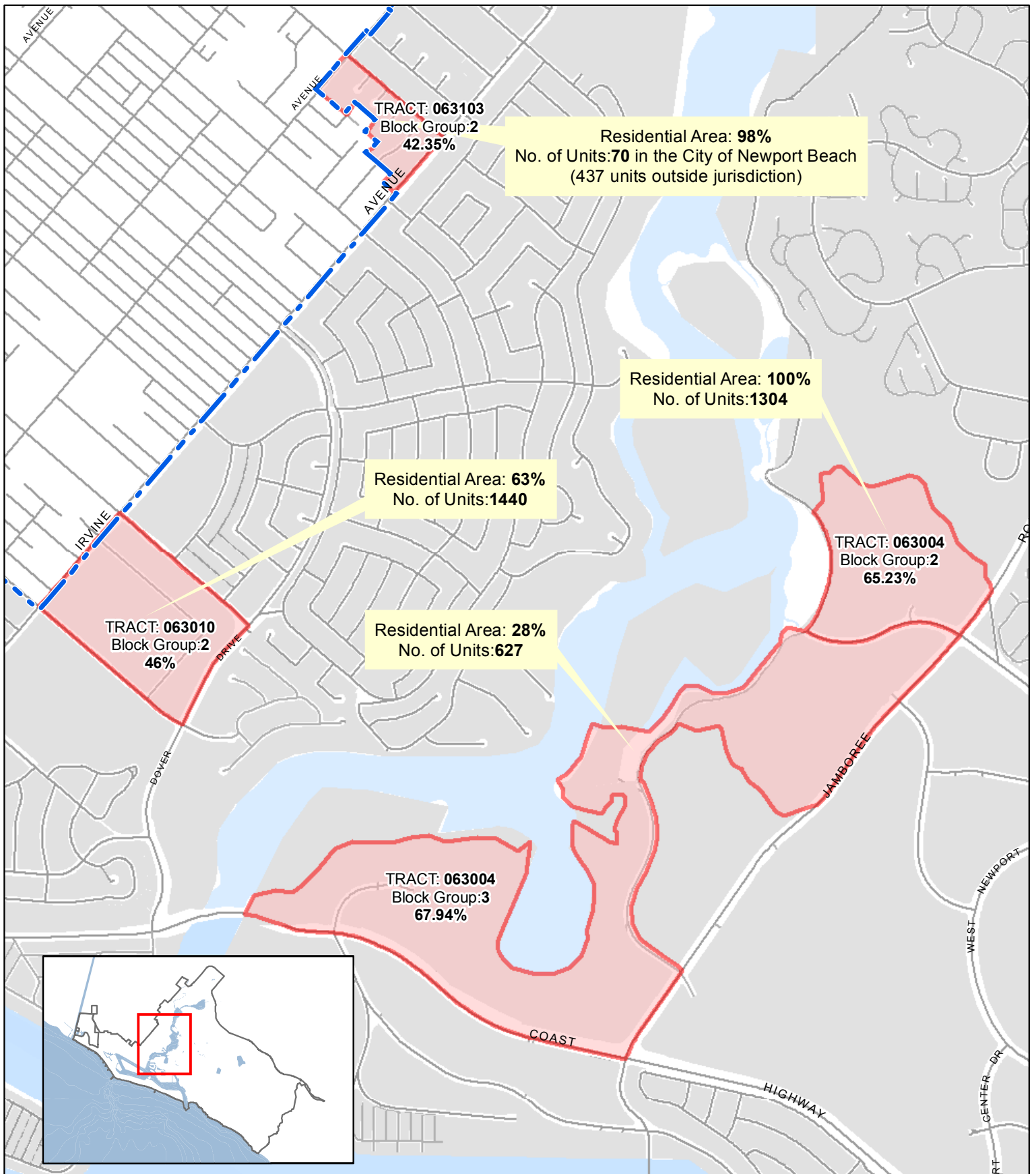
Community Development Block Grant (CDBG) Target Areas
Low / Moderate Income (Portion of) Census Block Groups
Greater than 42.27 %

Index Map

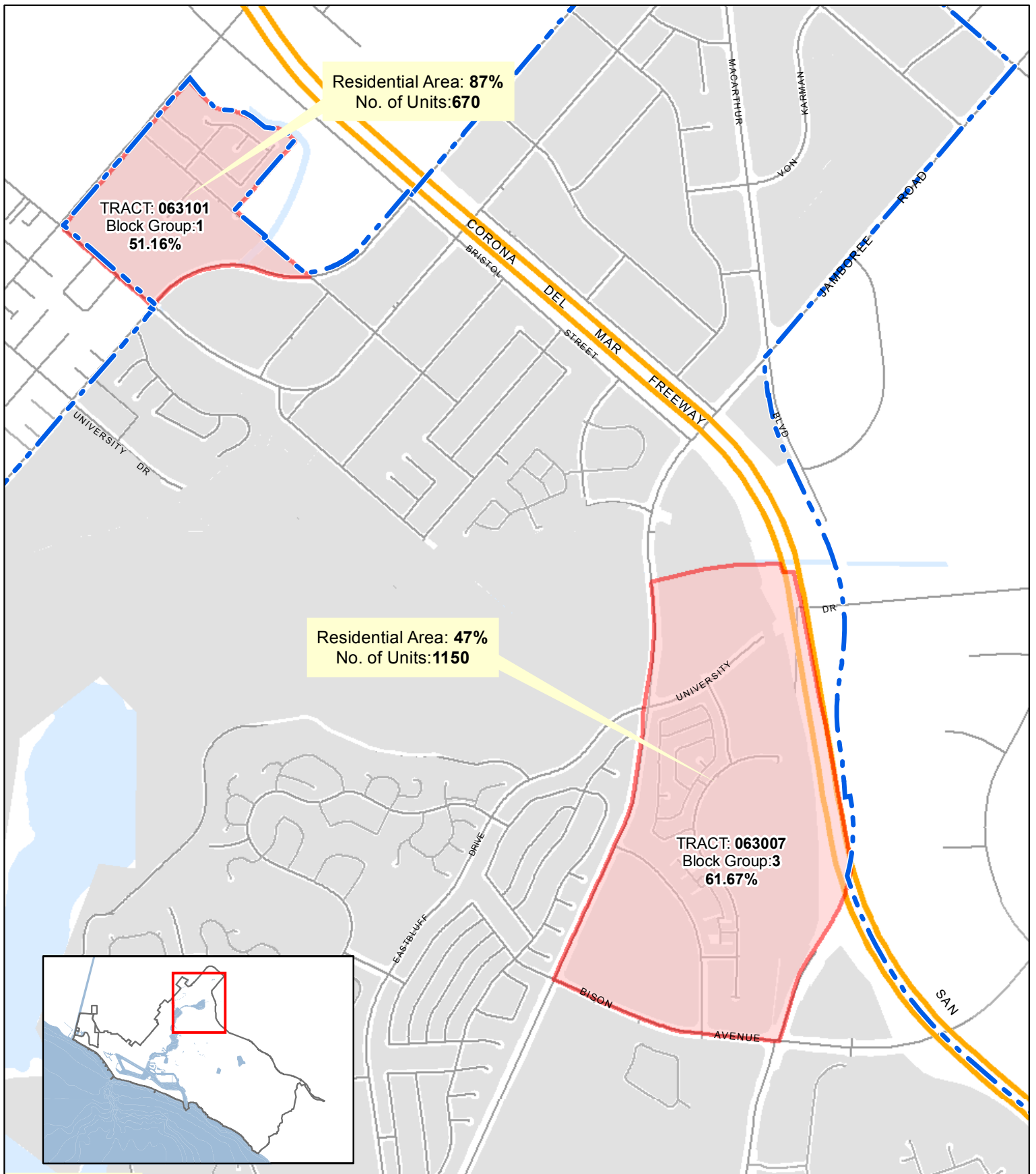




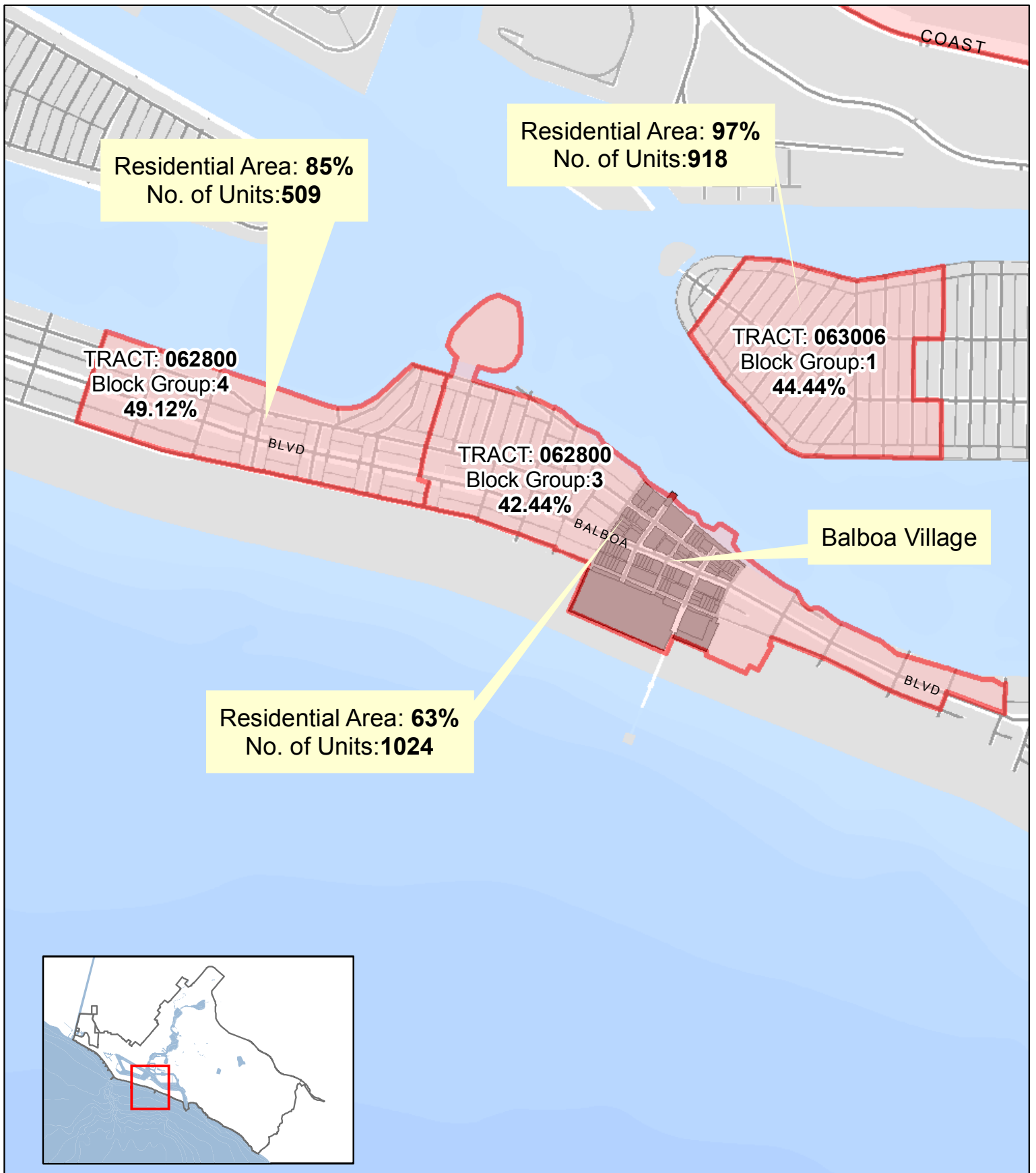
**MAP 1 Community Development Block Grant (CDBG) Target Areas
Low / Moderate Income (Portion of) Census Block Groups
Greater than 42.27 %**



**MAP 2 Community Development Block Grant (CDBG) Target Areas
Low / Moderate Income (Portion of) Census Block Groups
Greater than 42.27 %**



**MAP 3 Community Development Block Grant (CDBG) Target Areas
Low / Moderate Income (Portion of) Census Block Groups
Greater than 42.27 %**



MAP 4 Community Development Block Grant (CDBG) Target Areas
Low / Moderate Income (Portion of) Census Block Groups
Greater than 42.27 %



**2017-2018 ANNUAL ACTION PLAN
JULY 1, 2017 THROUGH JUNE 30, 2018**

**APPENDIX C
SF-424 and Action Plan Certifications**

- SF-424 Community Development Block Grant
- 2017-2018 Action Plan Certifications

Application for Federal Assistance SF-424

* 1. Type of Submission:

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

* 2. Type of Application:

- ☒ New
☐ Continuation
☐ Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

CA62454

5b. Federal Award Identifier:

B-17-MC-06-0546

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

CITY OF NEWPORT BEACH

* b. Employer/Taxpayer Identification Number (EIN/TIN):

95-6000751

* c. Organizational DUNS:

0607524820000

d. Address:

* Street1:

100 CIVIC CENTER DRIVE

Street2:

* City:

NEWPORT BEACH

County/Parish:

* State:

CA: California

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

92660-3267

e. Organizational Unit:

Department Name:

COMMUNITY DEVELOPMENT

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Mr .

* First Name:

JAMES

Middle Name:

* Last Name:

CAMPBELL

Suffix:

Title:

PRINCIPAL PLANNER

Organizational Affiliation:

* Telephone Number:

(949) 644-3210

Fax Number:

* Email:

jcampbell@newportbeachca.gov

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title:

Community Development Block Grants/Entitlement Grants

* 12. Funding Opportunity Number:

N/A

* Title:

N/A

13. Competition Identification Number:

N/A

Title:

N/A

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

2017-2018 Action Plan projects using Community Development Block Grant Entitlement funds pursuant to Title I of the Housing and Community Development Act of 1974, as amended.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:**

* a. Applicant

48

* b. Program/Project

48

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

07/01/2017

* b. End Date:

06/30/2018

18. Estimated Funding (\$):

* a. Federal

359,743.00

* b. Applicant

* c. State

* d. Local

* e. Other

* f. Program Income

* g. TOTAL

359,743.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on .☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☒ c. Program is not covered by E.O. 12372.*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

Mr.

* First Name:

DAVID

Middle Name:

* Last Name:

KIFF

Suffix:

* Title:

CITY MANAGER

* Telephone Number:

(949) 644-3001

Fax Number:

* Email:

dkiff@newportbeachca.gov

* Signature of Authorized Representative:

* Date Signed:

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

City Manager

Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2017, _____, _____, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

City Manager

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.