Recipient Committee
Campaign Statement
Cover Page
(Government Code Sections 84200-84216.5)

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees – Complete Parts 1, 2, 3, and 4.
   X Officeholder, Candidate Controlled Committee
   ○ State Candidate Election Committee
   ○ Recall
   (Also Complete Part 5)
   ○ General Purpose Committee
   ○ Sponsored
   ○ Small Contributor Committee
   ○ Political Party/Central Committee
   ○ Primarily Formed Candidate/Officeholder Committee
   (Also Complete Part 7)
   ○ Ballot Measure Committee
   ○ Primarily Formed
   ○ Controlled
   ○ Sponsored
   (Also Complete Part 6)

2. Type of Statement:
   ○ Prelection Statement
   ○ Semi-annual Statement
   ○ Termination Statement
   ○ Amendment (Explain below)
   ○ Quarterly Statement
   ○ Special Odd-Year Report
   ○ Supplemental Prelection Statement - Attach Form 495

3. Committee Information
   I.D. NUMBER
   1360953
   COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)
   Stapleton for Newport Beach City Council 2014
   STREET ADDRESS (NO P.O. BOX)
   142 47th St
   CITY
   Newport Beach
   STATE
   CA
   ZIP CODE
   92663
   AREA CODE/PHONE
   (949) 922-6304
   MAILING ADDRESS
   4685 MacArthur Court, Ste 300
   CITY
   Newport Beach
   STATE
   CA
   ZIP CODE
   92660
   AREA CODE/PHONE
   (949) 650-2771
   OPTIONAL: FAX / E-MAIL ADDRESS
   joems55@gmail.com
   Mailing Address
   2601 Main St, Ste 700
   CITY
   Irvine
   STATE
   CA
   ZIP CODE
   92614
   AREA CODE/PHONE
   (949) 794-1029
   OPTIONAL: FAX / E-MAIL ADDRESS

4. Verification
   I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.
   Executed on 07/22/2014
   Date
   Executed on 07/22/2014
   Date
   Executed on Date
   Executed on Date
   Executed on Date
   By Signature of Treasurer or Assistant Treasurer
   By Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor
   By Signature of Controlling Officeholder, Candidate, State Measure Proponent
   By Signature of Controlling Officeholder, Candidate, State Measure Proponent

FFPC Form 460 (June/01)
FFPC Toll-Free Helpline: 866/ASK-FPPC
State of California
5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE

Joseph M. Stapleton

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)

Newport Beach City Council, District 1

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP

142 47th St, Newport Beach, CA 92663

Related Committees Not Included in this Statement: List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.

COMMITTEE NAME I.D. NUMBER

NAME OF TREASURER

CONTROLLED COMMITTEE?

YES NO

COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE


6. Ballot Measure Committee

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER JURISDICTION

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROONENT

OFFICE SOUGHT OR HELD DISTRICT NO. IF ANY


7. Primarily Formed Committee List names of officeholder(s) or candidate(s) for which this committee is primarily formed.

NAME OF OFFICEHOLDER OR CANDIDATE OFFICE SOUGHT OR HELD

SUPPORT OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE OFFICE SOUGHT OR HELD

SUPPORT OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE OFFICE SOUGHT OR HELD

SUPPORT OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE OFFICE SOUGHT OR HELD

SUPPORT OPPOSE

Attach continuation sheets if necessary
### Contributions Received

<table>
<thead>
<tr>
<th></th>
<th>Column A</th>
<th>Column B</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Monetary Contributions</td>
<td>Schedule A, Line 3</td>
<td>$2,965.00</td>
</tr>
<tr>
<td>2. Loans Received</td>
<td>Schedule B, Line 3</td>
<td>$-5,000.00</td>
</tr>
<tr>
<td>3. SUBTOTAL CASH CONTRIBUTIONS</td>
<td>Add Lines 1 + 2</td>
<td>$-2,035.00</td>
</tr>
<tr>
<td>4. Nonmonetary Contributions</td>
<td>Schedule C, Line 3</td>
<td>$1,000</td>
</tr>
<tr>
<td>5. TOTAL CONTRIBUTIONS RECEIVED</td>
<td>Add Lines 3 + 4</td>
<td>$-1,035.00</td>
</tr>
</tbody>
</table>

### Expenditures Made

<table>
<thead>
<tr>
<th></th>
<th>Column A</th>
<th>Column B</th>
</tr>
</thead>
<tbody>
<tr>
<td>6. Payments Made</td>
<td>Schedule E, Line 4</td>
<td>$2,945.30</td>
</tr>
<tr>
<td>7. Loans Made</td>
<td>Schedule H, Line 3</td>
<td>0</td>
</tr>
<tr>
<td>8. SUBTOTAL CASH PAYMENTS</td>
<td>Add Lines 5 + 7</td>
<td>$2,945.30</td>
</tr>
<tr>
<td>9. Accrued Expenses (Unpaid Bills)</td>
<td>Schedule F, Line 3</td>
<td>0</td>
</tr>
<tr>
<td>10. Nonmonetary Adjustment</td>
<td>Schedule C, Line 3</td>
<td>0</td>
</tr>
<tr>
<td>11. TOTAL EXPENDITURES MADE</td>
<td>Add Lines 8 + 9 + 10</td>
<td>$2,945.30</td>
</tr>
</tbody>
</table>

### Current Cash Statement

<table>
<thead>
<tr>
<th></th>
<th>Column A</th>
</tr>
</thead>
<tbody>
<tr>
<td>12. Beginning Cash Balance</td>
<td>Previous Summary Page, Line 16</td>
</tr>
<tr>
<td>13. Cash Receipts</td>
<td>Column A, Line 3 above</td>
</tr>
<tr>
<td>14. Miscellaneous Increases to Cash</td>
<td>Schedule I, Line 4</td>
</tr>
<tr>
<td>15. Cash Payments</td>
<td>Column A, Line 8 above</td>
</tr>
<tr>
<td>16. ENDING CASH BALANCE</td>
<td>Add Lines 12 + 13 + 14, then subtract Line 15</td>
</tr>
</tbody>
</table>

*If this is a termination statement, Line 16 must be zero.*

### Cash Equivalents and Outstanding Debts

<table>
<thead>
<tr>
<th></th>
<th>Column A</th>
</tr>
</thead>
<tbody>
<tr>
<td>18. Cash Equivalents</td>
<td>See instructions on reverse</td>
</tr>
<tr>
<td>19. Outstanding Debts</td>
<td>Add Line 2 + Line 9 in Column B above</td>
</tr>
</tbody>
</table>

---

**Calendar Year Summary for Candidates Running in Both the State Primary and General Elections**

- 20. Contributions Received 1/1 through 6/30: $______
- 21. Expenditures Made 7/1 to Date: $______

**Expenditure Limit Summary for State Candidates**

22. Cumulative Expenditures Made*

<table>
<thead>
<tr>
<th>Date of Election (mm/dd/yy)</th>
<th>Total to Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$______</td>
</tr>
</tbody>
</table>

*Since January 1, 2001. Amounts in this section may be different from amounts reported in Column B.
<table>
<thead>
<tr>
<th>DATE RECEIVED</th>
<th>FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)</th>
<th>CONTRIBUTOR CODE</th>
<th>IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)</th>
<th>AMOUNT RECEIVED THIS PERIOD</th>
<th>CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)</th>
<th>PER ELECTION TO DATE (IF REQUIRED)</th>
</tr>
</thead>
<tbody>
<tr>
<td>01/05/2014</td>
<td>Gino Canori</td>
<td>✖ IND COM</td>
<td>Commercial Real Estate Related Partners</td>
<td>$150</td>
<td>$150</td>
<td>$150</td>
</tr>
<tr>
<td>01/20/2014</td>
<td>Marriott International, Inc</td>
<td></td>
<td>Hotel Management</td>
<td>$1,000</td>
<td>$1,000</td>
<td>$1,000</td>
</tr>
<tr>
<td>01/28/2014</td>
<td>Fullerton Lounge, Inc</td>
<td></td>
<td>Real Estate</td>
<td>$500</td>
<td>$500</td>
<td>$500</td>
</tr>
<tr>
<td>02/06/2014</td>
<td>Cheri Ketner</td>
<td>✖ IND COM</td>
<td>Realtor Surterre Properties</td>
<td>$250</td>
<td>$250</td>
<td>$250</td>
</tr>
</tbody>
</table>

**SUBTOTAL $** 1,900

**Schedule A Summary**

1. Amount received this period – contributions of $100 or more. (Include all Schedule A subtotals.) $ 1,900

2. Amount received this period – unitemized contributions of less than $100 $ 1,065

3. Total monetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) TOTAL $ 2,965
Schedule B - Part 1
Loans Received

Type or print in ink. Amounts may be rounded to whole dollars.

Statement covers period from 01/01/2014 through 06/30/2014

NAME OF FILER
Stapleton for Newport Beach City Council 2014
1360953

FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER
Joseph M. Stapleton
142 47th St
Newport Beach, CA 92663

IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (If self-employed, enter name of business)
Financial Advisor
Signature Resources

(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD

$ 5,000

(b) AMOUNT RECEIVED THIS PERIOD

$ zero

(c) AMOUNT PAID OR FORGIVEN THIS PERIOD

$ 5,000

FORGIVEN

(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD

$ zero

INTEREST PAID THIS PERIOD

PER ELECTION**

ORIGINAL AMOUNT OF LOAN

CALENDAR YEAR

5,000

PER ELECTION

DATE DUE

DATE INCURRED

SUBTOTALS

$ zero $ 5,000 $ zero $ zero

Schedule B Summary

1. Loans received this period

(Total Column (b) plus unitemized loans less than $100.)

2. Loans paid or forgiven this period

(Total Column (c) plus loans under $100 paid or forgiven.)

(include loans paid by a third party that are also itemized on Schedule A.)

3. Net change this period. (Subtract Line 2 from Line 1.)

Enter the net here and on the Summary Page, Column A, Line 2.

NET $ -5,000

(May be a negative number)

*Amounts forgiven or paid by another party also must be reported on Schedule A.

** If required.

† Contributor Codes
IND – Individual
COM – Recipient Committee (other than PTY or SCC)
OTH – Other
PTY – Political Party
SCC – Small Contributor Committee

FPCC Form 460 (June/01)
FPCC Toll-Free Helpline: 866/ASK-FPPC
Schedule C
Nonmonetary Contributions Received

Type or print in ink.
Amounts may be rounded to whole dollars.

Statement covers period
from 01/01/2014 through 06/30/2014

Stapleton for Newport Beach City Council 2014

<table>
<thead>
<tr>
<th>DATE RECEIVED</th>
<th>FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)</th>
<th>CONTRIBUTOR CODE *</th>
<th>IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)</th>
<th>DESCRIPTION OF GOODS OR SERVICES</th>
<th>AMOUNT/FIRM MARKET VALUE</th>
<th>CUMULATIVE TO DATE CALENDAR YEAR (JAN 1 - DEC 31)</th>
<th>PER ELECTION TO DATE (IF REQUIRED)</th>
</tr>
</thead>
<tbody>
<tr>
<td>01/29/14</td>
<td>George Lesley</td>
<td>□IND</td>
<td>CPA Lesley, Thomas, Schwarz &amp; Postma, Inc.</td>
<td>Accounting</td>
<td>$1,000</td>
<td>$1,000</td>
<td>$1,100</td>
</tr>
<tr>
<td></td>
<td></td>
<td>□COM</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>□OTH</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>□PTY</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>□SCC</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>□IND</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>□COM</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td></td>
<td>□OTH</td>
<td></td>
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<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>□PTY</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>□SCC</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Attach additional information on appropriately labeled continuation sheets.

**SUBTOTAL $ 1,000**

Schedule C Summary
1. Amount received this period – nonmonetary contributions of $100 or more.
   (Include all Schedule C subtotals.) .............................................................. $ 1,000
2. Amount received this period – unitemized nonmonetary contributions of less than $100 .............................. $ zero
3. Total nonmonetary contributions received this period.
   (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.) .......................... TOTAL $ 1,000

*Contributor Codes
IND = Individual
COM = Recipient Committee
OTH = Other
PTY = Political Party
SCC = Small Contributor Committee

FPSC Form 460 (June/01)
FPSC Toll-Free Helpline: 866/ASK-FPSC
## Schedule D Summary

1. Contributions and independent expenditures made this period of $100 or more. (Include all Schedule D subtotals.) ........................................... $ 1,000

2. Unitemized contributions and independent expenditures made this period of under $100 ................................................................. $ zero

3. Total contributions and independent expenditures made this period. (Add Lines 1 and 2. Do not enter on the Summary Page.) .................. TOTAL $ 1,000

---

**Schedule D**

**Summary of Expenditures**

**Supporting/Opposing Other Candidates, Measures and Committees**

SEE INSTRUCTIONS ON REVERSE

**NAME OF FILER**

Stapleton for Newport Beach City Council 2014

**I.D. NUMBER**

1360953

<table>
<thead>
<tr>
<th>DATE</th>
<th>NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE</th>
<th>TYPE OF PAYMENT</th>
<th>DESCRIPTION (IF REQUIRED)</th>
<th>AMOUNT THIS PERIOD</th>
<th>CUMULATIVE TO DATE CALENDAR YEAR (JAN 1 - DEC 31)</th>
<th>PER ELECTION TO DATE (IF REQUIRED)</th>
</tr>
</thead>
<tbody>
<tr>
<td>03/28/2014</td>
<td>Tim Brown for Council 2014 ID# 1360420</td>
<td>☑ Monetary Contribution</td>
<td>Campaign Contribution (CTB)</td>
<td>$500</td>
<td>$500</td>
<td>$500</td>
</tr>
<tr>
<td></td>
<td>Support ☑ Oppose</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>04/17/2014</td>
<td>Rush Hill for Council 2014 ID# 1359628</td>
<td>☑ Monetary Contribution</td>
<td>Campaign Contribution (CTB)</td>
<td>$500</td>
<td>$500</td>
<td>$500</td>
</tr>
<tr>
<td></td>
<td>Support ☑ Oppose</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**SUBTOTAL** $1,000

---

**FPCC Form 460 (June/01)**
FPCC Toll-Free Helpline: 866/ASK-FPPC
### Schedule E

#### Payments Made

**NAME OF FILER**

Stapleton for Newport Beach City Council 2014

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- **CMP:** campaign paraphernalia/misc.
- **CNM:** campaign consultants
- **CTB:** contribution (explain nonmonetary)*
- **CVC:** civic donations
- **FIL:** candidate filing/ballot fees
- **FND:** fundraising events
- **IND:** independent expenditure supporting/opposing others (explain)*
- **LEG:** legal defense
- **LIT:** campaign literature and mailings
- **MBR:** member communications
- **MTG:** meetings and appearances
- **OFC:** office expenses
- **PET:** petition circulating
- **PHO:** phone banks
- **POL:** polling and survey research
- **POS:** postage, delivery and messenger services
- **PRO:** professional services (legal, accounting)
- **RRT:** print ads
- **RAD:** radio airtime and production costs
- **RFD:** returned contributions
- **SAL:** campaign workers’ salaries
- **TEL:** t.v. or cable airtime and production costs
- **TRC:** candidate travel, lodging, and meals
- **TRS:** staff/spouse travel, lodging, and meals
- **TSF:** transfer between committees of the same candidate/sponsor
- **VOT:** voter registration
- **WEB:** information technology costs (internet, e-mail)

### Payments Made

<table>
<thead>
<tr>
<th>NAME AND ADDRESS OF PAYEE (if committee, also enter I.D. NUMBER)</th>
<th>CODE OR DESCRIPTION OF PAYMENT</th>
<th>AMOUNT PAID</th>
</tr>
</thead>
<tbody>
<tr>
<td>Paypal</td>
<td>OFC Merchant Processing Fees</td>
<td>$39.13</td>
</tr>
<tr>
<td>Secretary of State</td>
<td>FIL Annual Filing Fee</td>
<td>$50.00</td>
</tr>
<tr>
<td>Tim Brown for Council 2014 ID# 1360420</td>
<td>CTB Campaign Contribution</td>
<td>$500.00</td>
</tr>
</tbody>
</table>

* Payments that are contributions or independent expenditures must also be summarized on Schedule D. **SUBTOTAL:** $589.13

### Schedule E Summary

1. Payments made this period of $100 or more. (Include all Schedule E subtotals.) .................................................. $ 2,945.30
2. Unitized payments made this period of under $100 .................. $ zero
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) ......................... $ zero
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) .......... TOTAL $ 2,945.30

FPPC Form 460 (June/01)
FPPC Toll-Free Helpline: 886/ASK-FPPC
## Schedule E (Continuation Sheet)

### Payments Made

**NAME OF FILER**
Stapleton for Newport Beach City Council 2014

**I.D. NUMBER**
1360953

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- CMP: campaign paraphernalia/misc.
- CNS: campaign consultants
- CTB: contribution (explain nonmonetary)*
- CVC: civic donations
- FL: candidate filing/ballot fees
- FND: fundraising events
- IND: independent expenditure supporting/opposing others (explain)*
- LEG: legal defense
- LIT: campaign literature and mailings
- MBR: member communications
- MTG: meetings and appearances
- OFC: office expenses
- PET: petition circulating
- PHE: phone banks
- POL: polling and survey research
- POS: postage, delivery and messenger services
- PRO: professional services (legal, accounting)
- PRT: print ads
- RAD: radio airtime and production costs
- RFD: returned contributions
- SAL: campaign workers’ salaries
- TEL: t.v. or cable airtime and production costs
- TRC: candidate travel, lodging, and meals
- TRS: staff/spouse travel, lodging, and meals
- TSF: transfer between committees of the same candidate/sponsor
- VOT: voter registration
- WEB: information technology costs (internet, e-mail)

### Payments Table

<table>
<thead>
<tr>
<th>NAME AND ADDRESS OF PAYEE</th>
<th>CODE</th>
<th>DESCRIPTION OF PAYMENT</th>
<th>AMOUNT PAID</th>
</tr>
</thead>
<tbody>
<tr>
<td>Smash React</td>
<td>WEB</td>
<td>Website and Email Services</td>
<td>$256.17</td>
</tr>
<tr>
<td>Rush Hill for Council 2014</td>
<td>CTB</td>
<td>Campaign Contribution</td>
<td>$500.00</td>
</tr>
<tr>
<td>Craig Batley</td>
<td>RFD</td>
<td>Returned Contributions</td>
<td>$1,100.00</td>
</tr>
<tr>
<td>Gina Rosansky</td>
<td>RFD</td>
<td>Returned Contributions</td>
<td>$500.00</td>
</tr>
</tbody>
</table>

*Payments that are contributions or independent expenditures must also be summarized on Schedule D.*

**SUBTOTAL $** 2,356.17