Recipient Committee Campaign Statement Cover Page Government Code Sections 84200-84216.5)  SEE INSTRUCTIONS ON REVERSE	Statement covers period from09/23/2018 through10/20/2018	Date of election if applicable: (Month, Day, Year)	E-Filed 10/25/2018 13:00:04 Filing ID: 174477328	CALIFORNIA 460  Page 1 of 23  For Official Use Only
State Candidate Election Committee  Recall (Also Complete Part 5)  General Purpose Committee  Sponsored Small Contributor Committee	rimarily Formed Ballot Measure ommittee ) Controlled ) Sponsored lso Complete Part 6) rimarily Formed Candidate/ fficeholder Committee lso Complete Part 7)	2. Type of Statement:    Preelection Statement     Semi-annual Statement     Termination Statement (Also file a Form 410 Te	Speci Supp State	eerly Statement al Odd-Year Report lemental Preelection ment - Attach Form 495
S Committee information	. NUMBER .367215	Treasurer(s)		
COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)  Duffy Duffield for City Council 2018		NAME OF TREASURER  Lysa Ray  MAILING ADDRESS		
STREET ADDRESS (NO P.O. BOX)	_	CITY Santa Ana	STATE ZIP CC CA 9270	
CITY STATE ZIP CO	DE AREA CODE/PHONE	NAME OF ASSISTANT TREASUR	RER, IF ANY	
Newport Beach CA 9266 MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. Be	· · · · · · · · · · · · · · · · · · ·	MAILING ADDRESS		
CITY STATE ZIP CO Santa Ana CA 9270		СІТҮ	STATE ZIP CC	DDE AREA CODE/PHONE
OPTIONAL: FAX / E-MAIL ADDRESS duffy@duffyboats.com/Lysaray.campaignservices	s@gmail.com	OPTIONAL: FAX / E-MAIL ADDR	ESS	
I have used all reasonable diligence in preparing and reviewing under penalty of perjury under the laws of the State of California  Executed on   10/25/2018  Date  Executed on  Date	that the foregoing is true and correct.  By Lysa Ray  Duffy Duffy	Signature of Treasurer or Assistant 1	reasurer conent or Responsible Officer of Sponsor	es is true and complete. I certify
Executed onDate	Ву	Signature of Controlling Officeholder, Candidate, St	ate Measure Proponent	FPPC Form 460 (Jan/2016)

# Recipient Committee Campaign Statement Cover Page — Part 2

COVER PAGE - PART 2								
	ORNIA ORM	4	60					
Page	2	of _	23					

Officeholder or Candidate Controlled Committee	6.	Primarily Formed Ball	ot Measure	Committee		
NAME OF OFFICEHOLDER OR CANDIDATE		NAME OF BALLOT MEASURE				
Marshall Duffy Duffield						
OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)		BALLOT NO. OR LETTER	JURISDICT	ION		SUPPORT
City Council Member: City of Newport Beac District 3						OPPOSE
RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP		Identify the controlling of	ficeholder, ca	ındidate, or sta	ate measure	proponent, if any
Newport Beach CA 92663		NAME OF OFFICEHOLDER, CA	NDIDATE, OR P	ROPONENT		
Related Committees Not Included in this Statement: List any committees not included in this statement that are controlled by you or are primarily formed to receive		OFFICE SOUGHT OR HELD			DISTRICT NO.	IF ANY
contributions or make expenditures on behalf of your candidacy.						
COMMITTEE NAME I.D. NUMBER						
NAME OF TREASURER CONTROLLED COMMITTEE?	7.	Primarily Formed Car officeholder(s) or candidate(				
☐ YES ☐ NO			s) for which th		<u> </u>	ieu.
COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)		NAME OF OFFICEHOLDER OR	CANDIDATE	OFFICE SOUC	GHT OR HELD	SUPPORT OPPOSE
CITY STATE ZIP CODE AREA CODE/PHONE		NAME OF OFFICEHOLDER OR	CANDIDATE	OFFICE SOUC	GHT OR HELD	SUPPORT OPPOSE
COMMITTEE NAME I.D. NUMBER				055105 00116		
		NAME OF OFFICEHOLDER OR	CANDIDATE	OFFICE SOUC	GHT OR HELD	SUPPORT OPPOSE
NAME OF TREASURER CONTROLLED COMMITTEE?		NAME OF OFFICEHOLDER OR	CANDIDATE	OFFICE SOU	GHT OR HELD	☐ SUPPORT
☐ YES ☐ NO						OPPOSE
COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)						
CITY STATE ZIP CODE AREA CODE/PHONE		<u>.</u>				
STATE ZIP CODE AREA CODE/PHONE		Atta	ch continuat	ion sheets if n	ecessary	

### Campaign Disclosure Statement Summary Page

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Amounts may be rounded to whole dollars.

SUMMARY PAGE
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Duffy Duffield for City Council 2018 1367215 Column A **Calendar Year Summary for Candidates** Column B Contributions Received TOTAL THIS PERIOD CALENDAR YEAR Running in Both the State Primary and (FROM ATTACHED SCHEDULES) TOTAL TO DATE **General Elections** 27,300.00 126,267.00 1/1 through 6/30 7/1 to Date 0.00 20. Contributions **\$** 126,267.00 27,300.00 Received 4. Nonmonetary Contributions ...... Schedule C, Line 3 4,280.87 21. Expenditures Made **\$** 130,547.87 **Expenditures Made Expenditure Limit Summary for State** Candidates 7. Loans Made ...... Schedule H, Line 3 0.00 22. Cumulative Expenditures Made\* (If Subject to Voluntary Expenditure Limit) 51,752.37 Date of Election Total to Date (mm/dd/yy) 4,280.87 \$ 112,704.15 **Current Cash Statement** 12. Beginning Cash Balance ...... Previous Summary Page, Line 16 \$ \_\_\_\_\_ 85,861.48 To calculate Column B, add 27,300.00 amounts in Column A to the 13. Cash Receipts ...... Column A, Line 3 above corresponding amounts \*Amounts in this section may be different from amounts 14. Miscellaneous Increases to Cash ...... Schedule I, Line 4 0.00 from Column B of your last reported in Column B. report. Some amounts in 21,542.36 Column A may be negative 91,619.12 figures that should be 16. **ENDING CASH BALANCE** ............ Add Lines 12 + 13 + 14, then subtract Line 15 \$ subtracted from previous If this is a termination statement, Line 16 must be zero. period amounts. If this is the first report being filed for this calendar year, only 0.00 17. LOAN GUARANTEES RECEIVED ...... Schedule B, Part 2 \$ \_\_\_\_\_ carry over the amounts from Lines 2, 7, and 9 (if **Cash Equivalents and Outstanding Debts** anv). 18. Cash Equivalents ...... See instructions on reverse \$ 

FPPC Form 460 (Jan/2016) FPPC Advice: advice@fppc.ca.gov (866/275-3772) www.fppc.ca.gov

SEE INSTRUCTION NAME OF FILER	Amounts may be rounded to whole dollars.  Statement covers period from09/23/2018  INSTRUCTIONS ON REVERSE  FOR FILER  fy Duffield for City Council 2018				700			
DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO I CALENDAR YE (JAN. 1 - DEC.	AR		R ELECTION TO DATE REQUIRED)
10/08/2018	Alan Airth Newport Beach, CA 92663		Owner Airth Company	500.00	5	00.00	G2018 G2014	\$500.00 \$1,100.00
10/10/2018	William Anderson Newport Beach, CA 92663		Real Estate Broker Coldwell Banker	1,000.00	1,0	00.00	G2018 G2014	\$1,000.00 \$1,000.00
10/04/2018	George Argyros Newport Beach, CA 92660		CEO Arnel & Affiliates	1,100.00	1,1	00.00	G2018	\$1,100.00
10/04/2018	Julianne Argyros Newport Beach, CA 92660		Philanthropist Argyros Family Foundation	1,100.00	1,1	00.00	G2018	\$1,100.00
10/10/2018	Brett Bashaw Newport Beach, CA 92661	IND  COM  OTH  PTY  SCC	President Silver Creek	1,100.00	1,1	00.00	G2018 G2014	\$1,100.00 \$1,100.00
			SUBTOTAL	4,800.00				

#### **Schedule A Summary**

Amount received this period – itemized monetary contributions.
 (Include all Schedule A subtotals.)

 Amount received this period – unitemized monetary contributions of less than \$100

..... **TOTAL \$** \_\_\_\_\_\_27,300.00

\*Contributor Codes

IND - Individual

COM – Recipient Committee (other than PTY or SCC)

OTH – Other (e.g., business entity)

PTY - Political Party

SCC - Small Contributor Committee

Amounts may be rounded to whole dollars.

SCHEDULE A (CONT.)

Monetary Contributions Received  Amounts may be rounded to whole dollars.		Statement cove	•	CALIFO FOR		460		
				through10/20/	2018	Page	<u>5</u> of	f23
NAME OF FILER			L			I.D. NUMB	ER	
Ouffy Duffiel	d for City Council 2018					1367215		
DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO CALENDAR YE (JAN. 1 - DEC.	AR	TO	LECTION DATE QUIRED)
10/10/2018	Basin Marine, Inc. Newport Beach, CA 92660	☐IND ☐COM ☑OTH ☐PTY ☐SCC		500.00	50	0.00 G2 G2	018 014	\$500.00 \$1,000.00
10/10/2018	H Seymour Beek Newport Beach, CA 92662		President Balboa Island Ferry	250.00	75	0.00 G2 G2	018 014	\$750.00 \$1,100.00
10/16/2018	Theodore Broedlow Corona Del Mar, CA 92625	☑IND □COM □OTH □PTY □SCC	Retired	100.00	10	0.00 G2	018	\$100.00
10/16/2018	Richard Browne Newport Beach, CA 92663		Owner Carlton Browne & Co	200.00			014	\$200.00 \$750.00
10/01/2018	Bo Brusco Longview, WA 98632		CEO Brusco Tug J Barge Inc.	500.00	50	0.00 G2 G2	018 014	\$500.00 \$250.00
			SUBTOTAL	<b>\$</b> 1,550.00				

\*Contributor Codes

IND - Individual

COM - Recipient Committee

(other than PTY or SCC)

OTH – Other (e.g., business entity)

Amounts may be rounded to whole dollars.

SCHEDULE A (CONT.)

Monetary (	Amounts may be rounded to whole dollars.  Statement covers period from		CALIFO FOR				
				through10/20/	2018	Page	6 of23
NAME OF FILER			L			I.D. NUMBE	R
Ouffy Duffield	d for City Council 2018					1367215	
DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO I CALENDAR YE, (JAN. 1 - DEC. 3	AR	PER ELECTION TO DATE (IF REQUIRED)
	Robert Steele Burnand Newport Beach, CA 92660		Retired	250.00		0.00 G20	\$250.00
	David Clark Newport Beach, CA 92661	IND  COM  OTH  PTY  SCC	Real Estate Warmington Homes	250.00	25	0.00 G20	
	CR&R Incorporated Stanton, CA 90680	□IND □COM ☑OTH □PTY □SCC		1,100.00	1,10	0.00 G20	
	Leslie Daigle Newport Beach, CA 92660		Consultant Self	125.00		5.00 G20	·
	Margaret Darnell Newport Beach, CA 92661		Retired	1,100.00	1,10	0.00 G20	\$1,100.00
			SUBTOTALS	\$ 2,825.00			

\*Contributor Codes

IND - Individual

COM - Recipient Committee

(other than PTY or SCC)

OTH – Other (e.g., business entity)

Amounts may be rounded to whole dollars.

SCHEDULE A (CONT.)

Monetary Contributions Received		Contributions Received  Amounts may be rounded to whole dollars.			ers period	CALIFORNIA 460			
				through10/20/	2018	Page7	of23		
NAME OF FILER			<u></u>			I.D. NUMBER			
Ouffy Duffiel	d for City Council 2018					1367215			
DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DEC. (JAN. 1 - DEC. 3)	AR	PER ELECTION TO DATE (IF REQUIRED)		
10/04/2018	Joseph Diestel Newport Beach, CA 92663	☐IND ☐COM ☑OTH ☐PTY ☐SCC	Retired	250.00	25	0.00 G201	8 \$250.00		
10/10/2018	Richard Duggan Newport Beach, CA 92660		Real Estate Investments Duggan & Assoc	250.00	50	0.00 G201 G201			
10/04/2018	Steven Eggert Sacramento, CA 95864		Founder Anton DevCo	500.00	50	0.00 G201	8 \$500.00		
10/11/2018	Andrew Goetz Corona Del Mar, CA 92625		Architect Self	125.00		5.00 G200	·		
10/01/2018	Merrilee Harris San Francisco, CA 94123		President CHC Clinics	500.00	50	0.00 G201	8 \$500.00		
			SUBTOTAL	<b>\$</b> 1,625.00					

\*Contributor Codes

IND - Individual

COM - Recipient Committee

(other than PTY or SCC)

OTH – Other (e.g., business entity)

Amounts may be rounded to whole dollars.

SCHEDULE A (CONT.)

Monetary	Contributions Received	Amounts may to whole o			tatement covers period 09/23/2018		FORNI <i>A</i> ORM	460
				through10/20/	2018	Page _	8	of23
NAME OF FILER						I.D. NU	MBER	
Ouffy Duffiel	ld for City Council 2018					13672	15	
DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO CALENDAR Y (JAN. 1 - DEC	EAR	Т	ELECTION TO DATE REQUIRED)
10/10/2018	Sandi Hill Newport Beach, CA 92663		Homemaker	1,100.00		00.00		\$1,100.00
10/20/2018	Bradley Hillgren Newport Beach, CA 92660		Investment Manager Self	500.00	5	00.00	G2018	\$500.00
10/04/2018	Bill Holder Newport Beach, CA 92660		Dean USC	250.00	2	50.00	G2018 G2014	\$250.00 \$1,100.00
10/02/2018	Gary Hunt Newport Beach, CA 92662	☑IND □COM □OTH □PTY □SCC	Vice Chair California Strategies	125.00	1	25.00	G2018	\$125.00
10/02/2018	Inland Group Newport Beach, CA 92660	☐IND ☐COM ☑OTH ☐PTY ☐SCC		1,100.00	1,1	00.00	G2018	\$1,100.00
			SUBTOTALS	\$ 3,075.00				

\*Contributor Codes

IND - Individual

COM - Recipient Committee

(other than PTY or SCC)

OTH – Other (e.g., business entity)

Amounts may be rounded to whole dollars.

SCHEDULE A	(CONT.)
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Statement covers period

Monetary C	Ty Contributions Received  Amounts may be rounded to whole dollars.			from09/23/	CALIFORNIA 46			
				through10/20/	2018 Pa	ge9	of23	
IAME OF FILER			L		I.D	NUMBER		
uffy Duffield	for City Council 2018				13	67215		
DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DAT CALENDAR YEAR (JAN. 1 - DEC. 31)		R ELECTION TO DATE REQUIRED)	
C	Mark Kehke Corona Del Mar, CA 92625		Investor Sierra Pacific	250.00		G2018 G2014	\$250.00 \$1,100.00	
	Gene Kraus Jewport Beach, CA 92663	IND  COM  OTH  PTY  SCC	General Contractor Kraus Construction	250.00	250.	G2018 G2014	\$250.00 \$1,100.00	
	uincoln Club of Orange County (ID# 970861) Ervine, CA 92618	□IND ⊠COM □OTH □PTY □SCC		500.00	500.	00 G2018	\$500.00	
	Karen Linden Newport Beach, CA 92663		Retired	500.00	1,000.	00 G2018	\$1,000.00	
	RT McCaffery Jewport Beach, CA 92662	☑IND □COM □OTH □PTY □SCC	Retired	250.00	250.	00 G2018	\$250.00	
			SUBTOTAL	\$ 1,750.00				

\*Contributor Codes

IND - Individual

COM - Recipient Committee

(other than PTY or SCC)

OTH – Other (e.g., business entity)

Amounts may be rounded to whole dollars.

SCHEDULE A (CONT.)

CALIFORNIA 460

Statement covers period

Newport Beach, CA 92660					from09/23/	2018		ORM	100
1367215     1367					through10/20/	2018	Page	10	of
DATE   RECEIVED   FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR   CODE   COD	IAME OF FILER						I.D. NL	JMBER	
DATE   RECEIVED   CONTRIBUTOR   CODE *   CONTRIBUTOR   CODE *	uffy Duffie	ld for City Council 2018					13672	215	
Newport Beach, CA 92660   COM				OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME	RECEIVED THIS	CALENDAR Y	EAR	т	O DATE
Ontario, CA 91764    COM	09/25/2018		□COM □OTH □PTY		100.00	1	00.00	G2018	\$100.00
Newport Beach, CA 92663	10/02/2018		□COM □OTH □PTY		1,100.00	1,1	00.00		\$1,100.00 \$1,100.00
Corona Del Mar, CA 92625	10/02/2018		□COM □OTH □PTY	Homemaker	1,100.00	1,1	00.00	G2018	\$1,100.00
Irvine, CA 92618  □ OTH □ PTY	10/02/2018		□COM □OTH □PTY		500.00	5	00.00		\$500.00 \$1,000.00
	10/11/2018		☐ COM ☐ OTH ☐ PTY		250.00	2	50.00		\$150.00 \$250.00
<b>SUBTOTAL</b> \$ 3,050.00				SUBTOTAL	3,050.00				

\*Contributor Codes

IND - Individual

COM - Recipient Committee

(other than PTY or SCC)

OTH – Other (e.g., business entity)

Amounts may be rounded to whole dollars.

SCHEDULE A (CONT.)

CALIFORNIA 460

Statement covers period

	from09/23/	2018	FORM	
	through10/20/	2018	Page11	1 of23
IAME OF FILER			I.D. NUMBER	
uffy Duffield for City Council 2018			1367215	
DATE RECEIVED FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER) CONTRIBUTOR CODE * IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO CALENDAR YE (JAN. 1 - DEC.	AR	PER ELECTION TO DATE (IF REQUIRED)
Newport Mooring Assoc Newport Beach, CA 92660  COM OTH PTY SCC	1,100.00	1,10	00.00 G201	8 \$1,100.00
Don Nikols Newport Beach, CA 92663    X IND   Principal   The Nikols Co     OTH   PTY   SCC	1,100.00	1,10	00.00 G201 G201	
Steve Rados   Newport Beach, CA 92663   Steve P Rados Inc   Stev	500.00	5(	00.00 G201	8 \$500.00
Ragco   Newport Beach, CA 92660   COM   SOTH   PTY   SCC	1,000.00	1,00	00.00 G201	8 \$1,000.00
Phillip Ramser Newport Beach, CA 92660    COM   OTH   PTY   SCC	100.00	10	00.00 G201 G201	
SUBTOTAL	\$ 3,800.00			

\*Contributor Codes

IND - Individual

COM - Recipient Committee

(other than PTY or SCC)

OTH – Other (e.g., business entity)

Amounts may be rounded to whole dollars.

SCHEDULE A (CONT.)

CALIFORNIA 460

Statement covers period

Sevices   COM					from09/23/	2018	F	ORM	100
DATE   FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR   CONTRIBUTOR   CODE *   COUNTING OF COMMITTEE. ALSO ENTERLD NAMEER)   CODE *   COUNTING OF COMMITTEE. ALSO ENTERLD NAMEER)   CODE *   COUNTING AND EMPTOVER   CALENDAR YEAR (JAN. 1 - DEC. 31)   COUNTING OF COMMITTEE. ALSO ENTERLD NAMEER)   COME   COUNTING AND EMPTOVER   CALENDAR YEAR (JAN. 1 - DEC. 31)   COUNTING COUNTING OF					through10/20/	2018	Page	12	of
DATE   RECEIVED   FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR   COOP   COUNTY   COOP   COUNTY   RECEIVED THIS   CALENDAR YEAR (JAN. 1 - Dec. 31)   TODATE   CALENDAR YEAR (JAN. 1 - Dec. 31)   TODATE   COOP	IAME OF FILER			<u> </u>			I.D. NU	MBER	
A	uffy Duffie	ld for City Council 2018					13672	215	
Sevices   COM				OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME	RECEIVED THIS	CALENDAR Y	EAR	Т	O DATE
Newport Beach, CA 92662	10/01/2018	Sevices	☐COM 図OTH ☐PTY		500.00	5	00.00	G2018	\$500.00
Newport Beach, CA 92661	10/10/2018		□COM □OTH □PTY		1,100.00	1,1	00.00		\$1,100.00 \$1,100.00
Los Angeles, CA 90049    COM	10/04/2018		□COM □OTH □PTY		250.00	2	50.00	G2018	\$250.00
Corona Del Mar, CA 92625	09/29/2018		☐COM ☐OTH ☐PTY	Retired	250.00	2	50.00	G2018 G2014	\$250.00 \$500.00
SUBTOTAL\$ 2,600.00	10/10/2018		☐COM ☐OTH ☐PTY	Retired	500.00	5	00.00		\$500.00 \$1,000.00
				SUBTOTALS	2,600.00				

\*Contributor Codes

IND - Individual

COM - Recipient Committee

(other than PTY or SCC)

OTH – Other (e.g., business entity)

SCHEDULE A (CONT.)

CALIFORNIA ACO

Statement covers period

o.i.o.a.		to whole	dollars.	from09/23/	2018	FORM	460
				through10/20/	2018 P	age13	of <u>23</u>
NAME OF FILER					1	.D. NUMBER	
Duffy Duffie	ld for City Council 2018				1	.367215	
DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DA CALENDAR YEA (JAN. 1 - DEC. 3	R T	ELECTION O DATE REQUIRED)
10/04/2018	Richard Tait Newport Beach, CA 92660		President Tait & Assoc	300.00	300	.00 G2018 G2014	\$300.00 \$250.00
09/27/2018	Kristine Thagard Irvine, CA 92620		Attorney Marshack Hays	250.00	250	.00 G2018 G2014	\$250.00 \$250.00
10/10/2018	Frank Trane Newport Beach, CA 92661		Retired	500.00	500	.00 G2018 G2014	\$500.00 \$500.00
10/02/2018	Jay Tsai Newport Coast, CA 92657	☑IND □COM □OTH □PTY □SCC	Retired	250.00	250	.00 G2018	\$250.00
09/25/2018	Ware Disposal, Inc. Santa Ana, CA 92701	☐IND ☐COM ☑OTH ☐PTY ☐SCC		500.00	500	.00 G2018 G2014	\$500.00 \$250.00
			SUBTOTAL	\$ 1,800.00			

Amounts may be rounded

\*Contributor Codes

IND - Individual

COM - Recipient Committee

(other than PTY or SCC)

OTH – Other (e.g., business entity)

PTY - Political Party

SCC – Small Contributor Committee

SCHEDULE A (CONT.)

Monetary	netary Contributions Received  Amounts may be rounded to whole dollars.			Statement cover from09/23/	2018	F	FORNIA DRM	460
				through10/20/	2018	)	14 of	23
NAME OF FILER						I.D. NU	MBER	
Duffy Duffie	ld for City Council 2018					13672	15	
DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO CALENDAR Y (JAN. 1 - DEC	EAR	TO	LECTION DATE QUIRED)
10/04/2018	Julie Wilson Newport Beach, CA 92663		Retired	250.00	2	50.00	G2018 G2014	\$250.00 \$500.00
10/04/2018	Barry Zanck Newport Beach, CA 92660		Mortgage Banker Americap Direct Funding	125.00	1	25.00	G2018	\$125.00
		☐IND ☐COM ☐OTH ☐ PTY ☐SCC						
		□IND □COM □OTH □PTY □SCC						
		□IND □COM □OTH □PTY □SCC						
			SUBTOTAL	\$ 375.00				

\*Contributor Codes

IND - Individual

COM - Recipient Committee

(other than PTY or SCC)

OTH – Other (e.g., business entity)

Schedule D Summary of Expenditures Supporting/Opposing Other Candidates, Measures and Committees

Amounts may be rounded to whole dollars.

Duffy Duffield for City Council 2018

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

1367215

DATE	NAME OF CANDIDATE, OFFICE, AND DISTRICT, MEASURE NUMBER OR LETTER AND JURISDICTI OR COMMITTEE		DESCRIPTION (IF REQUIRED)	AMOUNT THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)	
10/15/2018	Require Voter Approval Prior to incurr debt Measure: T City of Newport Beach  X Support Oppose	☐ Monetary Contribution ☐ Nonmonetary Contribution ☐ Independent Expenditure	LIT & POS	6,750.25	9,000.25		
10/17/2018	Require Voter Approval Prior to incurr debt Measure: T City of Newport Beach  X Support Oppose	Monetary Contribution  Nonmonetary Contribution	LIT & POS	2,250.00	9,000.25		
	Support Oppose	☐ Monetary Contribution ☐ Nonmonetary Contribution ☐ Independent Expenditure					
	SUBTOTAL \$ 9,000.25						

#### **Schedule D Summary**

1. Contributions and independent expenditures made this period of \$100 or more. (Include all Schedule D subtotals.)	9,000.25
2. Unitemized contributions and independent expenditures made this period of under \$100	0.00
3. Total contributions and independent expenditures made this period. (Add Lines 1 and 2. Do not enter on the Summary Page.)	9,000.25

Schedule E	
Payments Made	

				SC	HEDULE E
Statement covers		ORNI	A /	IGN	
from09/23/2	1018	FC	DRM		
through <sup>10/20/2</sup>	1018	Page _	16	of _	23
<u> </u>			JMBER		
		1367	215		

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Duffy Duffield for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)
LII	campaign incrature and mailings	1 131	print add	VVLD	information technology costs (internet, e mail)

	NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE C	R	DESCRIPTION OF PAYMENT	AMOUNT PAID
Anedot Baton Rouge, LA 70808			cc Processing	3	44.30
Anedot Baton Rouge, LA 70808			cc Processing	3	25.60
Anedot Baton Rouge, LA 70808			cc Processing	3	24.90

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D. SUBTOTAL\$ 94.80

#### **Schedule E Summary**

1. Itemized payments made this period. (Include all Schedule E subtotals.)\$	21,542.36
2. Unitemized payments made this period of under \$100\$	0.00
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)\$	0.00
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A. Line 6.)	21,542.36

Schedule E	
(Continuation	on Sheet)
Payments N	lade

Statement covers period		CALIFORNIA 460		
from	09/23/2018	FORM TOO		
through_	10/20/2018	Page17 of23		
		I.D. NUMBER		
		1367215		

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

LIT

Duffy Duffield for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc. MBR member communications RAD radio airtime and production costs MTG meetings and appearances campaign consultants RFD returned contributions CNS CTB contribution (explain nonmonetary)\* OFC office expenses SAL campaign workers' salaries petition circulating CVC civic donations PET TEL t.v. or cable airtime and production costs FIL candidate filing/ballot fees PHO phone banks TRC candidate travel, lodging, and meals polling and survey research fundraising events staff/spouse travel, lodging, and meals POL independent expenditure supporting/opposing others (explain)\* postage, delivery and messenger services TSF transfer between committees of the same candidate/sponsor POS

LEG legal defense PRO professional services (legal, accounting) VOT voter registration

campaign literature and mailings PRT print ads

VOT voter registration

WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	AMOUNT PAID
Anedot Baton Rouge, LA 70808	cc Processing	20.60
Anedot Baton Rouge, LA 70808	cc Processing	129.50
Anedot Baton Rouge, LA 70808	cc Processing	89.40
Anedot Baton Rouge, LA 70808	cc Processing	20.30
Anedot Baton Rouge, LA 70808	cc Processing	44.30

<sup>\*</sup> Payments that are contributions or independent expenditures must also be summarized on Schedule D. SUBTOTAL \$ 304.10

Schedule E	
(Continuation Sheet)	)
Payments Made	

Stater	ment covers period	CALIFORNIA 160
from	09/23/2018	FORM 400
through _	10/20/2018	Page 18 of 23
		I.D. NUMBER
		1367215

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Duffy Duffield for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc. MBR member communications RAD radio airtime and production costs MTG meetings and appearances campaign consultants RFD returned contributions CNS CTB contribution (explain nonmonetary)\* OFC office expenses SAL campaign workers' salaries PET petition circulating CVC civic donations TEL t.v. or cable airtime and production costs FIL candidate filing/ballot fees PHO phone banks TRC candidate travel, lodging, and meals

FND fundraising events

POL polling and survey research

TRS staff/spouse travel, lodging, and meals

LEG legal defense PRO professional services (legal, accounting) VOT voter registration

T campaign literature and mailings PRT print ads WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR DESCRIPTION OF PAYMENT	AMOUNT PAID
Anedot Baton Rouge, LA 70808		cc Processing	20.30
Bieber Communications Santa Ana, CA 92704	LIT		12,292.15
Bieber Communications Santa Ana, CA 92704	CMP		671.76
Delta Partners New, CA 92660	CNS		5,000.00
Lysa Ray Campaign Services Santa Ana, CA 92704	PRO		250.00

<sup>\*</sup> Payments that are contributions or independent expenditures must also be summarized on Schedule D. SUBTOTAL \$ 18,234.21

Sc	hed	lule	E	
(Co	onti	nua	tion	Sheet)
Pa	yme	ents	Mad	de

Statement covers period	CALIFORNIA 160
from09/23/2018	FORM <b>400</b>
through10/20/2018	Page 19 of 23
	I.D. NUMBER
	1367215

SEE INSTRUCTIONS ON REVERSE NAME OF FILER

Duffy Duffield for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
1.50	land datasa		and the section of the section of the section (the section of the	VOT	and an analytical for

LEG legal defense PRO professional services (legal, accounting) VOT voter registration
LIT campaign literature and mailings PRT print ads WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Visteva Corona, CA 92883	LIT			2,909.25

<sup>\*</sup> Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 

2,909.25

#### Schedule F **Accrued Expenses (Unpaid Bills)**

Amounts may be rounded to whole dollars.

Statement covers period **CALIFORNIA FORM** 09/23/2018 through  $\underline{10}/20/2018$ Page 20 of 23 I.D. NUMBER

1367215

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

IND

Duffy Duffield for City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

campaign paraphernalia/misc. MBR member communications RAD radio airtime and production costs campaign consultants MTG meetings and appearances RFD returned contributions CTB contribution (explain nonmonetary)\* SAL campaign workers' salaries OFC office expenses CVC civic donations PET petition circulating TEL t.v. or cable airtime and production costs

candidate filing/ballot fees phone banks TRC candidate travel, lodging, and meals fundraising events polling and survey research TRS staff/spouse travel, lodging, and meals

independent expenditure supporting/opposing others (explain)\* postage, delivery and messenger services LEG legal defense professional services (legal, accounting) VOT voter registration

transfer between committees of the same candidate/sponsor

LIT campaign literature and mailings	PRT print ads	( 2027, 2022 2 2 2 3,	WEB information technology costs (internet, e-mail)				
NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(c) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD		
Delta Partners New, CA 92660	CNS	0.00	5,000.00	0.00	5,000.00		
Bieber Communications Santa Ana, CA 92704	LIT	0.00	6,750.62	0.00	6,750.62		
Candid Research Solutions Los Alamitos, CA 90720	POL	0.00	13,000.00	0.00	13,000.00		
* Payments that are contributions or independent expenditures must also be summarized on Schedule D.	SUBTOTALS	\$ 0.00	24,750.62	0.00\$	24,750.62		

#### **Schedule F Summary**

- 1. Total accrued expenses incurred this period. (Include all Schedule F, Column (b) subtotals for
- 2. Total accrued expenses paid this period. (Include all Schedule F, Column (c) subtotals for payments on accrued expenses of \$100 or more, plus total unitemized payments on accrued expenses under \$100.) .......PAID TOTALS \$ \_\_\_\_\_ 0.00
- 3. Net change this period. (Subtract Line 2 from Line 1. Enter the difference here and on the Summary Page, Column A, Line 9.)

  NET \$
  \[ \frac{51,752.37}{\text{May be a negative number}} \]

#### Schedule F (Continuation Sheet) Accrued Expenses (Unpaid Bills)

Amounts may be rounded to whole dollars.

		,			
	nent covers period	CALIFORNIA 460			
from	09/23/2018	I OINW = 3 3			
through_	10/20/2018	Page 21 of 23			
		I.D. NUMBER			
		1367215			

NAME OF FILER

Duffy Duffield for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

<sup>\*</sup> Payments that are contributions or independent expenditures must also be summarized on Schedule D.

NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(c) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD
Bieber Communications Santa Ana, CA 92704	IND LIT & POS	0.00	6,750.25	0.00	6,750.25
Bieber Communications Santa Ana, CA 92704	LIT	0.00	6,750.25	0.00	6,750.25
Bieber Communications Santa Ana, CA 92704	LIT	0.00	13,501.25	0.00	13,501.25
	SUBTOTALS	\$ 0.00\$	\$ 27,001.75	0.00	\$ 27,001.75

# Schedule G Payments Made by an Agent or Independent Contractor (on Behalf of This Committee)

Amounts may be rounded to whole dollars.

	SCHEDULE G
Statement covers period	CALIFORNIA 160
from09/23/2018	FORM 40U
through10/20/2018	Page 22 of 23
	I.D. NUMBER
	1367215

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Duffy Duffield for City Council 2018

NAME OF AGENT OR INDEPENDENT CONTRACTOR

Bieber Communications

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С	MP campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
С	NS campaign consultants	MTG	meetings and appearances	RFD	returned contributions
C	TB contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
С	VC civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FI	L candidate filing/hallot fees	PHO	nhone hanks	TRC	candidate travel lodging and meals

FIL candidate filing/ballot fees

FND fundraising events

PHO phone banks

POL polling and survey research

POL polling and survey research

FND independent expenditure supporting/opposing others (explain)\*

POS postage, delivery and messenger services

FNS transfer between committees of the same candidate/sponsor

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment

LEG legal defense PRO professional services (legal, accounting) VOT voter registration

G legal defense PRO professional services (legal, accounting) VOT voter registrative

campaign literature and mailings PRT print ads WEB information technology costs (internet, e-mail)

<sup>\*</sup> Payments that are contributions or independent expenditures must also be summarized on Schedule D.

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR DESCRIPTION OF PAYMENT	AMOUNT PAID
USPS Santa Ana, CA 92705	POS		4,590.00
USPS Santa Ana, CA 92705	POS		2,550.00
USPS Santa Ana, CA 92705	POS		2,550.00
USPS Santa Ana, CA 92705	POS		2,550.00

Attach additional information on appropriately labeled continuation sheets.

\* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

TOTAL\* \$

12,240.00

# Schedule G (Continuation Sheet) Payments Made by an Agent or Independent Contractor (on Behalf of This Committee)

Amounts may be rounded to whole dollars.

	SCHEDULE G (CONT.
Statement covers period	CALIFORNIA 160
from09/23/2018	FORM 40U
through	Page 23 of 23
	I.D. NUMBER
	1367215

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Duffy Duffield for City Council 2018

NAME OF AGENT OR INDEPENDENT CONTRACTOR

Bieber Communications

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

campaign paraphernalia/misc. member communications radio airtime and production costs campaign consultants MTG meetings and appearances returned contributions contribution (explain nonmonetary)\* OFC office expenses SAL campaign workers' salaries petition circulating TEL t.v. or cable airtime and production costs CVC civic donations candidate filing/ballot fees PHO phone banks TRC candidate travel, lodging, and meals TRS staff/spouse travel, lodging, and meals fundraising events POL polling and survey research transfer between committees of the same candidate/sponsor independent expenditure supporting/opposing others (explain)\* postage, delivery and messenger services TSF

LEG legal defense PRO professional services (legal, accounting) VOT voter registration

T campaign literature and mailings PRT print ads WEB information technology costs (internet, e-mail)

<sup>\*</sup> Payments that are contributions or independent expenditures must also be summarized on Schedule D.

NAME AND ADDRESS OF PAYEE OR CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE (	OR DESCRIPTION OF PAYMENT	AMOUNT PAID
USPS Santa Ana, CA 92705	POS		5,100.00
	L		

Attach additional information on appropriately labeled continuation sheets.

\* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

TOTAL\* \$

5,100.00