
TABLE OF CONTENTS

1. Executive Summary	1-1
Overview of the Consolidated Planning Process	
Strategic Planning Table	
2. Strategic Plan	2-1
Introduction	
Local Objectives	
HUD-Specified Objectives	
3. Available Resources	3-1
Federal Resources	
State and Local Resources	
HOME Match	
Other Resources	
4. Distribution of Resources	4-1
Distribution Among Priority Need	
Geographic Distribution	
CDBG Eligible Area Map	
5. Program Year Activities	5-1
Summary	
Project Details	
Appendices	
A. SF-424 and Certifications	
B. Project Summaries (CPMP Excel Tables)	
C. Proof of Public Notices	
D. Map (Newport Beach Eligible Areas)	
E. Action Plan Checklist	

CITY OF NEWPORT BEACH ANNUAL ACTION PLAN
FOR PROGRAM YEAR 2009-2010

Chapter 1. EXECUTIVE SUMMARY

1. EXECUTIVE SUMMARY

Overview of Consolidated Plan Process

Each year, the City of Newport Beach receives Community Development Block Grant (CDBG) funds from the U.S. Department of Housing and Urban Development (HUD). These funds are used to benefit the low- and moderate-income residents of the City in three (3) ways:

- By providing decent housing;
- By providing a suitable living environment; and
- By expanding economic opportunities.

To ensure that the funds are used to meet the most urgent needs in the community, the City develops a community needs assessment. The needs assessment includes quantitative and statistical research, resident survey results, and input from local non-profits and service agencies. Based on the needs assessment, the City compiles a list of goals and objectives to meet the community's needs in a Strategic Plan. The objectives address housing, homeless, and other community development needs. A summary of the current strategic plan is included in this Plan. Together, the community needs assessment and the Strategic Plan form the Consolidated Plan. The Consolidated Plan is developed every five (5) years.

In accordance with HUD requirements, the One-Year Action Plan will be submitted to HUD at least 45 days prior to the beginning of the 2009-2010 Program Year.

One-Year Action Plan for 2009-2010 Program Year

The City's Economic Development Division is responsible for developing the annual action plan and administering the programs included in the plan. This department made the following efforts to include all community stakeholders in the development of the action plan. This appendix has copies of the public notices and a summary of public comments received.

This is the One-Year Action Plan for program year 2009-2010, a component of the 2005-2009 Consolidated Plan. It describes how the City will utilize the new HUD grant funds and other available resources to undertake programs and projects that will help the City meet the goals and objectives outlined in its Consolidated Plan.

In the 2009-2010 Program Year, which starts July 1, 2009 and ends June 30, 2010, the City of Newport Beach will receive **\$355,659** in CDBG Funds. The City will allocate these funds to the following programs:

1. EXECUTIVE SUMMARY

Use of CDBG Funds

PUBLIC SERVICES	
Human Options – Community Resources Center	\$ 5,000
Families Forward – Transitional Housing Program	\$ 10,000
South County Senior Services – Mobile Meals	\$ 28,348
Serving People in Need – Substance Abuse Rehab Program	\$ 10,000
CAPITAL IMPROVEMENTS	
City of Newport Beach – ADA Sidewalks Improvements	\$ 33,645
City of Newport Beach – 108 Loan Repayment	\$ 197,535
PROGRAM ADMINISTRATION	
City of Newport Beach – Program Administration	\$ 60,000
Fair Housing Services	\$ 11,131
TOTAL	\$ 355,659

Request for Proposals

The City published a Notice of Funding Availability (NOFA) on December 12, 2008 in the Daily Pilot newspaper. The City also made the application available on the City's website and mailed applications to agencies receiving funds in the current year as well as those that had expressed interest. Agencies were given until January 21, 2009 to submit proposals. In response, five (5) public service providers and two (2) City Departments/Sections submitted applications for CDBG grants for Fiscal Year 2009-2010. The following organizations were involved in this process:

- Fair Housing Council of Orange County
- Families Forward
- Human Options
- South County Senior Services, Inc.
- Serving People In Need
- City of Newport Beach – Public Works Department
- City of Newport Beach – Economic Development Division

Citizen Participation

The draft One-Year Action Plan was made available to the general public for a period of 30 days prior to holding the City Council public hearing in order to provide residents or agencies the opportunity to review the recommendation for funding. In compliance with the City's approved Citizen's Participation Plan and CDBG implementing regulation 24 CFR 92-105, a Public Notice was published to solicit public comments from interested citizens and to consider and approve the City's 2009-2010 One-Year Action Plan. The public hearing comment period ran from March 14, 2009 to April 14, 2009. (Pending Information) ___ comments were received from the public.

1. EXECUTIVE SUMMARY

As a prerequisite to submitting its Annual Action Plan, the City's Citizen Participation Plan and CDBG implementing regulation require that a public hearing be held to allow citizens and public service agencies an opportunity to express their views concerning the use of said funds. Said public hearing was held on April 14, 2009 before the City Council. A total of five (5) public service agencies and two (2) City Departments were present. Agencies in attendance were given an opportunity to present their program and make a formal request for the funding of their program. Representatives from Fair Housing Council of Orange County, Families Forward, Human Options, South County Senior Services, and Serving People in Need spoke at the Council meeting on behalf of their programs. There was (pending information) additional written or oral comment or views from the public on the 2009-2010 Action Plan.

The City is committed to participation of minorities, non-English speaking persons, and persons with disabilities in the Consolidated Plan process. Wherever a significant number of extremely low- and low-income persons speak and read a primary language other than English, translation services at all public hearings are provided in such language if translation services are available and are requested in advance at least 72 hours prior to the public meeting.

Consultation

In addition to the NOFA and the mailed applications, the City met with the Department of Public Works to determine needs and strategies within the City's organization.

2009-2010 CDBG Allocations

The table below illustrates how the City will use the 2009-2010 CDBG allocation to meet the HUD-mandated priority need categories:

HUD PRIORITY NEED CATEGORIES	2008-09 FUNDING	2008-09 PERCENTAGE
Homeless/HIV/AIDS	25,000	7%
Non Homeless Special Needs	61,993	18%
Housing	11,131	3%
Non-housing Community Development	-	-
Public Facilities	-	-
Infrastructure	\$ 33,645	10%
Economic Development	\$ 197,535	55%
Public Services	\$ 53,348	15%
Administration	\$ 71,131	20%

The anticipated program accomplishments for each HUD priority need category are detailed in Local Objectives and HUD-Specified Objectives sections of this document. The following table compares the goals of activities of 2009-2010 program year funds with those identified in the 2005-09 Consolidated Plan.

1. EXECUTIVE SUMMARY

ACTIVITY	ACCOMPLISHMENT UNIT	2005-2009 FIVE YEAR GOAL	2009-2010 PROGRAM YEAR GOALS
HOUSING			
New construction - Rental	Households	120	0
Public Housing- Section 8	Households	133	97
Fair Housing	Households	1,525	153
SPECIAL NEEDS POPULATIONS			
Accessibility for Persons with Disabilities	Public Facilities	3	1 Facility
Supportive Services - Elderly	Persons	350	140
Supportive Services - Substance Abuse	Persons	25	8
Supportive Services - HIV/AIDS	Persons	200	0
HOMELESS			
Emergency & Transitional Housing	Persons	1,250	120
Homeless Prevention	Persons	300	128
Victims of Domestic Violence	Persons	250	40
COMMUNITY DEVELOPMENT			
Eliminate Blight	Households	2,500	497
Rehabilitate Community Facilities	Public Facilities	3	1
Preserve Community Infrastructure	Public Facilities	3	1

CDBG funds for the 2009-2010 program year will be allocated among the following national HUD outcomes for the three HUD objectives:

HUD OBJECTIVES	HUD OUTCOMES		
	AVAILABILITY / ACCESSIBILITY	AFFORDABILITY	SUSTAINABILITY
Provide Decent Affordable Housing	7%	-	-
Create Suitable Living Environments	41%	-	-
Create Economic Opportunities	52%	-	-

Improve the Availability and/or Accessibility of Decent Affordable Housing is achieved through the City's CDBG investment in fair housing activities such as education, landlord/tenant counseling, and legal action with the Fair Housing Council. The City increases the accessibility of decent affordable housing for its elderly and disabled residents through installation of ramps and providing curb cuts in many neighborhoods, specifically in low-moderate areas within the City limits. Two funded projects in the 2009-2010 program year will result in an increase in the accessibility of 153 low/moderate-income households and 8,386 low/moderate income persons:

- Fair Housing Services
- City of Newport Beach – ADA Sidewalk Improvements

Increase Availability and/or Accessibility of Suitable Living Environments is accomplished as the City contributes CDBG funds to five programs that provide an array of services from emergency and transitional services to home-delivered nutritious meals to homebound seniors. These programs will increase the suitable living environment of 238 low/moderate income persons:

1. EXECUTIVE SUMMARY

- Families Forward – Transitional Housing Program
- Human Options – Community Resource Center
- Serving People in Need (SPIN) – Substance Abuse Treatment
- South County Senior Services – Home Delivered Meals
- City of Newport Beach – CDBG Administration

Increase the Availability and/or Accessibility of Economic Opportunities is an on-going endeavor as the City continues to eliminate blight, improve deteriorated properties and preserve the community infrastructure in order to provide economic empowerment in Balboa Village. The improvements in Balboa village ultimately improve economic opportunities for low-income persons and increase the range of housing options and related services for persons with special needs. During the 2009-2010 program year, the City will use a portion of the CDBG funds to pay the debt on a prior CDBG loan that was the catalyst to improve the Balboa Village area:

- City of Newport Beach – Section 108 Loan Repayment

CITY OF NEWPORT BEACH ANNUAL ACTION PLAN
FOR PROGRAM YEAR 2009-2010

Chapter 2. STRATEGIC PLAN

2. STRATEGIC PLAN

Overview

The following is a summary of the Five-Year Strategic Plan Plan and how the activities proposed for the upcoming program year will work toward achieving the goals included in the Strategic Plan. The full version of the Strategic Plan is in the City's 2005-2009 Consolidated Plan and can be obtained from the City's Economic Development Division.

The strategic plan goals are divided between Newport Beach program objectives and HUD-specified objectives. The Newport Beach program goals were developed based on the specific needs found within the community. The HUD-specified goals are mandated by the federal government and designed to meet common needs found in communities throughout the country. An activity may appear more than once given that some local and federal goals overlap. All activities proposed for the 2009-2010 program year will strive to serve low- and moderate-income persons. No activities will seek eligibility under the "urgent need" eligibility.

Newport Beach Program Objectives

Housing Funding Priorities and Strategies:

1. Increase supply of housing units affordable to moderate-income households through new construction.
2. Maintain existing level of Section 8 housing vouchers and rent-restricted units within the City.
3. Ensure universal access to fair housing choice within the city.

Special Needs Funding Priorities and Strategies:

1. Increase accessibility of persons with disabilities to public facilities.
2. Improve supportive services for elderly residents.
3. Increase supportive services for persons suffering from substance abuse
4. Increase supportive services for persons living with HIV/AIDS.

2. STRATEGIC PLAN

Homeless Funding Priorities and Strategies:

1. Preserve the supply of emergency and transitional housing.
2. Improve services for homeless persons and prevent those at-risk of becoming homeless from losing their housing.
3. Improve services for women and children who are homeless because of domestic violence.

Non-Housing Community Development

1. Eliminate blight, blighting influences, and prevent the deterioration of property.
2. Improve health and safety through rehabilitation of community facilities.
3. Preserve community infrastructure in order to provide economic empowerment in targeted neighborhoods.

HUD-Specified Objectives

1. Address Obstacles to Meeting Needs
2. Foster and Maintain Affordable Housing
3. Remove Barriers to Affordable Housing
4. Reduce Poverty
5. Develop Institutional Structure
6. Enhance Service Delivery
7. Address Threat of Lead Paint
8. Improve Public Housing

2. STRATEGIC PLAN

Newport Beach Program Objectives: Housing

1. Increase the supply of newly constructed affordable housing units to moderate-income households

As stated in the Newport Beach Housing Element, the City's goal is to preserve and increase housing affordability. The City set a target of adding 120 senior housing units in the Consolidated Plan. This goal has been met with the completion of 120 units of senior housing at the Newport Seniors project at Lower Bayview. The City provided \$1,000,000 in subsidies and waived an additional \$250,000 in fees. The project was completed in 2006.

2. Maintain current level of Section 8 Voucher supply

Section 8 vouchers are rental assistance payments to owners of private market rate units on behalf of very low-income tenants. This program allows the users to find their own housing which best suits their needs. Currently, the County has 97 vouchers within the Newport Beach City boundary. The City will continue to support this county administered program and promote the increase of vouchers in the City.

3. Ensure universal access to fair housing

The City is committed to ensuring the right to housing for all of its residents. Fair housing activities undertaken this year will be carried out by the Fair Housing Council of Orange County and will include conflict resolution, code enforcement, legal aid, and investigation into discrimination complaints. The City will also partner with other local jurisdictions and the Fair Housing Council of Orange County to update the regional Analysis of Impediments to Fair Housing (AI).

The Fair Housing Council provides services above and beyond what is typically considered housing discrimination. In the coming year, the organization will address discrimination in the following areas:

- Lending Practices: While data and study has not shown discrimination in lending, efforts have not been proactive.
- Insuring Practices: Some insurance companies may be targeting certain zip codes for higher rates of denial or different terms and conditions for insurance coverage.
- Outreach and Education: A lack of a standard in the fair housing education system may leave gaps in communities. In addition, some immigrant populations may not be aware of the right to fair housing.
- Public Policies/Programs: A lack of coordination among cities in the region in regards to their planning activities may have an adverse effect on fair housing efforts on a regional basis.

2. STRATEGIC PLAN

Newport Beach Program Objectives: Special Needs

The City's Consolidated Plan must describe the needs of persons who are not homeless but who require supportive housing. These "special need" populations include elderly, frail elderly, persons with disabilities (mental, physical, developmental), persons with alcohol or other drug addiction, and public housing residents.

1. Increase accessibility to public facilities

The City will continue to provide funding for ADA Sidewalk Improvements to public facilities throughout the City to ensure accessibility to residents with disabilities. In the upcoming program year, the City will provide \$33,645 for such improvements.

2. Improve support services to elderly residents

Seniors are the largest and fastest growing "special need" population in Newport Beach. The City will provide support to seniors to enable them to remain independent. In the upcoming program year, the City will provide \$28,348 of CDBG funding to South County Senior Services, Inc. to manage and administer the Hoag Hospital Mobile Meals Program. This program delivers nutritious meals to Newport Beach residents who are homebound due to age, disability, or illness. This service allows seniors who may otherwise become institutionalized remain in their homes and maintain their self-sufficiency.

3. Improve support services to those with substance addictions

Persons suffering from substance addictions are a target population of the City's Anti-Poverty Strategy. Once a person has begun the recovery process, there is a higher chance they will become self-sufficient. In the upcoming program year, the City will continue to support the efforts of Serving People In Need (SPIN) by funding their Substance Abuse Rehabilitation Program with \$10,000 of CDBG funding. This program provides access to recovery programs to homeless and low-income individuals whom otherwise could not afford such services. The program includes one month of room and board, counseling, and supplemental services focused on employment, medical assistance, and legal assistance.

4. Improve support services to HIV/AIDS population.

In prior years, the City has worked with Mercy House's transitional housing program for adults living with HIV or AIDS, the only project of its kind in Orange County. Mercy House administers the project. In prior years, the City has provided funding to provide staff salaries and program supplies at Emmanuel House, Joseph House, and Regina House. While the City will not be providing CDBG funds to support this public service, it will continue to partner with Mercy House in assuring Newport Beach adults living with HIV or AIDS are being served.

2. STRATEGIC PLAN

Newport Beach Program Objectives: Homeless

1. Preserve the supply of emergency and transitional housing

While no emergency shelter or transitional housing facilities are located within Newport Beach, the provision of shelter is a priority for the City. The City will work with agencies in surrounding areas address homelessness. In FY 2009-2010 the City will fund the following activities to meet this goal:

- Human Options Community Resources Center \$5,000
- Families Forward Transitional Housing Program \$10,000
- Serving People In Need Substance Abuse Rehabilitation Program \$10,000

2. Assist homeless and prevent those "at-risk" of becoming homeless

Part of the City's Anti-Poverty Strategy is to assist those "at-risk" of losing their self-sufficiency and becoming more dependent on public services. The City will fund the following projects in FY 2009-2010 to meet this goal:

- Human Options Community Resources Center \$5,000
- Serving People In Need Substance Abuse Rehabilitation Program \$10,000

3. Assist homeless battered women and children

Homelessness may not be as visible in Newport Beach as in surrounding communities, but the City still views homelessness as an important issue that is a high priority to be addressed. The City will continue to work with its partner agencies within the Orange County Continuum of Care to best meet the needs of homeless persons and those at risk of homelessness.

In the 2009-2010 program year, the City has recommended funding for the following activities to help address the public service needs of homeless persons in and around Newport Beach:

- Human Options Community Resources Center \$5,000
- Families Forward Transitional Housing Program \$10,000

2. STRATEGIC PLAN

Newport Beach Program Objectives: Community Development

1. Eliminate blight, blighting influences, and prevent the deterioration of property.

The City has completed the work detailed in the Balboa Village Pedestrian and Streetscape Improvement Plan. CDBG funds were used to secure a Section 108 loan, which was used in conjunction with City parking funds to fund the improvements. The City expects the project to stimulate private economic investment in the area. In other CDBG Target areas, the City will rely on code enforcement activities to identify signs of deterioration in its early stages.

- City of Newport Beach Section 108 Loan Repayment \$197,535

2. Improve health and safety through rehabilitation of community facilities.

The City will continue to provide funding for ADA Improvements to public facilities throughout the City to ensure accessibility to residents with disabilities. In addition, the City will fund the Code Enforcement program to ensure the health and safety of its residents. The City will also use general fund monies to pay for code enforcement staff and building to conduct housing inspections in designated low- and moderate-income areas of the City.

- City of Newport Beach ADA Sidewalk Improvements \$33,645

3. Preserve community infrastructure to provide economic empowerment in targeted neighborhoods

In FY 2009-2010, the City will use CDBG to repay the loan used for the Balboa Village Pedestrian and Streetscape improvements, provide other funding sources to fund code enforcement officers to identify deterioration in its early stages, and fund programs that help persons gain a higher degree of self-sufficiency.

- City of Newport Beach Section 108 Loan Repayment \$197,535
- Serving People In Need Substance Abuse Rehabilitation \$10,000

2. STRATEGIC PLAN

HUD-Specified Objectives

1. Address Obstacles to Meeting Needs

The development process in housing and community development is lengthy and complex. A wide range of obstacles often hampers it. HUD asks local governments to strategize a plan to mitigate these obstacles. The City has identified the lack of developable sites, high land costs and limited funding as obstacles to affordable housing. Other obstacles in non-housing community development include NIMBY-ism (Not in My Backyard), lack of organizational capacity, and lack of available funding.

In response, the City has adopted policies to overcome some of these obstacles. In regard to affordable housing, the City encourages affordable housing production by providing density bonuses to interested developers and through the inclusionary zoning requirements. The City will work closely with affordable housing developers to expedite the permitting process in order to cut costs.

In regard to non-housing obstacles, the City will facilitate community involvement to increase understanding of community needs and the possible solutions to meet those needs. The City will maintain close partnerships with service providers and other community development professionals to identify and correct issues such as lack of capacity and resources.

2. Foster and Maintain Affordable Housing

As stated above, the City has identified the lack of developable sites, high land costs and limited funding as obstacles to producing affordable housing. However, within the Newport Beach Sphere of Influence, there may be additional options for future residential development. The Bay Knolls and Santa Ana Heights areas were annexed by the City in 2006. As a result of the annexation, the City will explore opportunities for increasing the City's affordable housing supply in these areas.

The City continues to monitor and enforce affordability covenants on affordable housing projects throughout the City. This includes not only housing units developed with federal funds, but also all units with an affordability covenant in place.

3. Remove Barriers to Affordable Housing

The City will continue to implement the following procedures and programs:

- Monitor all regulations, ordinances, departmental processing procedures, and residential development fees to ensure these requirements do not excessively constrain affordable residential development.
-
- Continue to offer density bonus incentives for the development of affordable housing pursuant to state density bonus requirements and the City's General Plan Housing Element.

2. STRATEGIC PLAN

- Partner with the “To Be Determined” to provide tenant and landlord counseling to provide fair housing opportunities for Newport Beach residents.

4. Reduce Poverty

Almost all of the City’s funded programs are aimed at helping a family or individual increase or maintain their self-sufficiency. For example, the South County Senior Services, Inc.’s Mobile Meals Project supports self-sufficiency of seniors and persons with disabilities.

In the 2009-2010 program year, the City will fund the following activities that will directly contribute to reduction of poverty levels in Newport Beach:

• South County Senior Services	Mobile Meals	\$28,348
• Human Options	Community Resources Center	\$5,000
• Serving People In Need (SPIN)	Substance Abuse Rehabilitation	\$10,000
• Families Forward	Transitional Housing Program	\$10,000

5. Develop Institutional Structure

The City relies heavily on its community partners in order to provide needed services to area residents. Unfortunately, the City receives a relatively small allocation of federal funds, of which only 15 percent can be provided to social service organizations for the provision of services. City staff will work with organizations that do not receive federal funds and provide a Certification of Consistency if the proposed project reflects the goals and objectives of the City’s adopted Consolidated Plan.

6. Enhance Service Delivery (Including Monitoring)

The City continues to refine its internal procedures and build its relationships with partner agencies in order to provide the highest level of service to the targeted populations.

The City will also make efforts to ensure a high level of service from its sub-recipients to further the current Five-Year Consolidated Plan and the One Year Action Plan. These efforts start at the beginning of the program year with a technical assistance meeting that covers program requirements, such as record keeping, invoicing, and procurement. Throughout the program year, the City will review payment requests and progress reports to ensure program compliance and provide technical assistance when needed. It is the City’s goal to provide on-site monitoring to every sub-recipient at least once during the program year. The goal of the monitoring is to ensure program compliance and improve the level of service.

In regard to capital expenditures, the City will ensure that procurements and project administration comply with all federal, state, and local regulations, including

2. STRATEGIC PLAN

environmental review, prevailing wage requirements, and Section 3 requirements. Long-term monitoring of capital expenditure projects is maintained through quarterly, semi-annual and annual sub-recipient reports. The reporting requirements are clearly defined in the applicable capital expenditure agreement and explained to sub-recipients prior to the implementation of the agreement. The reports vary according to the type of capital expenditure project such as housing and facilities. Included in the agreement are other conditions including property standards, on-site inspections, and funding requirements. Many of the capital expenditure agreements for housing related activities contain affordability covenants to ensure the units are made affordable through the period of affordability, made available to and occupied by the target population, and appropriate size/type for the respective households.

The City reviews the reports submitted by capital expenditure sub-recipients to ensure compliance of long-term capital expenditure projects. Additionally, the City has annual site-inspections to ascertain compliance with housing codes and other conditions of the capital expenditure agreement. Sub-recipients who are not in compliance are put on a work out plan and monitored more frequently until the project is in compliance with the applicable conditions and requirements specified in the agreement.

7. Address Threat of Lead-Based Paint

Most cities that administer a residential rehabilitation program can make great strides toward reducing the threat of lead-based paint in its housing stock. However, the City does have a residential rehabilitation program so it must find other ways to address lead-based paint hazards. In the upcoming year the City will take the following actions:

- Work with agencies in a collaborative effort to secure funding and provide low-cost training to testing and abatement contractors and workers.
- Monitor the lead-poisoning data maintained by the Orange County Department of Health Services.
- Educate residents on the health hazards of lead-based paint through the use of brochures and encourage screening children for elevated blood-lead levels.

8. Improve Public Housing

As stated in the fourth local housing goal in the above section, the City will assist the Orange County Housing Authority (OCHA) to maximize the use of Section 8 funds and other resources within Newport Beach. There are currently thirty-one (31) OCHA participating jurisdictions, including Newport Beach. Representatives from the participating jurisdictions meet at a minimum quarterly, often times monthly, to form the Cities Advisory Committee to assist the Orange County Board of Supervisors and the OCHA staff in accomplishing public housing goals. Newport Beach attends the meetings regularly and provides input on the OCHA Annual Plan and

2. STRATEGIC PLAN

Administrative Plans. Although there are currently no public housing units in Newport Beach, the City is committed to assisting OCHA in expanding affordable housing opportunities within the City limits for Section 8 Voucher recipients and ensuring OCHA goals are consistent with the City's Consolidated Plan and Housing Element.

9. Discharge Planning & Ending Chronic Homelessness

The Orange County Housing and Community Services Department (HCS) and the Orange County Partnership are two of three lead agencies that facilitate the year round Continuum of Care planning activities, including coordination of discharge planning services throughout Orange County and other actions steps to end chronic homelessness. Under the direction of HCS, the OC Partnership exists to develop a countywide system that nurtures and strengthens the emergency shelter network system by removing homeless persons from the streets to more viable living accommodations. It also aims to build a program that offers homeless persons the opportunity to reintegrate as contributing members of the community.

A major component of this effort is to coordinate how persons from various institutions are discharged so that people aren't released into homelessness or unsuitable living environments. HCS and the OC Partnership will work with hospitals, homeless service providers, mental health institutions, public safety, and others to develop discharge policies that help alleviate homelessness and not contribute to the problem. The City will participate in the development and implementation of the policies.

HUD defines a chronic homeless person as an unaccompanied homeless individual with a disabling condition who has either been continuously homeless for a year or more, or has had a least four episodes of homelessness in the past three years. The City will continue working with the OC Partnership and HCS to implement the following action steps, as part of the countywide effort to end chronic homelessness, including:

- *Single point of entry application* which streamlines the entry process for homeless persons into the countywide continuum of care system. The common application makes it easier for homeless persons, especially the chronic homeless, to access services.
- *Homeless court program* which enables chronic homeless persons to resolve outstanding legal issues on the condition of enrollment in substance recovery programs, mental health treatment, supportive services, and/or shelter/housing programs.

2. STRATEGIC PLAN

- *Discharge planning* as previously mentioned which ensures chronic homeless leaving County jails or approved medical centers are not released into non-suitable living situations. The discharge plan also links chronic homeless persons to appropriate substance abuse, mental health treatment and housing services.
- *Orange County client management information system (CMIS)*, a countywide database that enables providers to share information to coordinate services for chronic homeless and homeless who are dually diagnosed. CMIS also helps identify chronic homeless so that providers can tailor supportive services to meet individual needs. The system reduces intake and processing time for those homeless persons who frequently utilize services in the countywide continuum of care.
- *Society of Saint Vincent DePaul's Emergency Shelter Grant (ESG)* funds which provide case management and supportive services to hard to reach chronic homeless who utilize the Winter Amory Program. Homeless persons are linked to transitional housing, permanent supportive housing, and/or supportive services.
- *Development of a countywide single point of access to mainstream funding application forms* that will make it easier for homeless persons and providers to access financial resources to respond to homelessness.
- *Quarterly meetings of the Continuum of Care Forum* where providers and funders can maintain dialogue on ways to improve the continuum of care for homeless persons throughout Orange County.

CITY OF NEWPORT BEACH ANNUAL ACTION PLAN
FOR PROGRAM YEAR 2009-2010

Chapter 3. AVAILABLE RESOURCES

3. AVAILABLE RESOURCES

Federal Resources

The City received a total of \$355,659 in CDBG funds for the 2009-2010 program year. This year there are no carry over funds from prior years. In total, the City has \$355,659 for the 2009-2010 program year.

SOURCE	AMOUNT
2009-2010 CDBG Entitlement	\$355,659
Unallocated CDBG Funds	\$ 25,111
CDBG Program Income	\$0
All other forms of CDBG	\$0
TOTAL	\$380,770

In addition, Section 8 Housing Vouchers and certificates will be available to Newport Beach residents through the Orange County Housing Authority.

State and Local Resources

The City will continue to provide support, such as subsidies, general funds and fee waivers, to activities that help meet the objectives specified in the Strategic Plan.

Other Resources

The City has been notified of the potential allocation of stimulus CDBG funding under the American Recovery and Reinvestment Act (ARRA). Staff will provide the City Council with further updates as information becomes available from HUD.

It is difficult to estimate the amount of other resources that are available for affordable housing and community development projects in Newport Beach. Over the next program year, the City may pursue the following available funding sources:

- Workforce Housing Grant
- BEGIN Funds
- California Housing Finance Agency (CHFA) Multi-Family Rental Housing Program
- CHFA Home Mortgage Purchase Program
- California Housing Rehabilitation Program-Owner Component
- Low Income Housing Tax Credits
- Local Housing Trust Fund Program
- Tax Exempt Housing Revenue Bond

CITY OF NEWPORT BEACH ANNUAL ACTION PLAN
FOR PROGRAM YEAR 2009-2010

Chapter 4. DISTRIBUTION OF RESOURCES

4. DISTRIBUTION OF RESOURCES

Distribution among Priority Needs

The funding available under the Consolidated Plan may be used to meet a wide variety of needs. The City established local priority needs based on the housing market analysis and other research conducted as part of the 2005-09 Consolidated Plan. The goals listed in the Strategic Plan section of this document are the result of that research. The City gives priority to projects and programs that will pursue the goals listed in the strategic plan.

Geographic Distribution

All CDBG-funded public service activities will be available to Newport Beach residents citywide based on income and other eligibility criteria. These activities cater specifically to low- and moderate-income residents or to groups presumed to be of low- and moderate- income instead of residents in a specific area.

Public Facility improvement activities will be targeted to the Balboa Peninsula area or to an area designated as an eligible CDBG Target Area (see CDBG Target Area Map). Projects that serve an identified geographic area, such as parks, facilities, community centers and street improvements, are eligible for CDBG funding based on the percentage of low- and moderate-income residents living in the service area. In most communities, the threshold is 51 percent. However, some communities, such as Newport Beach, have no or very few areas that meet this threshold. For these grantees, the CDBG law authorizes an alternate method to determine a lower threshold. The Balboa Peninsula is one of the few areas in Newport Beach that is eligible for CDBG assistance under this alternate calculation of eligibility. Please refer to the map on the following page for more details on the areas that will benefit from Public Facilities Improvements.

4. DISTRIBUTION OF RESOURCES

Insert Maps Here

CITY OF NEWPORT BEACH ANNUAL ACTION PLAN
FOR PROGRAM YEAR 2009-2010

Chapter 5. PROGRAM YEAR ACTIVITIES

5. PROGRAM YEAR ACTIVITIES

2009-2010 Program Year Sources of Funds

ID Fund	Source	Amount
2009-2010 CDBG Entitlement	U.S. Dept of H.U.D.	\$355,659
Unallocated Funds	U.S. Dept of H.U.D.	\$25,111
TOTAL SOURCES		\$380,770

2009-2010 Program Year Uses of Funds

ID Organization	Program /Project Funded	Amount
Fair Housing Council	Fair Housing Services	\$11,131
Families Forward	Transitional Housing Program	\$10,000
Human Options	Community Resources Center	\$5,000
Serving People In Need	Substance Abuse Rehabilitation	\$10,000
South County Sr. Services	Meals on Wheels	\$28,348
City of Newport Beach	ADA Sidewalk Improvements	\$33,645
City of Newport Beach	108 Loan Repayment	\$197,535
City of Newport Beach	CDBG Administration	\$60,000
Unallocated Funds		\$25,111
TOTAL USES		\$380,770

5. PROGRAM YEAR ACTIVITIES

1 City of Newport Beach: CDBG Administration			
<p>Description: Project to provide for the overall administration of the CDBG Program, to include: preparation and submission of required contracts with HUD, submission of all reporting requirements, provision of individual project oversight, monitoring of all project implementation and ongoing completion, and fiscal management and oversight. Program Administration is performed by a combination of City Staff and a Professional Consultant.</p>			
Eligibility		Approved Funding	
HUD Matrix Code:	21A CDBG Administration	CDBG	\$60,000
Eligibility Citation:	570.206	HOME	\$0
National Objective:	N/A	ESG	\$0
Accomplishment Goal:	N/A	HOPWA	\$0
Start Date:	07/01/2009	Other:	\$0
End Date:	06/30/2010	Total:	\$60,000
Location / Service Area / Beneficiaries:		Administered By:	
N/A		City of Newport Beach	
Help the homeless? No		3300 Newport Blvd	
Help persons with HIV / AIDS? No		Newport Beach, CA 92658	
Help persons with Special Needs? No		Leigh De Santis, Economic Dev. Administrator Phone: 949-644-3207	

5. PROGRAM YEAR ACTIVITIES

2		Fair Housing Council: Fair Housing	
<p>Description: This project will provide fair housing education, counseling, and enforcement services to current or potential Newport Beach residents, coupled with landlord/tenant counseling services. These services impact and benefit target CDBG areas and the City's extremely-low to moderate income population. They help counteract unlawful housing discrimination and assist CDBG target areas in reducing blight. We facilitate the opportunity for landlords and tenants to correct wrongful housing policies or behavior. It is estimated that, in Newport Beach, this program will address 3 allegations of housing discrimination that result in the opening of a case file, and address approximately 150 landlord/tenant disputes, issues or concerns.</p>			
Eligibility		Approved Funding	
HUD Matrix Code:	21D Fair Housing Activities	CDBG	\$11,131
Eligibility Citation:	570.206	HOME	\$0
National Objective:	N/A	ESG	\$0
Accomplishment Goal:	3 Households (Discrimination) 150 Households (Landlord/Tenant)	HOPWA	\$0
Start Date:	07/01/2009	Other:	\$ 5,419
End Date:	06/30/2010	Total:	\$16,550
Location / Service Area / Beneficiaries:		Administered By:	
Available to ALL Newport residents Help the homeless? No Help persons with HIV / AIDS? No Help persons with Special Needs? No		Fair Housing Council 201 S. Broadway Santa Ana, CA 92701	
		Dave Levy Development Director Phone: 714-569-0823 x 204	

5. PROGRAM YEAR ACTIVITIES

3		Human Options: Community Resources Center	
<p>Description: Human Options Community Resource Center offers a wide variety of counseling and education programs to help victims and their family members deal with the effects of domestic violence. Proposed services include the following; crisis intervention, individual counseling for adults, support groups, legal advocacy, personal empowerment program, children's individual counseling, parenting education groups, parent child interaction therapy and trauma therapy, information and referrals, intake to Human Options emergency shelter. All services are offered in English and Spanish. Funds will be used to pay for staff salaries of those who provide counseling and case management.</p>			
Eligibility		Approved Funding	
HUD Matrix Code:	05G Battered and Abused Spouse	CDBG	\$5,000
Eligibility Citation:	570.201(e)	HOME	\$0
National Objective:	Low Mod Clientele 570.208(a)(2)(i)(A)	ESG	\$0
Accomplishment Goal:	40 Persons	HOPWA	\$0
Start Date:	07/01/2009	Other:	\$391,389
End Date:	06/30/2010	Total:	\$396,389
Location / Service Area / Beneficiaries:		Administered By:	
Available to clientele throughout City		Human Options	
Help the homeless? Yes		P.O. Box 53745	
Help persons with HIV / AIDS? No		Irvine, CA 92619	
Help persons with Special Needs? No		Maricela Rios-Faust	
		Phone: 949-737-5242	

5. PROGRAM YEAR ACTIVITIES

4		Families Forward: Transitional Housing Program	
<p>Description: Families Forward Transitional Program (THP) transits struggling families from crisis to stability and self sufficiency. THP has five primary goals, each of which supports the programs overarching goal of achieving familial self sufficiency. The target population is homeless families with children and they may stay up to two years and are required to pay up to 30 percent of their income for rent. Goals for the program include; families in need will be linked with appropriate supporting resources, anxiety and levels of depression will decrease, families will increase key life skills, families will increase their annual income, families will be able to secure and maintain housing. It is anticipated that 80Newport Beach residents will be served during the year.</p>			
Eligibility		Approved Funding	
HUD Matrix Code:	03T Operation of Shelter Costs	CDBG	\$10,000
Eligibility Citation:	570.210(e)	HOME	\$0
National Objective:	Low Mod Clientele 570.208(a)(2)(i)(A)	ESG	\$0
Accomplishment Goal:	80 Persons	HOPWA	\$0
Start Date:	07/01/2009	Other:	\$877,875
End Date:	06/30/2010	Total:	\$887,875
Location / Service Area / Beneficiaries:		Administered By:	
Available to clientele throughout City		Families Forward	
Help the homeless? Yes		9221 Irvine Blvd.	
Help persons with HIV / AIDS? No		Irvine, CA 92618	
Help persons with Special Needs? No		Margie Wakeham	
		Executive Director	
		Phone: 949-552-2727	

5. PROGRAM YEAR ACTIVITIES

5		South County Senior Services, Inc.: Mobile Meals	
<p>Description: South County Senior Services, Inc (SCSS) will provide home-delivered meals to homebound senior citizens (ages 60 years or older) who are unable to prepare meals for themselves due to age, illness, or disability. This program allows clients to live independently who may otherwise be institutionalized. Participants will receive three (3) subsidized daily meals Monday through Friday. Funds will be used to subsidize the cost of these meals.</p>			
Eligibility		Approved Funding	
HUD Matrix Code:	05A Senior Services	CDBG	\$28,348
Eligibility Citation:	570.201(e)	HOME	\$0
National Objective:	Low Mod Clientele 570.208(a)(2)(i)(A)	ESG	\$0
Accomplishment Goal:	140 Persons	HOPWA	\$0
Start Date:	07/01/2009	Other:	\$1,665,827
End Date:	06/30/2010	Total:	\$1,694,175
Location / Service Area / Beneficiaries:		Administered By:	
Available to income eligible clientele throughout City		South County Senior Services, Inc. (SCSS)	
Help the homeless? No		24300 El Toro Road, Suite 2000	
Help persons with HIV / AIDS? No		Laguna Woods, CA 92637	
Help persons with Special Needs? Yes		Dr. Marilyn Ditty	
		Executive Director	
		Phone: 949-855-8033	

5. PROGRAM YEAR ACTIVITIES

6 Serving People in Need (SPIN): Substance Abuse Treatment			
<p>Description: SARP provides access to recovery programs to homeless individuals who cannot afford it otherwise. Serving People in Need (SPIN) pays for rent in a recovery home with which SPIN works while the client seeks employment. Case management includes counseling, and supplemental services focused on employment, medical assistance, and legal assistance. Funds will be used for case management, shelter costs, and operational costs. Program estimates serving 8 Newport residents and 425 Orange County residents overall.</p>			
Eligibility		Approved Funding	
HUD Matrix Code:	05F Substance Abuse Recovery Services	CDBG	\$10,000
Eligibility Citation:	570.201(e)	HOME	\$0
National Objective:	Low Mod Clientele 570.208(a)(2)(i) (A) & (B)	ESG	\$0
Accomplishment Goal:	8 Persons	HOPWA	\$0
Start Date:	07/01/2009	Other:	\$612,912
End Date:	06/30/2010	Total:	\$622,912
Location / Service Area / Beneficiaries:		Administered By:	
Available to eligible clientele throughout City		Serving People in Need (SPIN)	
Help the homeless? Yes		151 Kalmus, H2	
Help persons with HIV / AIDS? No		Costa Mesa, CA 92626	
Help persons with Special Needs? Yes		Jean Wegener	
		Executive Director	
		Phone: 714-751-1101	

5. PROGRAM YEAR ACTIVITIES

7		City of Newport Beach: ADA Sidewalk Improvements	
Description: Funds will be used to make improvements to public facilities to allow for greater accessibility to Newport Beach residents with disabilities. Improvements will include curb cuts and installation of ADA Accessible handicapped ramps throughout the City. In addition, the program will include the replacement of damaged curb, gutter, and sidewalk improvements within eligible CDBG target areas.			
Eligibility		Approved Funding	
HUD Matrix Code:	03L Sidewalks	CDBG	\$33,645
Eligibility Citation:	570.201 (c)	HOME	\$0
National Objective:	LMA 570.208(a)(2)	ESG	\$0
Accomplishment Goal:	1 Public Facility	HOPWA	\$0
Start Date:	07/01/2009	Other:	\$0
End Date:	06/30/2010	Total:	\$33,645
Location / Service Area / Beneficiaries:		Administered By:	
Eligible CDBG Target Areas		City of Newport Beach	
Help the homeless? No		3300 Newport Blvd	
Help persons with HIV / AIDS? No		Newport Beach, CA 92658	
Help persons with Special Needs? No		Robert Stein, Assistant City Engineer Phone: 949-644-3207	

5. PROGRAM YEAR ACTIVITIES

8		City of Newport Beach: Section 108 Loan Repayment	
<p>Description: Funds will be used to repay the City's Section 108 Loan. The loan was used to partially fund public improvements to the Balboa Target Area totaling \$8 million. The scope of work includes the Balboa Village Pedestrian and Streetscape Plan, Street Improvements to Balboa Blvd., Pier Parking Lot, Pier Plaza, and Lot A connecting access to Main Street.</p>			
Eligibility		Approved Funding	
HUD Matrix Code:	19F 108 Loan Payment	CDBG	\$197,535
Eligibility Citation:	570.201 (c)	HOME	\$0
National Objective:	Low Mod Area 570.208(a)(1)	ESG	\$0
Accomplishment Goal:	N/A	HOPWA	\$0
Start Date:	07/01/2009	Other:	\$0
End Date:	06/30/2010	Total:	\$197,535
Location / Service Area / Beneficiaries:		Administered By:	
Service Area: Balboa Peninsula, Census Tract/Block Group 62800.1		City of Newport Beach 3300 Newport Blvd Newport Beach, CA 92658	
Help the homeless? No		Leigh De Santis	
Help persons with HIV / AIDS? No		Economic Dev. Administrator	
Help persons with Special Needs? No		Phone: 949-644-3207	

CITY OF NEWPORT BEACH ANNUAL ACTION PLAN
FOR PROGRAM YEAR 2009-2010

APPENDICES

CITY OF NEWPORT BEACH ANNUAL ACTION PLAN
FOR PROGRAM YEAR 2009-2010

APPENDIX A:
SF-424 AND CERTIFICATIONS

CITY OF NEWPORT BEACH ANNUAL ACTION PLAN
FOR PROGRAM YEAR 2009-2010

APPENDIX B:
PROJECT SUMMARIES(CPMP EXCEL TABLES)

CITY OF NEWPORT BEACH ANNUAL ACTION PLAN
FOR PROGRAM YEAR 2009-2010

APPENDIX C:
PROOF OF PUBLIC NOTICES

PUBLIC NOTICE

CITY OF NEWPORT BEACH COMMUNITY AND ECONOMIC DEVELOPMENT DEPARTMENT IS INVITING PUBLIC REVIEW AND COMMENT ON A DRAFT 2009-2010 ACTION PLAN PLUS THE 2009-2010 PROPOSED EXPENDITURE OF COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG) FUNDS

Notice is hereby given to the general public that the City of Newport Beach has prepared Draft 2009-2010 Action Plan in accordance with the requirements of the U.S. Department of Housing and Urban Development (HUD). Preparation of a Consolidated Plan is required whenever funding is received under any of the following programs: Community Development Block Grant (CDBG), Home Investment Partnerships (HOME), Housing for People with AIDS (HOPWA), and Emergency Shelter Grant (ESG). The City of Newport Beach receives funding under CDBG.

The Purpose of the Plan is to 1) to assess the housing and community development needs of low- and moderate- income residents of Newport Beach, 2) to develop and describe a strategy to meet those needs, 3) to describe the City's programs and projects, and the funding sources to meet those for the 2009-2010 Program Year, and 4) to describe the geographic distribution of resources and activities.

The goal of programs and projects is to meet the needs of low-and moderate-income residents of the City. The City anticipates receiving an **approximate** annual allocation of CDBG funds in the amount of **\$355,659**.

In compliance with the City's approved Citizen Participation Plan and the CDBG implementing regulation 24 CFR 92.105, this notice is given to invite public review and comment of the City of Newport Beach's Draft 2009-2010 Action Plan. The draft One-Year Action Plan will be available for review from March 14, 2009 through April 14, 2009. This document can be reviewed at the following locations:

- City of Newport Beach, City Clerk's Office
- City of Newport Beach, Planning Department
- City of Newport Beach, Public Library

Individuals wishing to express their views concerning the above-reference documents and proposed activities may provide oral or written comments to the City on or before 4:30 p.m. on April 14, 2008 to the City of Newport Beach, Economic Development Division, 3300 Newport Blvd., Newport Beach, CA 92658, or by calling Katie Bowden, Economic Development Coordinator at (949) 644-3230. The City Council will hold a public hearing on April 14, 2008, at 7:00 p.m. at City Council Chambers, 3300 Newport Blvd., Newport Beach, CA to hear public comments and review these documents and the proposed CDBG expenditures.

Authorized to Publish Advertisements of all kinds
Decree of the Superior Court of Orange County, C
September 29, 1961, and A-24831 June 11, 1963.

PROOF OF PUBLIC

STATE OF CALIFORNIA)

COUNTY OF ORANGE)

I am a Citizen of the United
resident of the County afc
over the age of eighteen ye
party to or interested in the
matter. I am a principal
NEWPORT BEACH - CC
DAILY PILOT, a newspaper
circulation, printed and put
City of Costa Mesa, Count
State of California, and t
Notice is a true and comp
was printed and publish
following dates:

December 12, 2008

I declare, under penalty of |
the foregoing is true and cc

Executed on December 18
Costa Mesa, California.

Sandra
Signature



**City of Newport Beach Notice of Funding Availability (NOFA)
Inviting Applications for the Community Development
Block Grant (CDBG) Program For Fiscal Year 2009-2010**

APPLICATIONS WILL BE AVAILABLE DECEMBER 12TH, 2008
THROUGH JANUARY 21ST, 2009

The Federal Housing and Community Development Act of 1974, as amended, provides Federal Community Development Block Grant (CDBG) funds to the City of Newport Beach for projects that promote the development of viable urban communities by providing decent housing, suitable living environments, and expanded economic opportunities, particularly for low- and moderate-income persons. The City of Newport Beach anticipates receiving approximately \$370,000 in CDBG funds for fiscal year 2009-2010.

NOTICE IS HEREBY GIVEN that the City of Newport Beach is currently accepting applications for eligible public services. The application may be used by non-profit organizations to request funding or by Newport Beach residents to suggest that the City or other organization undertake a new program. A general description of eligible public services is summarized below.

ELIGIBLE PUBLIC SERVICES: Above all, applications must demonstrate that the service will principally benefit persons of low- and moderate-income (households earning less than 80% of Orange County's median income). Types of eligible services include but are not limited to homeless assistance, employment services, substance abuse recovery, senior services, at-risk youth programs, crime prevention, legal services, and services for the disabled.

DEADLINE: All interested service providers must complete an application package, available at the City of Newport Beach, Economic Development Division, 3300 Newport Blvd., Newport Beach. Additionally, applications can be obtained by contacting Kathlyn Bowden at (949) 644-3230. Completed applications will be accepted until 12:00 p.m. on Wednesday, January 21, 2009 at the City of Newport Beach, Economic Development Division.

Questions regarding this NOFA should be directed to Kathlyn Bowden, Economic Development Coordinator for the City of Newport Beach at (949) 644-3230.

CITY OF NEWPORT BEACH ANNUAL ACTION PLAN
FOR PROGRAM YEAR 2009-2010

APPENDIX D:
MAP (NEWPORT BEACH ELIGIBLE AREAS)

CITY OF NEWPORT BEACH ANNUAL ACTION PLAN
FOR PROGRAM YEAR 2009-2010

APPENDIX E:
ACTION PLAN CHECKLIST



SF 424

The SF 424 is part of the CPMP Annual Action Plan. SF 424 form fields are included in this document. Grantee information is linked from the 1CPMP.xls document of the CPMP tool.

SF 424

Complete the fillable fields (blue cells) in the table below. The other items are pre-filled with values from the Grantee Information Worksheet.

Date Submitted	4-28-2009	B-09-MC06-0546	Type of Submission	
Date Received by state	State Identifier		Application	Pre-application
Date Received by HUD	Federal Identifier		<input type="checkbox"/> Construction	<input type="checkbox"/> Construction
			<input checked="" type="checkbox"/> Non Construction	<input type="checkbox"/> Non Construction
Applicant Information				
City of Newport Beach –DUNS # 060752482			CA62454 NEWPORT BEACH	
3300 Newport Boulevard			60752482	
			Planning Department	
Newport Beach	California		Economic and Community Development Division	
92658-8919	Country U.S.A.			
Employer Identification Number (EIN):			Orange	
95-600075			7/1	
Applicant Type:			Specify Other Type if necessary:	
Local Government: City				
Program Funding			U.S. Department of Housing and Urban Development	
Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Estimated Funding				
Community Development Block Grant			14.218 Entitlement Grant	
CDBG Project Titles Community Development Block Grant 2009-2010			Description of Areas Affected by CDBG Project(s) 14.218 Community Development Block Grant	
CDBG Grant Amount	\$355,659	\$Additional HUD Grant(s) Leveraged	Describe	
		\$0		
Additional Federal Funds Leveraged \$0			Additional State Funds Leveraged \$0	
Locally Leveraged Funds \$3,374,999			Grantee Funds Leveraged \$0	
Anticipated Program Income \$0			Other (Describe) N/A	
Total Funds Leveraged for CDBG-based Project(s) \$3,374,999				
Home Investment Partnerships Program			14.239 HOME	
HOME Project Titles			Description of Areas Affected by HOME Project(s)	
\$HOME Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe		
\$Additional Federal Funds Leveraged	\$Additional State Funds Leveraged			

\$Locally Leveraged Funds	\$Grantee Funds Leveraged
\$Anticipated Program Income	Other (Describe)
Total Funds Leveraged for HOME-based Project(s)	

Housing Opportunities for People with AIDS		14.241 HOPWA
HOPWA Project Titles		Description of Areas Affected by HOPWA Project(s)
\$HOPWA Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged
\$Locally Leveraged Funds	\$Grantee Funds Leveraged	
\$Anticipated Program Income	Other (Describe)	
Total Funds Leveraged for HOPWA-based Project(s)		

Emergency Shelter Grants Program		14.231 ESG
ESG Project Titles		Description of Areas Affected by ESG Project(s)
\$ESG Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged
\$Locally Leveraged Funds	\$Grantee Funds Leveraged	
\$Anticipated Program Income	Other (Describe)	
Total Funds Leveraged for ESG-based Project(s)		

Congressional Districts of:		Is application subject to review by state Executive Order 12372 Process?	
Applicant Districts	Project Districts	<input type="checkbox"/> Yes	This application was made available to the state EO 12372 process for review on DATE
Is the applicant delinquent on any federal debt? If "Yes" please include an additional document explaining the situation.		<input checked="" type="checkbox"/> No	Program is not covered by EO 12372
<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input type="checkbox"/> N/A	Program has not been selected by the state for review

Person to be contacted regarding this application		
Kathlyn		Bowden
Economic Development Coordinator	(949) 644-3230	(949) 644-3250
kbowden@city.newport-beach.ca.us	www.ci.newport-beach.ca.us	
Signature of Authorized Representative (Homer Bludau, City Manager)		Date Signed



CPMP Non-State Grantee Certifications

Many elements of this document may be completed electronically, however a signature must be manually applied and the document must be submitted in paper form to the Field Office.

- This certification does not apply.
 This certification is applicable.

NON-STATE GOVERNMENT CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
 - a. The dangers of drug abuse in the workplace;
 - b. The grantee's policy of maintaining a drug-free workplace;
 - c. Any available drug counseling, rehabilitation, and employee assistance programs; and
 - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will –
 - a. Abide by the terms of the statement; and
 - b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted –
 - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

8. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
9. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
10. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

4-28-09

Signature/Authorized Official

Date

Homer Bludau

Name

City Manager

Title

3300 Newport Boulevard

Address

Newport Beach, CA 92336

City/State/Zip

(949) 644-3230

Telephone Number

- | |
|---|
| <input type="checkbox"/> This certification does not apply. |
| <input checked="" type="checkbox"/> This certification is applicable. |

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

11. Maximum Feasible Priority - With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
12. Overall Benefit - The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2007, 2008, 2009, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
13. Special Assessments - It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

14. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
15. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K and R, of title 24;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

04-28-09
Date

Homer Bludau

Name

City Manager

Title

3300 Newport Boulevard

Address

Newport Beach, CA 92336

City/State/Zip

(949) 644-3230

Telephone Number

<input type="checkbox"/> This certification does not apply. <input checked="" type="checkbox"/> This certification is applicable.
--

APPENDIX TO CERTIFICATIONS

Instructions Concerning Lobbying and Drug-Free Workplace Requirements

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code) Check if there are workplaces on file that are not identified here. The certification with regard to the drug-free workplace is required by 24 CFR part 21.

Place Name	Street	City	County	State	Zip

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules: "Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15); "Conviction" means a finding of guilt (including a plea of *nolo contendere*) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes; "Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any

controlled substance; "Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including:

- All "direct charge" employees;
- all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and
- a. temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Note that by signing these certifications, certain documents must be completed, in use, and on file for verification. These documents include:

1. Analysis of Impediments to Fair Housing
2. Citizen Participation Plan
3. Anti-displacement and Relocation Plan

	04-28-09
Signature/Authorized Official	Date
Homer Bludau	
Name	
City Manager	
Title	
3300 Newport Boulevard	
Address	
Newport Beach, CA 92336	
City/State/Zip	
(949) 644-3230	
Telephone Number	

2009-2010 Action Plan Checklist

Grantee: CITY OF NEWPORT BEACH

ACTION PLAN (91.220)

1. Has the Standard 424 Form for the applicable programs been included with the correct dollar allocation and signed by the appropriate official?
Yes Page/s Appendix A
2. Is the DUNS number listed?
Yes Pages/s Appendix A

RESOURCES

1. Did the grantee describe how HOME and/or ESG matching requirements will be satisfied?
Yes Chapter 3-1

ACTIVITIES 91.220(D)

1. Does the action plan contain a summary of priorities and specific annual during the program year?
Yes Verification found on Chapter 4-4 and Appendix B
Note: The jurisdiction should use summary of annual objectives as identified in Table 13-1 of the Consolidated Plan.
2. Do the proposed activities correspond to the priority needs identified/local specific objectives listed in the Consolidated Plan?
Yes Verification found on page/s Chapter 4-1
Note: The jurisdiction should use summary of annual objectives as identified in Table 13-1 of the Consolidated Plan.
3. Are the proposed activities identified in sufficient detail, including the number and type of families that will benefit from the proposed activities and locations, so that citizens know the degree to which they may be affected?
Yes Verification found on Chapter 2 pages 3-4 and Appendix B
4. Are activities proposed eligible and meet program service targets [if not identify on attachment]?
Yes Verification found on Chapter 5 pages 2-9

EXPENDITURES LIMITS

1. Has the grantee exceeded the 20% administrative cap for CDBG?
No
2. Has the grantee exceeded the 15% Public Service cap for CDBG?
No

3. Has the grantee exceeded the 10% Administrative cap for HOME?
N/A
4. Has the grantee exceeded the 15% CHDO set-aside for HOME?
N/A
5. Has the grantee exceeded the 30% Essential Services cap for ESG?
N/A
6. Has the grantee exceeded the 30% Homeless Prevention cap for ESG?
N/A
7. Has the grantee exceeded the 10% Operations cap for ESG?
N/A
8. Has the grantee exceeded the 5% Admin. Cap for ESG?
N/A
9. Has the grantee exceeded the 3% administrative cap for HOPWA or the 7% Administrative cap by project sponsors under HOPWA?
N/A

GEOGRAPHIC DISTRIBUTION 91.220(f)

1. Did the grantee include a narrative, maps, or table that identify the geographic areas in which it will direct assistance?
Yes Verification found on **Chapter 4-1 and Appendix D**
2. Does the grantee provide a description of the areas, including areas of minority concentration, in which it will direct funds?
Yes Verification found on **Chapter 4-1 and Appendix D**
3. Does the grantee provide the rationale for the priorities for allocating investment geographically for each program, including within the metropolitan area (or a State's service area) for the HOPWA program?
N/A
4. Did the grantee estimate the percentage of funds it plans to dedicate to target areas?
Yes 65% is dedicated to capital projects which are eligible CDBG Target Areas (Administration and Public Services are not included in this percentage)

AFFORDABLE HOUSING GOALS 91.220(g)

1. Does the action plan specify one-year goals for the number of homeless, non-homeless, and special needs households to be provided affordable housing units using funds made available to the jurisdiction?
Yes Verification found on **Chapter 1-4**
Note: The jurisdiction should use housing summary of goals as identified in Table 13-3 of the Consolidated Plan.
2. Does the action plan specify one-year goals for the number of households to be provided affordable housing units through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of existing units using funds made available to the jurisdiction?
Yes Verification found on **Chapter 2-3**

Note: The jurisdiction should use housing summary of goals as indicted in Table 13-1 of the Consolidated Plan.

PUBLIC HOUSING 91.220(h)

1. Does the action plan include actions that address the following, **if applicable:**

Yes Chapter 2-9

- Needs of public housing,
- Public housing improvements and resident initiatives, assist troubled public housing agencies.

HOMELESS AND OTHER SPECIAL NEEDS ACTIVITIES 91.220(i)

1. Have homeless prevention activities been proposed?

Yes Verification found on **Chapter 2-4 & 2-5**

2. Have emergency shelter, transitional housing, programs to assist in the transition to permanent housing and independent living been proposed?

Yes Verification found **Chapter 2-5**

3. Are supportive housing activities being undertaken to address the priority housing needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, person with HIV / AIDS, person with alcohol or other substance abuse problems)?

Yes Verification found on **Chapter 2-4**

4. Have specific action steps to end chronic homeless been identified?

Yes Verification found on **Chapter 2-10**

PROGRAM SPECIFIC REQUIREMENTS 91.220(l)

A. CDBG

- a) Does the total amount of funds allocated equal the amount of the grant plus program income and carryover funds? **No**

- b) Does the action plan identify the amount of CDBG funds that will be used for activities that benefit persons of low- and moderate-income? **Yes Chapter 5-1**

B. HOME N/A

- a) Did grantee (PJ) describe other forms of investments?

N/A

If grantee (PJ) plans to use HOME funds for homebuyers, did they state the guidelines or resale or recapture, as required in 92.254?

- b) If grantee (PJ) plans to use HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds, did they state its refinancing guidelines required under 24CFR 92.206 (b)?

N/A

c) Resale Provisions – For homeownership activities, did the participating jurisdiction must describe its resale or recapture guidelines that ensure the affordability of units acquired with HOME funds? See 24 CFR 92.254 (a)(4).

d) HOME Tenant-Based Rental Assistance – Did the participating jurisdiction describe the local market conditions that led to the use of HOME funds for tenant based rental assistance program?

N/A

If the tenant based rental assistance program is targeted to or provided a preference for special needs group must be identified in the Consolidated Plan as having an aumet need and show the preference is needed to narrow the gap in benefits and services received by this population.

e) If a participating jurisdiction intends to use forms of investments other than those described in 24 CFR 92.205(b), did the jurisdiction describe these forms of investment?

Yes No

f) Did the jurisdiction describe the policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units?

Yes No

g) Did the jurisdiction describe actions taken to establish and oversee a minority outreach program within its jurisdiction to ensure inclusion, to the maximum extent possible, of minority and woman, and entities owned by minorities and woman, including without limitation, real state firms, construction firms, appraisal firms, managements firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services, in all contracts, entered into by the participating jurisdiction with such persons or entities, public and private, in order to facilitate the activities of the participating jurisdiction to provide affordable housing under the HOME program or any other Federal housing law applicable to such jurisdiction?

Yes No

h) If a jurisdiction intends to use HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds, did it state its financing guidelines required under 24 CFR 92.206(b)?

Yes No

C. AMERICAN DREAM DOWNPAYMENT INITIATIVE N/A

a) If the jurisdiction planned to use American Dream Downpayment Initiative (ADDI) funds to increase access to homeownership, did it provide the following information:

1. Description of the planned use of ADDI funds?

Yes No

2. Plan for conducting targeted outreach to residents and tenants of public and manufactured housing and to other families assisted by public housing agencies, for the purposes of

ensuring that the ADDI funds are used to provide downpayment assistance for such residents, tenants, and families?

3. A description of the actions to be taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as provision of housing counseling to homebuyers?
Yes No

D. HOPWA N/A

- a) Does the action plan specify on-year goals for the number of low-income households to be provided affordable housing using HOPWA funds for short-term rent, mortgage, and utility payments to prevent homelessness; tenant-based rental assistance, units provided in housing facilities operated with HOPWA funds?
Yes No Verification found on page/s
- b) Does the action plan identify the method for selecting project sponsors (including providing full access to grassroots faith-based and other community organizations)?
Yes No

MONITORING 91.230

1. Does the grantee describe the standards and procedures that it will use to monitor activities carried out in furtherance of the plan?
Yes Verification found on **Chapter 2-8**
2. Does the plan describe actions to be taken by the grantee to monitor its performance in meeting its goals and objectives set forth in its Consolidated Plan?
Yes Verification found on **Chapter 2-8 and Appendix B**
3. Does the plan describe steps/ actions being taken to insure compliance with program requirements, including requirements involving the timeliness of expenditures?
Yes Verification found on **Chapter 2-9**
- **Note: It timeliness of expenditures is and issue, please make sure the grant award letter includes language regarding appropriate actions the grantee should take to remedy this problem.**
4. Does the plan describe steps/actions it will use to ensure long-term compliance with housing codes, including any actions or on-site inspections it plans to undertake during the program year?
N/A Verification found on page/s
5. Does the plan describe actions to be taken by the grantee to monitor its sub-recipients, (including sponsors or administering agents)?
Yes Verification found on **Chapter 2-8**

I have reviewed the Action Plan and have found that either all regulatory requirements have met, or that omissions or incomplete items have been identified on an attachment [attached]. I have updated GMP to include the dates of this assessment and have pasted a copy of my attachment into the summary Notes tab of the Plan Review and Assessment module [copy attached].

Reviewed By: _____ **Date:** _____

Program Manager: _____ **Date:** _____