

City of Newport Beach Emergency Operations Plan



Prepared by:
Newport Beach Fire Department
100 Civic Center Drive
Newport Beach, CA 92660
949-644-3109

PART ONE - BASIC PLAN
TABLE OF CONTENTS
(EOP 4)

Part One – Basic Plan.....	1
Approval	2
Chapter 1 - Introduction	3
Purpose	3
City of Newport Beach Emergency Operations Plan	4
How to use this EOP/Plan Organization.....	4
Planning Process	6
Disclosure Exemptions.....	6
Laws, Regulations, and Guidelines	6
Relationship to Other Plans/References	7
Approval and Promulgation	7
Plan Development and Maintenance	8
Administration and Logistics.....	8
Emergency Operations Plan Approval.....	11
City Council Approving the Plan.....	12
California Emergency Management Agency Approval.....	14
Distribution List	15
Record Of Changes	16
Chapter 2 – Community Profile & Hazard Assessment	17
Purpose	17
Authorities and References.....	17
Profile Of The City Of Newport Beach	18
City Of Newport Beach Map.....	20
Map Of Major City Facilities	21
City Of Newport Beach Organizational Chart.....	22
Emergency Management Program.....	23
Preparedness.....	23
Planning.....	23
Training & Exercises	23
Public Education	25
Public Expectations.....	26
City Of Newport Beach Hazard Analysis.....	27
Major Earthquake.....	31
Hazardous Chemical Spill General Information.....	47
Fire, Wildland Fire, And/Or Explosion.....	50
Flood, Storm, Hurricane & Tidal Surge	53
Windstorms, Waterspouts & Tornadoes	59
Imminent/ Actual Dam Failure.....	62
Aircraft Accident.....	72

City of Newport Beach
Emergency Operations Plan

Homeland Security Advisory System.....	76
National Terrorism Advisory System (NTAS)	76
NTAS Alerts.....	76
Elevated Threat Alert	76
Imminent Threat Alert	76
Sunset Provision.....	77
Recommended City Actions.....	77
Response To War, Terrorism, Weapons Of Mass Destruction, Nuclear Emergencies	81
Tsunami	95
Outdoor Emergency Siren System	100
Chapter 3 - Response Structure Fundamentals	103
Prevention Phase.....	103
Preparedness Phase	103
Response Phase	104
Recovery Phase.....	107
Mitigation Phase.....	107
Emergency Management Response Structure	110
Federal Responsibility	110
Federal Emergency Support Functions (ESF)	114
State Responsibility.....	116
State Regional Mutual Aid Map	120
Discipline-Specific Mutual Aid Systems.....	121
Orange County Operational Area Emergency Management Structure	129
Map to County of Orange/Operational Area EOC.....	131
Chapter 4 - EOC Concept of Operations	135
When Should the EOC be Activated?	135
Newport Beach EOC Layout.....	137
Newport Beach Emergency Operations Center Organization.....	138
Department Operations Centers (DOCs)	139
Director Line of Succession.....	140
City Incident Commander Designation.....	141
Responsible Department (by incident type)	141
City Functional Responsibility Matrix.....	143
Primary EOCs, Alternate EOCs	145
Emergency Operations Center Activation Levels.....	146
EOC Incident Action Plans (IAPs).....	147
Chapter 5 - EOC Functionality	150
Management Section	150
Operations Section.....	151
Planning Section.....	152
Logistics Section	153
Finance & Administration Section.....	154
NIMS/SEMS levels organization chart	159
NIMS/SEMS Coordination/Communications Links.....	160

City of Newport Beach
Emergency Operations Plan

Chapter 6 - Recovery Operations	163
Phases of Recovery	164
Recovery Organization	165
Recovery Coordination Center	166
Joint Field Office (Federal).....	166
Roles and Responsibilities	168
City of Newport Beach EOC or Assignment of Recovery Responsibilities Matrix	169
Management Section Recovery Functions	170
Planning Section Recovery Functions.....	173
Operations Section Recovery Functions.....	174
Logistics Section Recovery Functions	176
Finance & Administration Section Recovery Functions.....	176
Disaster Assistance Programs	178
After-Action Reporting	181

Part Two – Functional Annexes

 Management Section

 Director of Emergency Services
 Incident Commander
 City Hall Services Unit
 City Attorney
 EOC Manager
 Liaison Unit
 Public Information Unit
 Safety Officer

 Operations Section

 Care & Shelter Branch
 Damage/Safety Assessment Branch
 Fire & Rescue Branch
 Law Enforcement Branch
 Municipal Operations Branch
 Public Works Branch

 Planning Section

 Action Planning Unit
 Advance Planning Unit
 Situation Analysis Unit
 Resource Status Unit
 Documentation Unit
 Technical Services Unit
 Recovery/Demobilization Unit

 Logistics Section

 Communications Branch
 Info Systems Unit
 Supply Branch

City of Newport Beach
Emergency Operations Plan

Procurement Unit
Facilities Unit
Transportation Unit
Human Resource Branch
Personnel Unit
Volunteers Unit
Finance & Administration Section
Cost Unit
Time Keeping Unit
Compensation & Claims Unit
Purchasing Unit
Vital Records Unit

Part Three – Attachments

Attachment A	Authorities and References
Attachment B	State of Emergency Orders and Regulations
Attachment C	Newport Beach Municipal Code Ordinance 2.20
Attachment D	Mutual Aid
Attachment E	Continuity of Government
Attachment F	After-action Reports
Attachment G	Americans with Disabilities Act
Attachment H	Orange County Operational Area Agreement
Attachment I	Glossary

City of Newport Beach Emergency Operations Plan



PART ONE – BASIC PLAN

APPROVAL (EOP 3)



CITY OF NEWPORT BEACH

OFFICE OF THE MAYOR

Mayor

Michael F. Henn

Mayor Pro Tem

Nancy Gardner

Council Members

Keith D. Curry

Leslie J. Daigle

Rush N. Hill, II

Steven J. Rosansky

Edward D. Selich

Approval Date: September 27, 2011

Officials, Employees, and Citizens of the City of Newport Beach:

The preservation of life, property, and the environment is an inherent responsibility of local, state, and federal government. The City of Newport Beach has prepared this Emergency Operations Plan (EOP) to ensure the most effective and economical allocation of resources. This ensures the maximum benefit and protection to Newport Beach residents and visitors in times of emergency.

While no plan can prevent death and destruction, good plans carried out by knowledgeable and well-trained personnel will minimize losses. This plan establishes an emergency organization, assigns tasks, and specifies policies and general procedures. The plan also provides for multi-agency and multi-jurisdictional planning efforts utilizing the Standardized Emergency Management System (SEMS) and the National Incident Management System (NIMS).

The objective of this plan is to incorporate and coordinate all the facilities and personnel of the City into an efficient organization, capable of responding to any emergency.

This EOP is an extension of the California Emergency Plan. It will be reviewed and exercised periodically and revised as necessary to meet changing conditions.

The City Council gives its full support to this plan and urges all officials, employees, and citizens, to individually and collectively do their share in the total emergency effort of the City of Newport Beach.

Concurrence with this promulgation letter constitutes the adoption of the EOP by the City of Newport Beach. This EOP will become effective upon approval by the City Council.

A handwritten signature in blue ink, appearing to read "Michael F. Henn".

Michael F. Henn, Mayor
City of Newport Beach

CHAPTER 1 - INTRODUCTION

(EOP 1)

Purpose

The purpose of the Emergency Operations Plan (EOP) is to provide guidance for the City of Newport Beach's response to extraordinary emergency situations associated with natural disasters, technological incidents, and national security emergencies in or affecting the City of Newport Beach. It seeks to mitigate the effects of hazards, prepare for measures to be taken that will preserve life and minimize damage, enhance response during emergencies, provide necessary assistance, and establish a recovery system in order to return the city to its normal state of affairs. It provides an overview of the National Incident Management Systems (NIMS), Standardized Emergency Management System (SEMS), and the Operational Area concepts. It also identifies components of the City Emergency Management Organization and describes the overall responsibilities of Federal, State, Region, Operational Area, and City entities.

This EOP describes the operations of the City of Newport Beach Emergency Operations Center (EOC), which is the central management entity responsible for directing, coordinating and supporting the various City departments and other agencies in their emergency response activities. This plan does not address ordinary day-to-day emergencies or the established departmental procedures used to cope with such incidents. Rather, this multi-hazard plan concentrates on management, concepts and response procedures relative to large-scale disasters. Such disasters pose major threats to life, the environment and property, and can impact the well being of a large number of people.

This plan is designed to be read, understood, and exercised prior to an emergency. It will assist any individual in understanding the City of Newport Beach's emergency management procedures. However, it is written primarily for (1) City Management Staff, (2) City Employees, (3) Federal, State and County Governments, (4) Special Districts who serve City of Newport Beach residents, and (5) Private and volunteer organizations involved in emergencies. Departments and agencies identified in this plan will develop and maintain current Standard Operating Procedures (Department SOPs) detailing how their assigned responsibilities will be performed to support implementation of this plan.

CITY OF NEWPORT BEACH EMERGENCY OPERATIONS PLAN

(EOP 1)

How to use this EOP/Plan Organization

This plan should provide any reader with a thorough understanding of the emergency organization and the process of preparing, responding to, and recovering from disasters. Use of this plan will differ according to the needs of the reader. All personnel need to be familiar with the plan, although only a few will need all chapters. Most will focus their attention on the parts of the plan specific to their needs and responsibilities. The plan provides the basis for developing agency-specific, detailed checklists and standard operating procedures.

By using this EOP appropriately, City departments and external representatives supporting the City of Newport Beach EOC should:

- Know what they and other organizations are responsible for doing,
- Know how to perform their functions,
- Avoid inefficiencies, duplications, and oversights in performing functions, and
- Be able to coordinate effective response and recovery operations across organizations and jurisdictions.

This EOP is separated into three parts. Each part and its intended purpose is described below:

Part One – Basic Plan: The Basic Plan consists of the fundamental information that governs response and recovery operations in Newport Beach. The Basic Plan should be reviewed and understood by EOC staff and response/recovery stakeholders well in advance of an emergency situation. The Basic Plan consists of the following six chapters:

Introduction – Provides a brief overview of the purpose and authorities of the EOP. Newport Beach personnel should be familiar with how to use the plan and its links to other response plans.

Community Profile and Hazard Assessment – Staff should be aware of the dynamics of the Newport Beach community and how those characteristics might impact response/recovery strategies. In addition, they should be aware that potential hazards exist and what actions can be taken to mitigate hazards and/or their consequences in case of an emergency.

Response Structure Fundamentals – The Newport Beach EOC’s concept of operations is built upon proven response concepts and elements such as the Standardized Emergency Management System (SEMS) and the National Incident Management System (NIMS), and upon existing response standards related to mutual aid, alerting and warning, and operational continuity. EOC staff should have a clear understanding of these concepts and systems as they are inherent to successful response operations. Before staff members can appropriately execute their responsibilities, they must understand the underlying systems that govern execution. These concepts should be read and understood well before an incident occurs.

EOC Concept of Operations – This chapter represents the most significant element of the Basic Plan. This chapter describes how the response is organized, assigns roles and responsibilities, and identifies the EOC’s operational requirements. In an emergency situation, this is one chapter that responders should keep open to reference at all times.

EOC Functionality – The Newport Beach EOC is a dynamic environment that offers many resources, but also poses numerous challenges. This chapter is designed to ensure the efficiency of the EOC by describing the proper use of tools/resources and tips for avoiding challenges. This chapter should be read before an emergency, but can serve as a quick reference during activations as well.

Recovery Operations – This chapter describes the concept of operations for handling recovery-related activities in Newport Beach. Like the EOC Concept of Operations chapter, this chapter should be understood by recovery organizations in advance of their activation, but should be referenced during an emergency.

Part Two – Functional Annexes and Checklists: Part Two contains the functional annexes found in the Functional Responsibility Matrix on page 144. All annexes are written by the City Department with primary responsibility, as designated in the Functional Responsibility Matrix, and the Emergency Services Office. The annexes are broken down into the five ICS functions: (1) Management (2) Operations, (3) Planning, (4) Logistics, and (5) Finance and Administration. Annexes include organizational charts, position assignments, resource lists, and checklists. Position checklists should be used by EOC personnel during actual emergencies, exercises, and drills. The checklists are designed to ensure each person assigned to a position in the EOC accomplishes his or her tasks. These procedures provide further guidance on how to perform certain functions assigned to the position. The Annexes of this plan are updated every three years.

Part 3 – Attachments: A variety of attachments have been included with this EOP to support EOC staff members in performing their functions. In some cases the attachments are applicable to all positions and tasks; in other cases they provide specific information to support the execution of a given task.

Planning Process

This document is the product of a joint planning effort involving all departments of the City of Newport Beach. Technical advice was obtained from the California Emergency Management Agency, Region I representatives and FEMA/DHS publications. Much of the information in the plan came about as the result of the Orange County Emergency Management Organization (OCEMO) Plan Writing Subcommittee meetings, where information was shared by all participating cities, Orange County, and other public agencies. This plan meets all NIMS/SEMS requirements.

Disclosure Exemptions

Portions of this document contain sensitive information pertaining to the deployment, mobilization, and tactical operations of the City of Newport Beach government in response to emergencies. Although the vast majority of this plan is available for public review, certain sensitive portions that include personal privacy information or information with significant implications on City, State, or National security have been secluded to attachments that are exempt from public disclosure under the provisions of the California Public Records Act §6254.

Laws, Regulations, and Guidelines

(EOP 7)

The Basic Plan was developed using the following laws, regulations and agencies as guidelines.

On the local level, **Chapter 2.20 of the Newport Beach Municipal Code** describes the local authorities and responsibilities. Chapter 2.20 of the City's Municipal Code is included in the Basic Plan as Appendix D. The City Council has appointed the City Manager as the Director of Emergency Services.

California Senate Bill 1841, the Petris Bill, mandated the formation of the Standardized Emergency Management System (SEMS) and became operational in 1996. California led the country in developing a standardized system for responding to major emergency incidents. This plan also meets all State of California Standardized Emergency Management System (SEMS) requirements.

The **Federal Emergency Management Agency (FEMA)**, which became a part of the Department of Homeland Security (DHS) on March 30, 2003, is responsible for ensuring the establishment and development of policies and programs for emergency management at the Federal, State and local levels. This includes the development of a national capability to mitigate, prepare for, respond to and recover from the full range of emergencies, including natural and technological disasters and national security emergencies.

On February 28, 2003 the President of the United States signed the Homeland Security Presidential Directive/HSPD-5. Its purpose is to enhance the ability of the United States to manage domestic incidents by establishing a single, comprehensive National

Incident Management System (NIMS). This plan is an extension of the 2009 California Emergency Plan and the 2008 National Response Framework (NRF).

Relationship to Other Plans/References (EOP 6)

This EOP is the primary document used by the City of Newport Beach to describe the conduct of emergency management activities. The EOP provides a conceptual framework for all other Emergency Operations Planning within the City. Another document closely related to the EOP is the Emergency Operations Center (EOC) Activation Plan. The EOC Activation Plan is a document compiled of key personnel contact numbers and methods, contacts for other governmental agencies, available contract services, and any other critical contact information needed during an emergency. The EOC Activation Plan is updated annually and will be maintained as a document separate from the EOP. The EOC Activation Plan will be collocated with the EOP in such places as the EOC.

The EOP also contributes to the Orange County's emergency management program by describing how activities will be conducted within the City limits, and how support will be requested and provided in the form of mutual aid by the City. When emergencies or disasters necessitate resource support from federal, state, international, private or non-profit sources, the EOP will serve as the primary guide to managing those resources.

At the same time, this EOP is not a stand-alone document. As the complexity of an emergency grows and requires the participation of external organizations or teams with specialized capabilities, this plan will integrate with the plans of other entities brought in to support Newport Beach. This plan is designed to be flexible enough that the City's capabilities can adapt to a changing response environment and to the needs of supporting organizations. Some of the plans and guidelines that this EOP will frequently support/complement include:

National Response Framework (NRF)
California Emergency Operations Plan
California Master Mutual Aid Agreement
California Law Enforcement Mutual Aid Plan
California Fire and Rescue Operations Plan
Emergency Managers Mutual Aid Plan (dated November 1997)
California State Emergency Plan
Orange County Operational Area Emergency Operations Plan

Approval and Promulgation

Prior to issuance, this plan will be reviewed by all City departments and agencies assigned a primary function in the **Functional Responsibility Matrix**. The plan was first submitted to the Cal EMA for review. Upon completion of review by these agencies, the plan was then submitted to the Newport Beach City Council for approval. After concurrence of the City Council, the plan was officially adopted and promulgated. Every three years the plan will be updated and will be approved by all City department

heads, the City Council, and Cal EMA. Copies of the plan will be forwarded to all agencies with *primary* and *secondary* responsibilities, including each City department.

The City of Newport Beach adopted both SEMS and NIMS by City Council Resolution. SEMS and NIMS will be followed during all major emergency incidents.

Plan Development and Maintenance

(EOP 35, 37)

The Newport Beach City Manager, assisted by the Emergency Services Coordinator within the Fire Department, is responsible for ensuring necessary changes and revisions to this plan are prepared, coordinated, published, and distributed. Department Directors are responsible for the development and maintenance of their respective segments of this plan and their appropriate supporting standard operating procedures (SOPs) as stated here and set forth in each Annex. Revisions to the plan will be forwarded to the Emergency Services Coordinator within the Fire Department and changes will be made to the *original plan*.

The EOP will be tested at least once a year in the form of a simulated emergency exercise in order to provide practical, controlled experience to those responders identified in the plan.

The EOP will be updated every three years and distributed to the personnel and agencies listed on the Distribution List in this section. The Emergency Operations Center (EOC) Activation Plan will be updated and distributed to every person assigned an EOC position approximately every year.

Administration and Logistics

A. Emergency Authority

1. A summary of existing California legislation pertaining to emergency management is listed in Appendix A, Authorities and References.
2. Provisions for local emergency powers are found in the California State Code and local ordinances which include but are not limited to:
 - a. Declaration of States of Emergency
 - b. Contracts and Obligations
 - c. Control of Restricted Areas
 - d. Liability

B. Agreements and Understandings

Should the City of Newport Beach resources prove to be inadequate during an emergency requests will be made for assistance from other local jurisdictions, higher levels of government, and other agencies in accordance with existing or emergency negotiated mutual-aid agreements and understandings. Such assistance may take the form of equipment, supplies, personnel, or other available capabilities. All agreements and understanding will be entered into by duly authorized officials and will be formalized in writing whenever possible.

C. Reports and Records

Required reports will be submitted to the appropriate authorities in accordance with instructions in annexes to this plan. All records of emergency management activities will be maintained at the EOC.

D. Relief Assistance

All individual disaster assistance provided by the government will be administered in accordance with policies set forth by the California Emergency Management Agency and those Federal agencies providing such assistance.

E. Consumer Protection

Consumer complaints pertaining to alleged unfair or illegal business practices will be referred to the California Attorney General's Consumer Protection Division.

F. Nondiscrimination

There will be no discrimination on grounds of race, color, religion, nationality, sex, age, or economic status in the execution of emergency management functions. This policy applies to all levels of government, contractors, and labor unions.

G. Administration and Insurance Claims

Insurance claims are normally handled on a routine basis by the commercial insurance companies and adjustment agencies. Complaints should be referred to the California Insurance Commissioner. Adjusters of private insurance companies are usually dispatched to a disaster area to assist with claim problems.

H. Management of Manpower (Paid and Volunteer)

Manpower, both paid and volunteer, will be managed by the City Emergency Management Director.

I. Duplication of Benefits

No person will receive assistance with respect to any loss for which he has received financial assistance under any other program or for which he/she has received insurance or other compensation. This also applies to business concerns or other entities.

J. Use of Local Firms

When major disaster assistance activities may be carried out by contract or agreement with private organizations, firms or individuals, preference will be given, to the extent feasible and practicable, to those organizations, firms and individuals residing or doing business primarily in the areas affected.

K. Preservation of Historic Properties

The California Historical Preservation Officer (HPO) will be notified when the Governor declares that a state of emergency exists as the result of a disaster. The

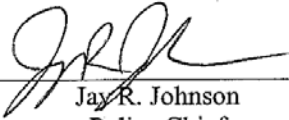
Director, California Emergency Management Agency, will arrange for the HPO to identify any existing historic properties within the designated disaster area.

EMERGENCY OPERATIONS PLAN APPROVAL (EOP 2)

Having reviewed the foregoing **City of Newport Beach Emergency Operations Plan** and approved same, I hereto set my signature. (EOP 2)



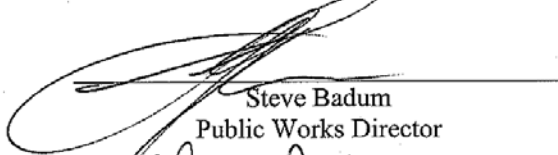
Dave Kiff
Director Emergency Services
City Manager



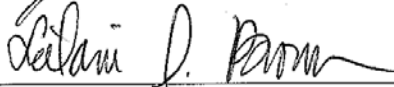
Jay R. Johnson
Police Chief



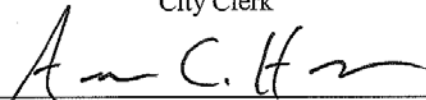
Laura Detweiler
Recreation & Senior Services Director



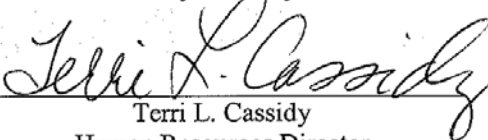
Steve Badum
Public Works Director



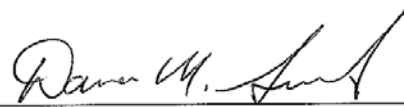
Leilani Brown
City Clerk



Aaron Harp
City Attorney



Terri L. Cassidy
Human Resources Director



Dana Smith
Assistant City Manager

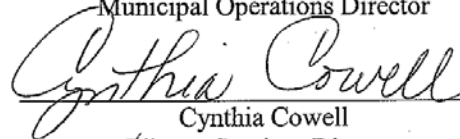


Mike Morgan
Interim Fire Chief

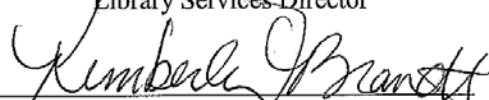
Tracy McCraner
Finance Director



Mark Harmon
Municipal Operations Director



Cynthia Cowell
Library Services Director



Kimberly Brandt
Community Development Director



Katie Eing
Emergency Services Coordinator

CITY COUNCIL APPROVING THE PLAN

(EOP 3)

RESOLUTION NO. 2011-93

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF NEWPORT BEACH RESCINDING THE FORMER EMERGENCY MANAGEMENT PLAN ADOPTED BY RESOLUTION NO. 2004-7, ADOPTING THE CITY OF NEWPORT BEACH EMERGENCY OPERATIONS PLAN AND APPROVING SUBMITTAL OF THE NEWPORT BEACH EMERGENCY MANAGEMENT PLAN TO THE CALIFORNIA EMERGENCY MANAGEMENT AGENCY

WHEREAS, the City of Newport Beach Emergency Management Plan meets all federal and state criteria as described by the Federal Emergency Management Agency Civil Preparedness Guide 1-A, the National Incident Management System (NIMS) and the Standardized Emergency Management System (SEMS) Crosswalk developed by the State Office of Emergency Services (OES) from SB 1941; and

WHEREAS, the City of Newport Beach Emergency Operations Plan has been updated to describe how the City of Newport Beach will manage and respond to major emergency incidents, including implementation of Incident Command System (ICS) consisting of City Managers, establishing clear designations of distinct functions which must be conducted during a disaster, assignment of each distinct function to City departments; and providing guidelines for performance of the distinct functions by specified departments; and

WHEREAS, the City of Newport Beach Emergency Operations Plan describes the method of requesting mutual aid resources from the County of Orange, State of California, and the Federal Government when needed; and

WHEREAS, one of the eligibility requirements for Federal funding of the Emergency Services Coordinator position is submission of the approved City of Newport Beach Emergency Operations Plan to the California Emergency Management Agency; and

CITY COUNCIL APPROVING THE PLAN (EOP 3)

WHEREAS, the City of Newport Beach Emergency Operations Plan was written by City representatives from each department and by the Fire Department Office of Disaster Preparedness and has been reviewed and approved by all City department directors.

NOW, THEREFORE, BE IT RESOLVED that the City Council of the City of Newport Beach hereby rescinds Resolution No. 2004-7, adopted by City Council on January 27, 2004. The City Council adopts the City Emergency Operations Plan attached hereto and incorporated by reference. The City Council approves submittal of the City of Newport Beach Emergency Operations Plan to the California Emergency Management Agency.

ADOPTED this 27th day of September, 2011.



Mayor

ATTEST:



City Clerk



CALIFORNIA EMERGENCY MANAGEMENT AGENCY APPROVAL

EDMUND G. BROWN JR.
GOVERNOR



Cal EMA
CALIFORNIA EMERGENCY
MANAGEMENT AGENCY

MIKE DAYTON
ACTING SECRETARY

May 18, 2011

Katie Eing, Emergency Services Coordinator
Newport Beach Fire Dept.
3300 Newport Blvd
Newport Beach, CA 92663

Dear Ms. Eing,

My staff has completed their review of the City of Newport Beach (Orange OA) Emergency Operations Plan (EOP). I have reviewed the attached staff report and concur with its findings and recommendations. Accordingly, I have determined that the Newport Beach (Orange OA) EOP is acceptable in accordance with the requirements of the Emergency Services Act and the Standardized Emergency Management System. This plan is also consistent with state guidance available at the time the plan was developed. This acceptance is contingent upon implementation of the recommendations in the attached staff report and the adoption of the EOP by your governing body or their designee.

Following the adoption of your plan, please provide us with the following:

- one copy of the adopted plan on a Compact Disc,
- the letter of promulgation,
- the signed Department/Agency Concurrence sheet, and
- any resolutions that pertain to the adoption of your EOP.

Thank you for your planning effort. I encourage you to continue to develop supporting operating procedures, exercise your plan, and review the plan every three years. If you have any questions or require any assistance, please contact my office at (562) 795-2902.

Sincerely,

A handwritten signature in black ink, appearing to read "Jeff Wood", is placed above the typed name and title.

Jeff Wood, ARM, CBCP
Deputy Region Administrator
Southern Region

cc: Donna Boston, County Emergency Manager, Orange OA
Master File Cal EMA Southern Region

4671 LIBERTY AVENUE LOS ALAMITOS, CALIFORNIA 90720-5158

SOUTHERN REGION
(562) 795-2900 • (562) 795-2877 FAX

DISTRIBUTION LIST

(EOP 36)

City Offices

Mayor/City Council
City Manager
Assistant City Managers
Public Information Manager
City Attorney
City Clerk

City Departments

Finance Department
Community Development Department
Fire Department
Human Resources Department
Library Department
Municipal Operations Department
Police Department
Public Works Department
Recreation & Senior Services Department

Facilities

Balboa Branch Library
Central Library
Mariners Branch Library

Hospital

Hoag Hospital

Volunteer Organization

American Red Cross, Orange County Chapter
Radio Amateur Civil Emergency Service (RACES)

School District

Newport/Mesa Unified School District

Utilities

Irvine Ranch Water District (IRWD)
Mesa Consolidated Water District (MCWD)

Businesses

Corona Del Mar Chamber of Commerce
Irvine Company
Newport Chamber of Commerce

Government Agencies

Orange County Operational Area EOC
Orange County Sheriff's Department--Harbor Division
State of California, California Emergency Management Agency (CalEMA), Region I

RECORD OF CHANGES (EOP 36)

[illegible]

CHAPTER 2 – COMMUNITY PROFILE & HAZARD ASSESSMENT

(EOP 8, 45)

Purpose

The Basic Plan has been developed to provide a comprehensive (multi-use) emergency management program for the City of Newport Beach. It seeks to mitigate the effects of hazards, prepare for measures to be taken which will preserve life and minimize damage, enhance response during emergencies and provide necessary assistance, and establish a recovery system in order to return the city to its normal state of affairs. It provides an overview of operational concepts, identifies components of the City Emergency Management Organization, and describes the overall responsibilities of Federal, State, Region, Operational Area, and City entities.

The City of Newport Beach adopted the Standardized Emergency Management System (SEMS) concept, SB 1841, on November 14, 1995, and the National Incident Management System (NIMS) on March 14, 2006. SEMS, which is consistent with the concepts and principles of NIMS, incorporates use of:

- The Incident Command System (ICS)
- Multi-Agency/Inter-Agency Coordination System (MACS)
- Mutual Aid
- Operational Area Concept, and
- Operational Area Satellite Information System (OASIS)

The City uses the SEMS/NIMS as its emergency management system in the EOC, the DOCs, and in the field.

Authorities and References

Response and recovery operations will be conducted as outlined in the "Concept of Operations" section and in accordance with the enabling legislation, plans, and agreements listed in Appendix A, "Authorities and References."

PROFILE OF THE CITY OF NEWPORT BEACH

Located on the Orange County coast, some 50 miles southeast of Los Angeles, the City of Newport Beach encompasses Newport Harbor and Upper Newport Bay. These bodies of water divide the City into three separate geographical areas: a low-lying area comprised of the Newport/Balboa Peninsula, West Newport, and eight islands; a higher elevated area to the northwest containing Newport Heights and West Cliff, which shares a boundary with the City of Costa Mesa; and the East Bluff/Corona del Mar area, which ranges southeast into low rolling hills. Of the 50.5 square miles inside its boundaries, only 25 are dry land.

Upper Newport Bay is an estuary receiving drainage from a 150 square mile area of Orange County, and provides a habitat for at least six threatened species of wildlife. Coastal bluffs rise from the Upper Bay, as well as the coastline in Corona del Mar and Newport Coast. East of Newport Bay are coastal hills and canyons that carry drainage to the Bay and the Pacific Ocean. Additionally, with the annexation of the Newport Coast area, the City now includes Crystal Cove State Beach, which possesses tide pools and an urban-wild land interface. The City also holds 1,168 acres of “tidelands” (lands below water and filled areas that are water-adjacent).

Weather conditions for Newport Beach are consistent with coastal Southern California. It is a semiarid region experiencing an average of 10 to 12 inches of rainfall during the winter months, and has warm, dry summers. The threat of severe storms, while not a major concern, is greatest from September through October when there is the potential of tropical storms tracking up from Mexican coastal waters.

The permanent residential population is currently at 86,453 but because of the recreational attractions of the beach and harbor, tourists normally increase this by another 20,000 to 100,000. Thousands more visit Newport Beach daily to work, dine or shop. On a summer weekend, a total population of well over 200,000 can be expected.

Of the eight major routes of egress from the city, seven are four-lane, signal-controlled, surface streets, which lead to adjacent, similarly populated communities. The eighth is an eight-lane divided freeway, but has limited access for the majority of the population. These routes are somewhat congested under normal usage; and therefore cannot be relied upon for a rapid mass evacuation of the population. Additionally, Newport's roadway continuity is dependent on the integrity of 18 bridges for traffic flow and island access and egress.

The preponderance of buildings in Newport Beach are single family and multi-occupancy residences, however there have been over 30 high rise buildings constructed since the 1960's. The majority of these surround a large shopping center in the eastern portion of the city. Although most buildings within the city are new construction or

have been remodeled, a few still exist from the 1930's. In 1989, the unreinforced building earthquake retrofit ordinance was adopted and 127 buildings were identified as requiring earthquake strengthening. As of March 2000, all buildings were either successfully retrofitted or demolished.

Guided economic development has brought many benefits to the City. City leaders are dedicated to preserving and enhancing the natural beauty along the coastline and throughout the City. Following the American Trader Oil Spill of 1990, in which 400,000 gallons of crude oil were spilled off the coast of Newport Beach, the environmental concerns and awareness of the community continued to increase.

The Santa Ana River extends from the San Bernardino Mountains to the ocean at Newport Beach. The riverbed is normally dry and is used for bicycling and recreation, but it has also been the site of severe flooding. The riverbed serves as a drainage outlet for the San Bernardino Mountains.

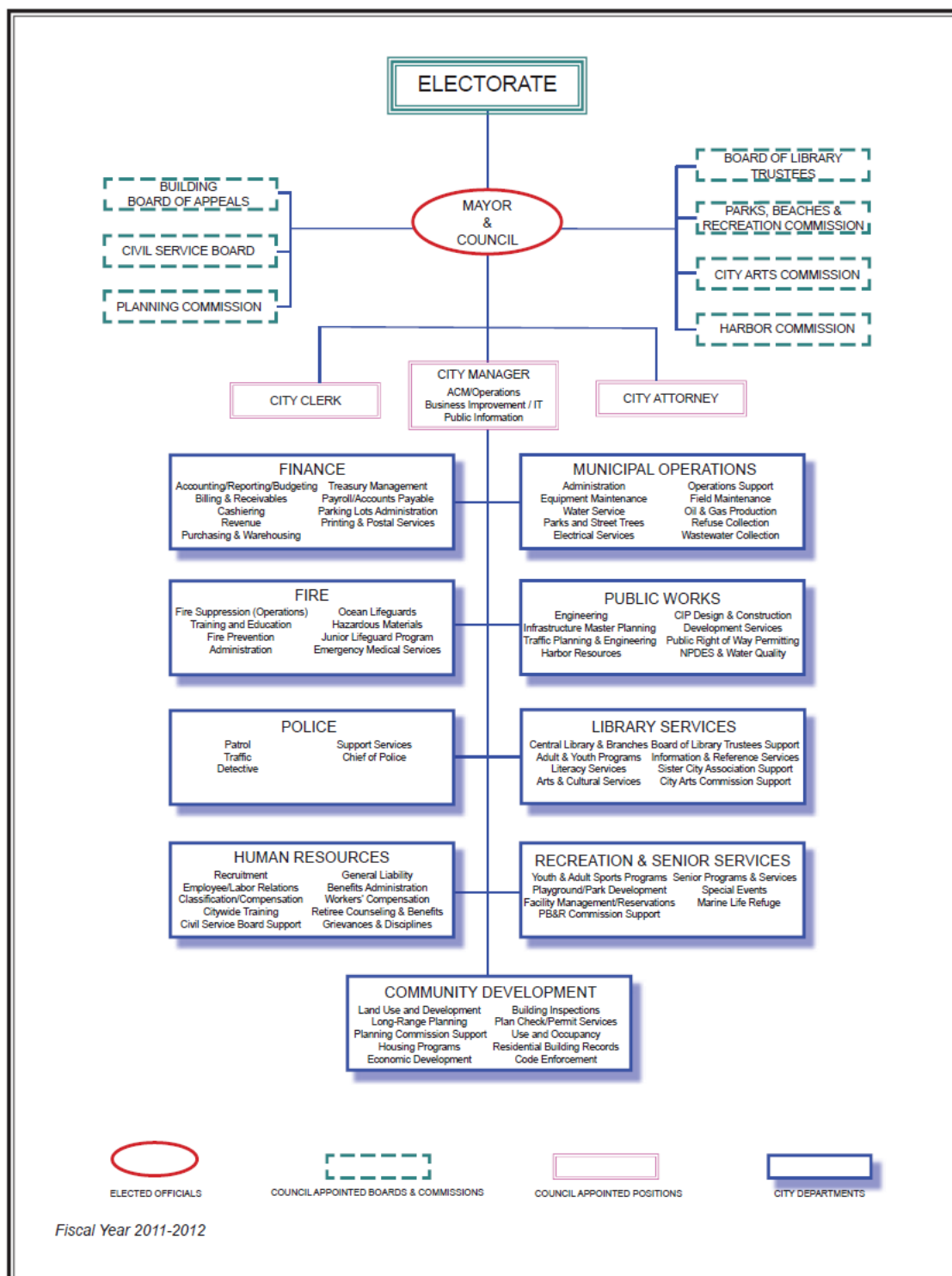
Other types of emergency situations experienced by the City include: small airplane crash (2010), flooding from severe winter storms approximately every other year, seasonal civil disturbances on the beach in the 1990's, fires, minor hazardous materials spills, tornadoes/water spouts (1991 and 1994), hurricane (1939), earthquakes on the Newport-Inglewood (1933), San Andreas, and other earthquake faults, and a major oil spill along the coast (1990).

The Newport Beach City Council has set "Public Safety and Disaster Preparedness" as a City priority. Commitment to these priorities has been ongoing by the City Council, the Fire Department and the City Emergency Preparedness Committee.

The City Council has appointed the City Manager as the Director of Emergency Services per Chapter 2.20 of the Municipal Code. Working for the Director of Emergency Services is the Fire Chief who is assigned the position of Deputy Director of Emergency Services. As the Deputy Director, the Fire Chief manages the Emergency Services Office. The Emergency Services Office handles the day-to-day emergency preparedness functions including planning, training, exercising, and educating City employees.

The City uses both the Standardized Emergency Management System (SEMS) and the National Incident Management System (NIMS) approach to emergency management where City Departments are assigned the responsibilities of: mitigation, preparedness, response, and recovery issues related to their department. The Emergency Services Office of the Fire Department trains departments in their EOC duties and coordinates EOC exercises. Each city department must develop their own Standard Operating Procedures (Department SOPs), train and exercise their employees, and procure the equipment and supplies needed to perform the duties assigned to them in the **Functional Responsibility Matrix. (EOP 37)**

CITY OF NEWPORT BEACH ORGANIZATIONAL CHART



EMERGENCY MANAGEMENT PROGRAM (EOP 22, 38)

Since the Newport Beach City Council has set “Public Safety and Disaster Preparedness” as a City priority, there has been a strong city-wide commitment to these priorities. Per the Municipal Code, Chapter 2.20, the Fire Department Emergency Services Office coordinates the functions of the Emergency Management Program, including preparedness, planning, training and public education.

Preparedness

The City’s Emergency Management Program is largely based on FEMA’s Preparedness Cycle. Preparedness is a cyclical process as opposed to a linear endeavor in which there is a defined end. When it comes to preparedness there is no “end state” as risks change, plans need updating, training for new personnel is required, and equipment is replaced or upgraded and so on. The need to prepare will no sooner end than the day all risks to the U.S. cease to exist and the U.S. military no longer requires new resources and state and local law enforcement, public health, emergency management and fire service agencies are no longer necessary.



Planning

The City coordinates emergency and disaster planning through the City’s Emergency Preparedness Committee (EPC). The EPC brings together every City Department to address the needs of emergency planning, preparedness and mitigation. The EPC meets regularly and addresses issues towards the improvement of the City’s preparedness. The EPC also determines changes to the Emergency Operations Plan, EOC procedures, and organizes EOC exercises.

Training & Exercises

(EOP 38)

Training and exercises form a large part of the City’s overall Emergency Management Program. Training is on an annual rotation, in preparation for regularly scheduled drills and exercises. All City employees who may participate in emergencies in the Emergency Operations Center, in Department Operations Centers (DOCs) or at the field level must receive appropriate SEMS, NIMS, and other specialized training as required by SEMS regulations, NIMS policy, or their job function, respectively. The Fire Department Emergency Services Office coordinates the overall training program which includes Disaster Service Worker awareness, Emergency Operations Plan orientation, the Standardized Emergency Management System (SEMS), the National Incident Management System (NIMS), and City response procedures. If a new City employee is hired, it is up to the individual department director or supervisor to notify the

employee of the required training, and to contact the Fire Department Emergency Services Office to coordinate.

As required by the Department of Homeland Security (DHS), all City employees are required to complete some level of NIMS training to ensure they understand emergency management concepts and principles. Many of the training classes are available online through the FEMA Virtual Campus. Each department shall coordinate the following training at relevant levels for City employees with the Emergency Services Office.

Entry Level

- FEMA IS-700: NIMS, An Introduction
- ICS-100: Introduction to ICS or equivalent
- ICS-200: Basic ICS; or its equivalent

First Line, Single Resource, Field Supervisors

- IS-700
- ICS-100
- ICS-200

Middle Management: Strike Team Leaders, Division Supervisors, EOC Staff, etc.

- IS-700
- IS-800: National Response Plan
- ICS-100
- ICS-200
- ICS-300: Intermediate ICS

Command and General Staff; Area, Emergency and EOC Managers

- IS-700
- IS-800
- ICS-100
- ICS-200
- ICS-300
- ICS-400: Advanced ICS

Department Directors and the Emergency Services Office have the responsibility for documenting SEMS and NIMS training in the City of Newport Beach. Documentation of all training programs is maintained in the Emergency Services Office, including evidence of SEMS and NIMS training.

The Fire Department also coordinates the City Emergency Exercise Program. EOC Exercises are conducted utilizing the concept and principles of NIMS/SEMS. Exercises and are held annually, at a minimum, with full-scale exercises occurring every four years. The typical annual EOC Exercise is a functional exercise with all EOC and Department Operating Center (DOC) components activated.

Public Education

The Newport Beach Fire Department offers public education to the community of Newport Beach through educational presentations, informational brochures, and the Community Emergency Response Team (CERT) Program. Educational presentations are available to community groups interested in learning more about emergency preparedness. Informational brochures are provided at these educational presentations as well as at all Fire Department special events. The CERT Program is a special interactive public education program aimed at providing in-depth disaster preparedness and response training for community members. The CERT program is a 27 hour program, modeled on the Federal Emergency Management Agency CERT Program, which has been tailored to address the needs of individual Newport Beach neighborhoods or associations. The CERT Program offers training in disaster preparedness, disaster medical operations, fire suppression, light search and rescue, disaster psychology, and team organization (EOP 28)

PUBLIC EXPECTATIONS

The potential for many different types of disasters exists within the City of Newport Beach. Under normal circumstances, few citizens place a high priority on emergency management. These same citizens, however, expect their local government leaders to be able to effectively manage a disaster should one occur. The Emergency Services Office has developed several educational programs targeting various segments of the population. These programs emphasize *self-sufficiency* due to the fact that government agencies cannot care for all persons in times of a disaster. In spite of continued disaster preparedness education, most citizens routinely expect local government to:

- be able to adequately protect life, property, and the environment
- alert them in advance of a disaster
- quickly and accurately assess the magnitude of an emergency
- keep them informed of the situation with accurate and complete information
- safely evacuate dangerous areas
- relocate citizens to a safe place
- coordinate temporary sheltering and feeding operations
- provide for a rapid restoration of services
- give assistance in the form of recovery services
- mitigate the impact of future emergencies

Planning Assumptions

The following systems may be damaged and temporarily out of service during an emergency:

- telephone systems
- electrical power
- communications systems including police, fire & marine, and municipal radio systems
- computers, including computer aided dispatch for Police and Fire Departments
- transportation systems including roads, highways, bridges, and traffic signals
- water systems
- sewer systems
- natural gas

In addition, there may be a shortage of trained and experienced personnel to manage the disaster. All city personnel should be prepared to operate under adverse conditions. No matter what type of disaster or conditions of personnel, facilities, and resources, the City *must* be prepared to manage the response to the emergency.

CITY OF NEWPORT BEACH HAZARD ANALYSIS (EOP 8)

PLANNING PRIORITY	RISK	CHANCE OF OCCURRENCE*	EFFECT**	AVG
#1	Earthquake	8	10	9
#2	Hazardous Materials Event (fixed location or transportation)	9	8	8.5
#3	Fire and/or Explosion	7	9	8
#4	Flood/Storm (Dam failure, hurricane, tidal surges)	8	7	7.5
#5	Oil Spill and/or Pipeline Breakage	6	7	6.5
#6	Tornado/Water Spout	6	6	6
#7	Aircraft Accident	5	6	5.5
#8	Terrorism	4	7	5.5
#9	Energy Shortage	6	4	5
#10	War	3	7	5
#11	Tsunami	3	6	4.5
#12	Riot/Civil Disturbance	3	5	4
#13	Nuclear Accident (SONGS/SBNWS)	1	7	4

Chance of Occurrence and Effect numbers are rated from one to ten (1 to 10). Higher numbers signify greater chance of occurrence and a greater effect.

* **Chance of Occurrence** is based on Newport Beach history and probability of occurrence.

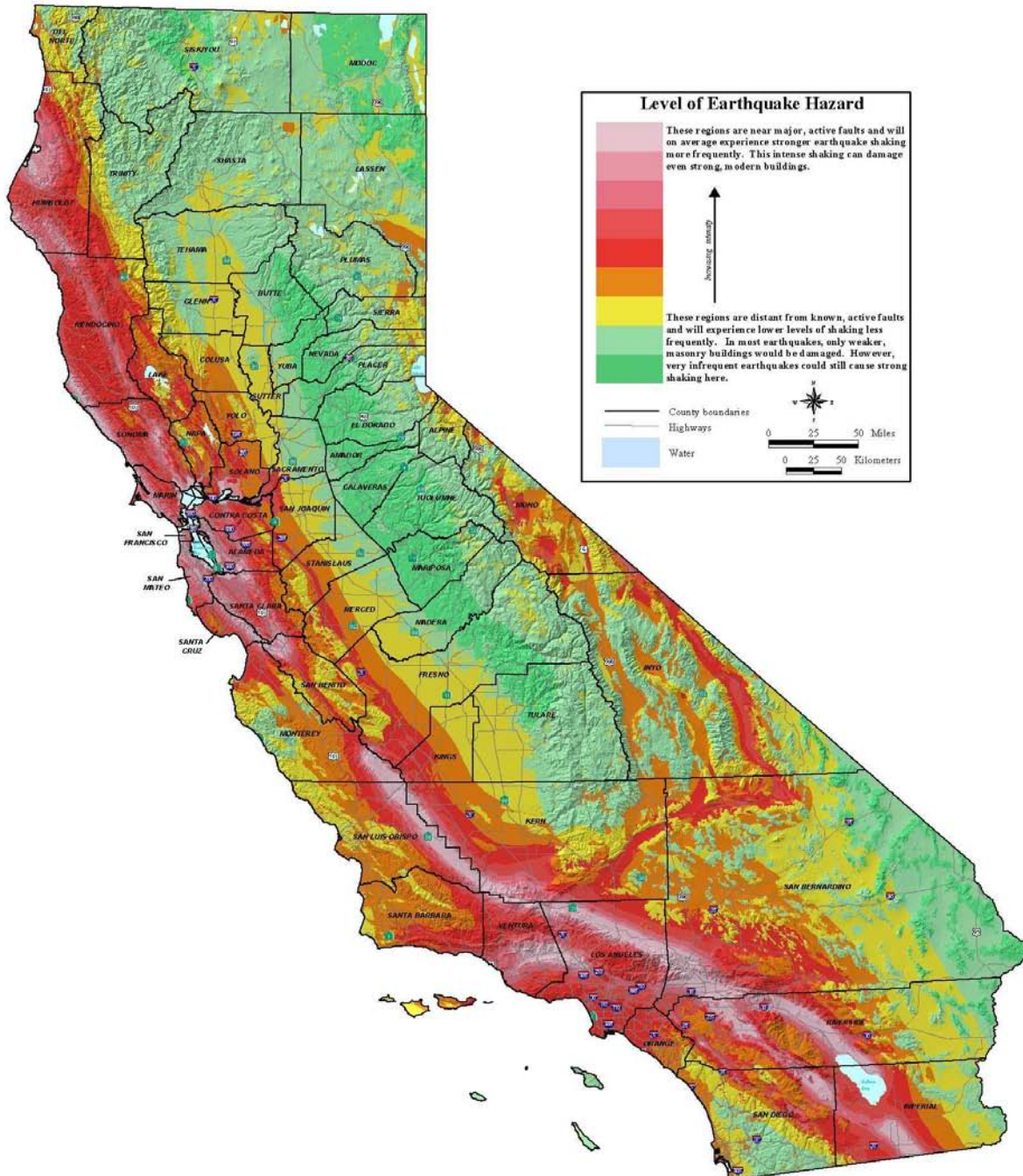
** **Effect** is the worst-case scenario based on the population affected and the geographic description of the areas most likely to be impacted.

Types of emergencies NOT handled under this plan include:

Type of Emergency	Who will Manage this Type of Emergency
Drought	Municipal Operations
Homeless	Recreation & Senior Services
Hazardous Landfills	Fire Department, Fire Prevention Division

For additional information, see the City of Newport Beach General Plan Hazards Chapter.

FEDERAL EMERGENCY MANAGEMENT AGENCY (FEMA) CALIFORNIA HAZARDS (1 of 3)



FEDERAL EMERGENCY MANAGEMENT AGENCY (FEMA) CALIFORNIA HAZARDS (2 of 3)



FEDERAL EMERGENCY MANAGEMENT AGENCY (FEMA) CALIFORNIA HAZARDS (3 of 3)

Nuclear Generating Stations in California



MAJOR EARTHQUAKE

General Situation

The Newport Beach area could be affected by several different earthquake faults including the Newport-Inglewood, the San Andreas, and the newly discovered San Joaquin Hills Fault. A major earthquake along any of these faults could result in substantial casualties and damage resulting in collapsed buildings, damaged roads and bridges, fires, flooding, and other threats to life and property. Other faults traversing the Los Angeles Basin, Riverside, San Bernardino, and San Diego Counties could also affect Newport Beach, though not as severely. There are still many uncharted earthquake faults throughout California possessing possible impacts for Newport Beach.

The following information describes various earthquake faults and plots areas of shaking potential and liquefaction probability. The City is located in the highest possible seismic risk zone (Zone 4) according to the Uniform Building Code of the International Conference of Building Officials. The majority of the City is located on an alluvial flood plain and has a high potential for shaking intensity and ground failure (liquefaction) damage.

The Newport-Inglewood Fault

The Newport-Inglewood Fault is considered the second most active fault in California. It runs from the City of Inglewood through Newport Beach where it extends out into the Pacific Ocean. This fault is capable of producing earthquakes in the range of 6.3 to 7.1 magnitudes. The 1933, 6.5 magnitude Long Beach earthquake occurred on the Newport-Inglewood Fault, causing 120 deaths and severe damage. Unreinforced masonry buildings collapsed leaving people trapped beneath the rubble. Schools collapsed. The Long Beach earthquake epicenter was in the Newport Harbor area of Newport Beach. Buildings received damage in the City as a result of this earthquake. The low population and development of the time attributed to decreased damage in the Newport Beach area. Other faults with the potential to affect Newport Beach include: the Whittier-Elsinore, El Modina, San Jacinto, Norwalk, Santa Monica-Raymond Hill, Peralta Uplift, and the newly discovered San Joaquin Hills. These faults, all considered active, are capable of producing earthquakes in the 4.5 - 7.5 range.

The San Andreas Fault

The San Andreas Fault is located approximately 70 miles northeast of the City. This fault is capable of producing earthquakes in the magnitude 8+ range. It has been scientifically determined that major earthquakes on this fault occur approximately every 145 years. The last major earthquake on the Southern San Andreas Fault occurred in 1857. The San Andreas is considered one of the most active faults in the world today. A major (8.3 magnitude) earthquake is expected to occur again within the next 20 years.

The San Joaquin Hills Fault

The San Joaquin Hills Fault is considered to be an active fault, running parallel to the coastline. The fault roughly extends from John Wayne Airport at the northeast end to the I-5/I-405 freeway connection. Initial research shows the San Joaquin Hills Fault capable of generating a 7.1 magnitude earthquake. The most important feature, though, is that the San Joaquin Hills Fault is a thrust fault, meaning that the motion it generates will be stronger and more violent than the motion caused by any of the other faults affecting Newport Beach. An earthquake on the San Joaquin Hills Fault is the worst-case scenario for Newport Beach.

Considering the information above, earthquakes are considered a major threat to the City. When scientists refer to the San Andreas Fault, it is often called "The Big One." In 1990, the Los Angeles Times Newspaper printed a series of articles on the Newport-Inglewood Fault and described it as "The Bigger One." Both faults can cause considerable damage; however, a 7.1 magnitude Newport-Inglewood quake would be more severe to Newport Beach than an 8.3 on the San Andreas due to its proximity to the City. The cost estimates of damage for the *worst case scenario* are four times greater for the Newport-Inglewood than the San Andreas. Given the recent identification of the San Joaquin Hills Fault, Newport Beach now will now have to reconsider its label for "The Bigger One." The San Joaquin Hills Fault has not yet been included in research for shaking and damage analyses, however a moderate earthquake on this fault would cause severe damage.

Generally, the areas of the City at the greatest risk sit directly above and close to the Newport-Inglewood or San Joaquin Hills Fault Zones. Next would be coastal areas where liquefaction potential is highest. Coastal areas are composed primarily of alluvial type soils, which is subject to intensive ground shaking and liquefaction. This area is densely populated and possesses considerable commercial property.

The Newport Harbor area is subject to liquefaction. The City requires the properties in this area be built on compacted soils, which should lessen the liquefaction potential.

Secondary effects of earthquakes include:

1. Fires--A high probability of fire following an earthquake results from the number of broken gas lines typically occurring during shaking. Water mains and lines often break as well, due to ground movement. The combination of fires and a water shortage seriously complicates the response to earthquakes and their secondary affects.
2. Dam Failure--In addition to the direct affects caused by earth shaking and ground failure (liquefaction), the City could be impacted by flooding caused by earthquake induced dam failure of the Prado Dam. However, the probability of dam failure is low since the Prado Dam is rarely full. Flooding could also result from the failure of the Big Canyon Reservoir.
3. Hazardous Chemical Spills--The north end and west side of the City house a large percentage of the City's industries with large quantities of hazardous chemicals.

This area would be most affected by hazardous chemical spills and hazardous chemical fires resulting from earthquakes.

4. Oil Spills & Pipeline Breakage--Oil fields and oil storage tanks can be seen on the west side of Newport Beach. Although the tanks are diked, a major earthquake could damage the tanks and dikes causing vast amounts of oil spillage. There are numerous underground pipelines traversing the City. An earthquake could easily cause a pipeline breakage, releasing either natural crude oil or refined petroleum products.

Specific Situation

The information presented below provides detailed estimates of potential earthquake losses in Newport Beach from an 8.3 magnitude earthquake on the Southern San Andreas Fault. The data is extracted from the following studies:

- * *U.S. Geological Survey, Metropolitan San Francisco & Los Angeles Earthquake Studies, 1980 assessment.*
- * *Special Publication 60, 1982, California Division of Mines and Geology--Earthquake Planning Scenario for a Magnitude of 8.3 Earthquake on the San Andreas Fault in the Southern California Area.*
- * *U.S. Geological Survey, Newport-Inglewood Fault Zone, Special Publication 99, 1988.*

Casualties

There are many variables affecting the number of casualties following a major earthquake. Intensity and duration of shaking, liquefaction, and the location of the population are major elements to be considered. Time of day will affect these numbers (i.e. during school hours, separated families, freeway traffic, people working in high-rise buildings, etc). The City, State and Federal levels of government plan and exercise with these threat considerations in mind.

Long-Term Homeless

Homeless households are those, which, for one reason or another, cannot be allowed to re-occupy their dwelling units. These persons may require mass sheltering if they cannot first find temporary shelter with relatives, friends, etc.

Mobile Homes

Damage to mobile homes can create additional homeless caseload problems. There are over 15 mobile home parks, with a total of 1,200 mobile homes within the City limits. Many of these are in the areas of greatest shaking potential from earthquakes.

Earthquake damage to mobile homes is expected to be very severe. Generally, only about ten percent of coaches have any form of seismic tie-downs. The damage to mobile homes can be both life threatening and create mass care problems. Mobile homes close to the coast and located on alluvial soils have a greater potential for damage. Under extended shaking from large earthquakes, mobile homes have a tendency to move off their pedestals, then drop onto their axles. (Most coaches no longer have wheels.) When this occurs, rigid utility lines can sever, causing fires. In

addition, the pedestals, which originally held the coach up, will penetrate the floor when the coach falls. This can cause injury and significant damage. Many individuals living in mobile homes are elderly, making escapes from damaged mobile homes slower and more difficult.

When there has been extensive damage to mobile home coaches, residents are unable to go "next door" in the mobile home park because of widespread damage. This situation then creates an added burden on the City by having to provide for mass care facilities. If forty percent of the non-seismic protected mobile home coaches were damaged and unlivable, it would create a homeless population of approximately 728 citizens.

Damage to Vital Public Services, Systems, and Facilities

Hoag Hospital

Hoag Hospital maintains a total of 498 hospital beds and is built on the Newport-Inglewood Fault Line. Hoag has recently finished a seismic retrofit to strengthen the main tower. It is possible that a major earthquake in the area could severely damage Hoag Hospital, impacting the City's ability to treat injured survivors.

Freeway and Roads

The 405 and 55 Freeways are located just north of Newport Beach and the 73 Toll Road runs through the northern portion of the City, continuing southeast. These freeways may be closed due to road damage, collapsed overpasses, accidents, etc. This would make entrance and exit from the north difficult to impossible.

Also the major roads into the City from the north could be in jeopardy from bridge failure. To the west of Newport Beach, connecting the City to Huntington Beach is another bridge. Damage to this bridge will impact access to the City from the west.

To the east lies the City of Laguna Beach. East Pacific Coast Highway winds its way up the coast from Laguna Beach through numerous canyons and washes. Any damage to this thoroughfare will restrict access from the east.

Pacific Coast Highway runs through the City, sitting on alluvial soil, and is expected to sustain severe damage in a major earthquake. If the Back Bay Bridge fails, easy access across town from the east and west will be eliminated.

Communications

Telephone Systems:

Telephone communications can be adversely affected due to overload resulting from post-earthquake calls from both within and outside the area. Many telephone instruments are shaken off their hooks during an earthquake. Further complications to communication capabilities may result from physical damage to equipment from ground movement, loss of service due to loss of electrical power, and subsequent failure of some auxiliary power sources.

Key system failures would likely be located near areas projected to experience intense ground shaking. It is likely the telephone systems in Newport Beach will have systematic failures not readily bypassed by alternative traffic routing. The recovery effort may be delayed because many telephone company employees will have difficulty-getting access to damaged areas to accomplish repairs.

Radio Systems:

It is clear that emergency radio traffic densities would increase with an area wide disaster. As alternative methods of communication become available in the incident, the load on the emergency channels will ease, and communications will improve.

Commercial Broadcasters:

Many radio and TV facilities are expected to be out of operation in Los Angeles/Orange County for 24 hours due to in-house problems, and/or power supply problems, and/or transmission line problems. After 24 hours, it can be expected that 50% of the area facilities will be in operation.

Water Supply and Waste Disposal

The major aqueducts supplying water to our region: Colorado, California, and Los Angeles aqueducts, are expected to sustain damage causing temporary interruptions in outside water supply. The numerous major reservoirs in the Southern California region will provide ample storage to meet demands during the time required for repairs. However, damage to water transmission lines, local storage reservoirs and pumping plants, as well as local distribution systems, will affect water availability and pressure. The absence of electrical power for extended periods will, in some areas, preclude water deliveries where pumping is necessary, even though conveyance facilities may be intact. Many areas could be dependent on tanker trucks to provide their basic water needs. Safe drinking water is always a priority of the City Public Works Water Division and will be made an even higher priority during a major incident.

Sewage collection systems may sustain widespread damage, particularly in the low-lying areas. The County's sewage treatment facilities, also located in structurally poor ground, may be damaged and experience electrical power losses resulting in discharge of raw sewage into the area.

Electric Power

Damage to power plants and their ancillary facilities in affected areas can be expected to reduce generating capacity. The potential impact of this reduction in local output is lessened, however, by the availability of power from other sources outside the affected area and by the obvious significant reduction in consumer demand that will occur. Immediate concerns will focus on repairs necessary to restore power to areas of greatest need. Major restoration problems include repairs necessary to route power through the major substations, restoration of damaged and collapsed transmission line towers, reactivation of equipment at local substations, and replacement of fallen poles, burned transformers, etc.

It is reasonable to assume that, during some portion of the first 72-hour period following the earthquake, virtually all areas may experience some temporary loss of power. All critical facilities will require standby generating equipment and emergency fuel supplies. It is assumed all substations in Orange County have a potential for heavy damage.

Damage to natural gas facilities will consist primarily of: some isolated breaks in the major transmission lines and innumerable breaks in main lines and individual service connections within the distribution systems, particularly in the areas of intense ground shaking and/or ground failure. Multiple leaks in the distribution system will affect a major portion of Newport Beach, resulting in a loss of service for extended periods. Sporadic fires should be expected at the sites of a small percentage of ruptures both in the transmission lines and the distribution system.

Overall Impact

San Andreas

While heavily impacted, the County will not suffer the extent of physical damage or population vulnerability some of our neighboring counties will incur. The County will not be as high a priority for application of outside resources as, for example, San Bernardino and Riverside Counties, Los Angeles, or Ventura Counties. The County may be called upon to provide resources to more heavily impacted areas in locations from other areas and serve as a staging and support area for resources moving to more heavily affected areas. Because of the distance from the fault, shaking would be more hazardous to large structures than to one to two story houses. The expected long duration of shaking (two or more minutes) could cause liquefaction.

Newport-Inglewood/San Joaquin Hill Faults

The County will be heavily impacted and will require considerable and immediate outside assistance. All primary utilities have the potential to be heavily damaged and there may be major structural damage to buildings, transportation routes, and communications equipment. Liquefaction is highly probable in widespread areas of the north and west part of the County and, in addition to the intensive shaking, will contribute considerably to overall damage. Approximately 80% of the City's population is within the area expected to experience the highest shaking intensity (intensity 9).

Property Loss

Specific estimates for Newport Beach have not been developed, but property loss may be substantial following a major earthquake.

Bed Loss in Hospitals

There are 26 acute care hospitals in Orange County. It should be assumed that hospitals would sustain damage in a major earthquake, thereby reducing the number of beds and the number of people emergency personnel can treat.

Although a percentage of the remaining beds could be made available by discharging or transferring non-emergency patients, it will probably be necessary to receive an immediate influx of emergency medical aid (like Federal Disaster Medical Aid Teams or DMAT) and/or exporting some of the seriously injured to out-of-county facilities, possibly even out of State.

Emergency Response Actions

All City departments are assigned specific functions as described in the Functional Responsibility Matrix. Each department will respond to, manage, and request mutual aid resources/personnel to respond to their assigned responsibilities.

MODIFIED MERCALLI INTENSITY SCALE

- I. Not felt. Marginal and long-period effects of large earthquakes.
- II. Felt by persons at rest, on upper floors, or favorably placed.
- III. Felt indoors. Hanging objects swing. Vibration like passing of light trucks. Duration estimated. May not be recognized as an earthquake.
- IV. Hanging objects swing. Vibrations like passing of heavy trucks; or sensation of a jolt like a heavy ball striking the walls. Standing cars rock. Windows, dishes, doors rattle. Glasses clink. Crockery clashes. In the upper range of IV, wooden walls and frames creak.
- V. Felt outdoors; direction estimated. Sleepers wakened. Liquids disturbed, some spilled. Small unstable objects displaced or upset. Doors swing, close, open. Shutters, pictures move. Pendulum clocks stop, start, change rate.
- VI. Felt by all. Many frightened and run outdoors. Persons walk unsteadily. Windows, dishes, glassware broken. Knickknacks, books, etc., off shelves. Pictures fall off walls. Furniture moved or overturned. Weak plaster and masonry D cracked. Small bells ring (church, school). Trees, bushes shaken (visibly, or heard to rustle).
- VII. Difficult to stand. Noticed by drivers. Hanging objects quiver. Furniture broken. Damage to masonry D, including cracks. Weak chimneys broken at roof line. Fall of plaster, loose bricks, stones, tiles, (also unbraced architectural ornaments). Some cracks in masonry C. Waves on ponds; water turbid with mud. Small slides and cave-ins along sand or gravel banks. Large bells ring. Concrete irrigation ditches damaged.
- VIII. Steering of cars affected. Damage to masonry C; partial collapse. Some damage to masonry B. Fall of stucco and some masonry walls. Twisting, fall of chimneys, monuments, towers, elevated tanks. Unbolted frame houses moved on foundations; loose panel walls thrown out. Decayed piling broken off. Tree branches broken. Changes in flow or temperature of springs and wells. Cracks in wet ground and on steep slopes.
- IX. General panic. Masonry D destroyed; masonry C heavily damaged, sometimes with complete collapse; masonry B seriously damaged. (General damage to foundations.) Frame structures, if not bolted, shifted off foundations. Frames cracked. Serious damage to reservoirs. Underground pipes broken. Conspicuous cracks in ground. In alluvial soil areas, sand and mud ejected, earthquake fountains, sand craters.
- X. Most masonry and frame structures destroyed with their foundations. Some well-built wooden structures and bridges destroyed. Serious damage to dams, dikes, embankments. Large landslides. Water thrown on banks of canals, rivers, lakes, etc. Sand and mud shifted horizontally on beaches and flat land. Rails bent slightly.
- XI. Rails bent greatly. Underground pipelines completely out of service.

XII. Damage nearly total. Large rock masses displaced. Lines of sight and level distorted. Objects thrown into the air.

Definition of Masonry A, B, C, D:

Masonry A--Good workmanship, mortar and design; reinforced, especially laterally and bound together by using steel, concrete, etc.; designed to resist lateral forces.

Masonry B-- Good workmanship and mortar; reinforced, but not designed in detail to resist lateral forces.

Masonry C--Ordinary workmanship and mortar; no extreme weaknesses, as in failing to tie in at corners, but neither reinforced nor designed against horizontal forces.

Masonry D--Weak materials, such as adobe; poor mortar; low standards of workmanship; weak horizontally.

EARTHQUAKE PREDICTION RESPONSE POLICY

Preamble

Earthquake Prediction is an evolving science. There is an emerging capability to provide predictions, advisories, and warnings. The City of Newport Beach will utilize this capability in fulfilling its responsibility to protect the lives and property of its people.

Policy

It is the policy of the City to rely upon earthquake predictions, advisories, and/or warnings that emanate from the State, through the California Emergency Management Agency (Cal EMA). It is important that the information upon which the City relies be as valid as possible. Any prediction that emanates from outside of the State process will, to the extent possible, be subject to evaluation by the State before the City utilizes such information.

It is the policy of the City to utilize its emergency operations organization in response to any *official* earthquake predictions, advisories, and warnings.

It is the policy of the City to work cooperatively on an operational area and regional basis. A coordinated regional response is in the best interest of the public. The City will make every effort to work with political entities within the region to develop a coordinated response. As the City is ultimately responsible for the safety of its people, the City reserves the right to act independently when necessary.

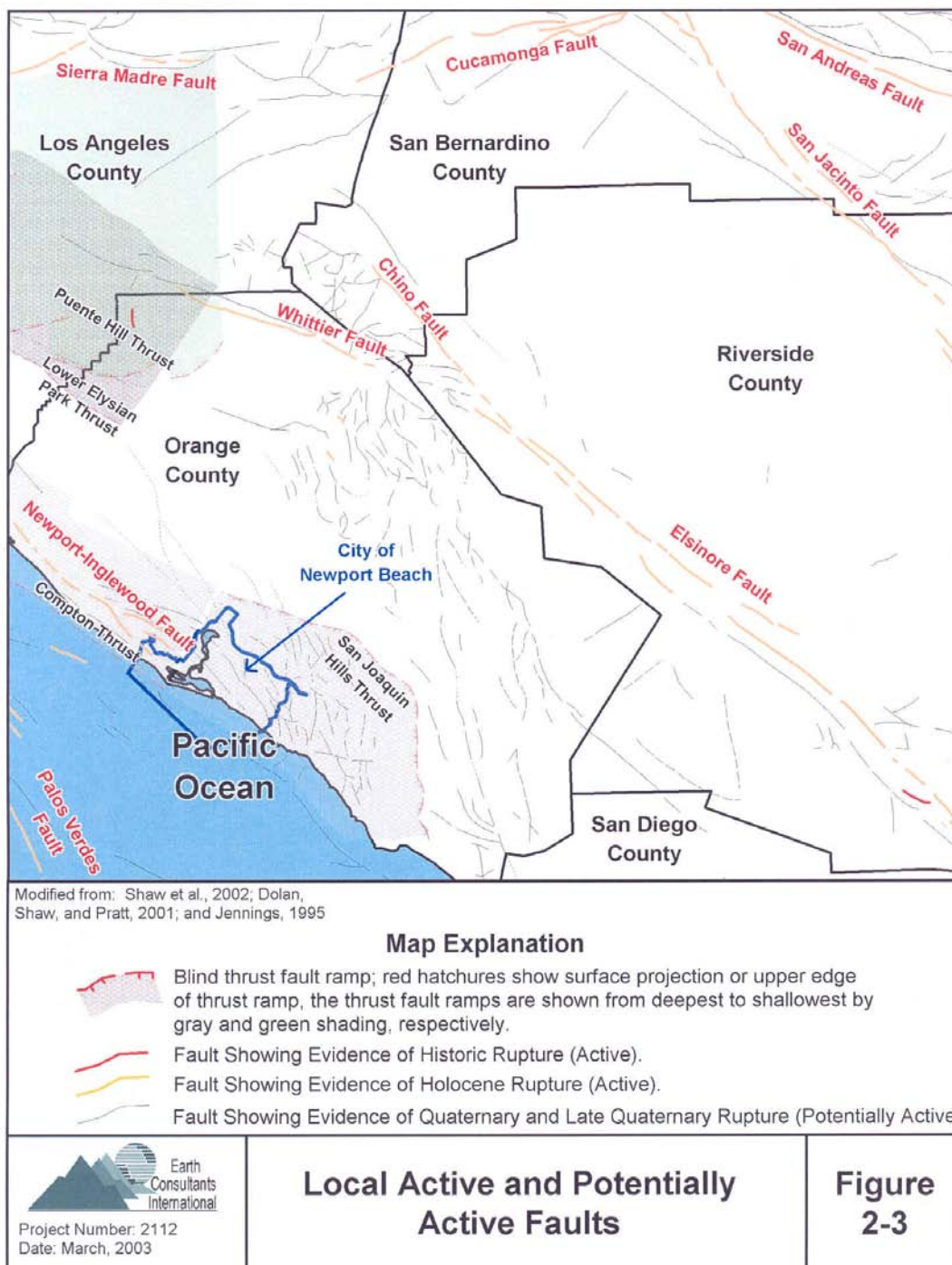
It is the policy of the City that community members are appropriately informed regarding earthquake predictions, advisories, and warnings. An informed populace is better able to prepare for and to protect itself in any major emergency. It is incumbent upon the City to provide timely and accurate advisory information using the most appropriate means.

It is the policy of the City that information shall emanate from a single source--the *Director of Emergency Services* or designee, the Public Information Officer. Information provided to the public should be presented with a view toward mitigating life threatening hazards, and the social and economic impacts on the community and individuals.

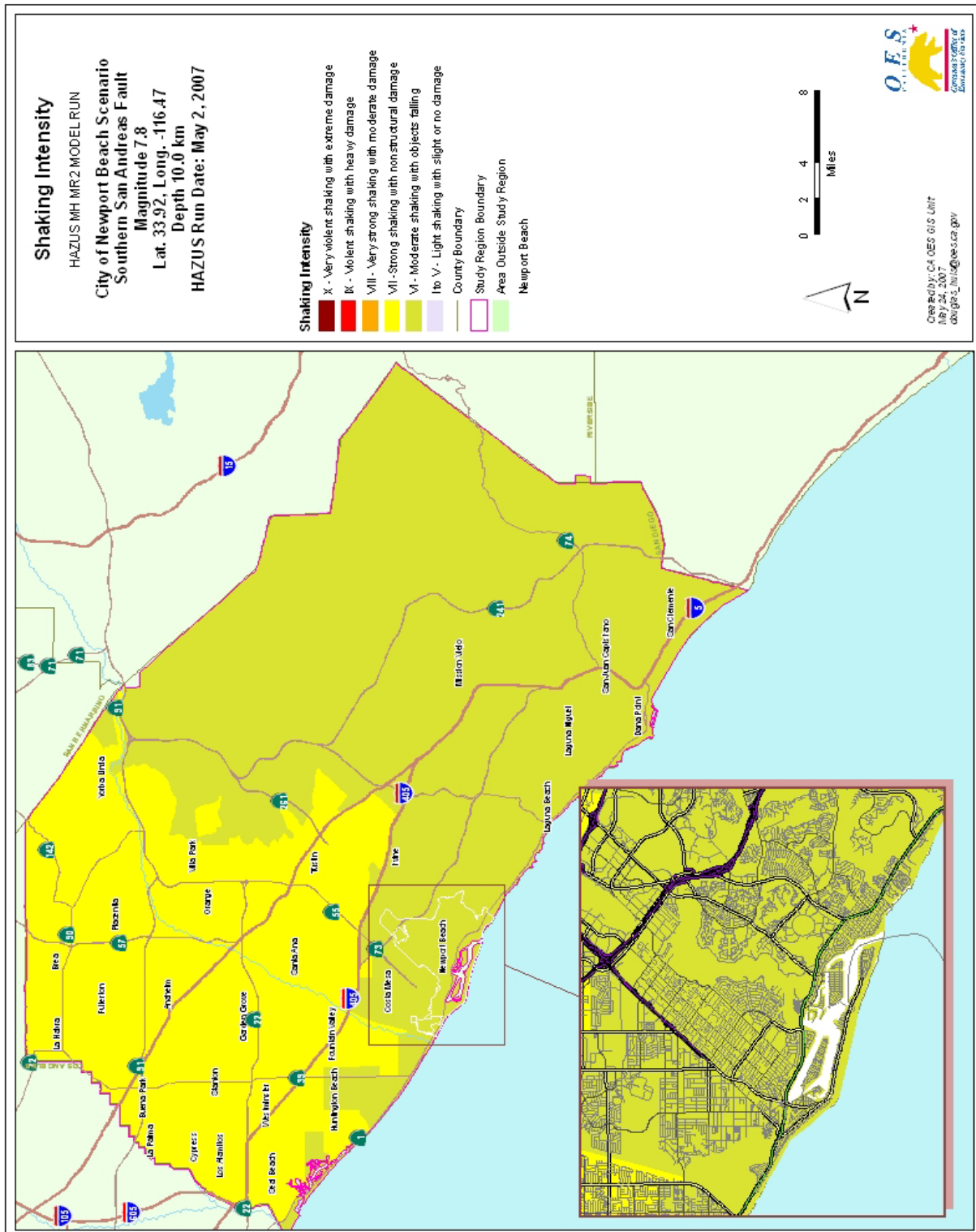
It is the policy of the City to conduct continuing programs of education and guidance for its employees and residents concerning precautions or other actions to be taken prior to, during, and after an earthquake. Specific instructions would be issued in anticipation of extreme emergency situations.

This policy does not impose a duty on the part of the City or its employees to act in response to any earthquake prediction, advisory, or warning.

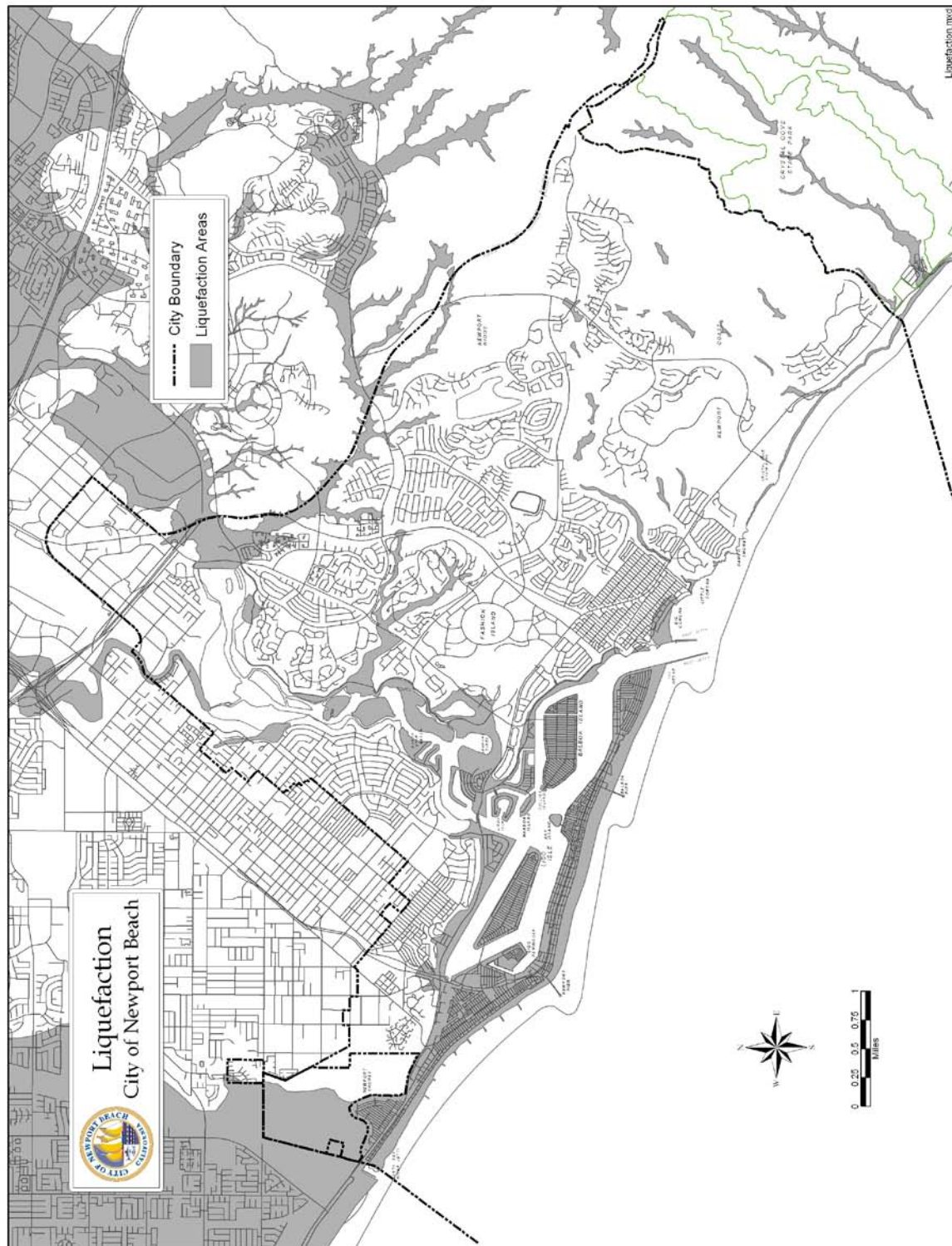
SOUTHERN CALIFORNIA EARTHQUAKE FAULT MAP



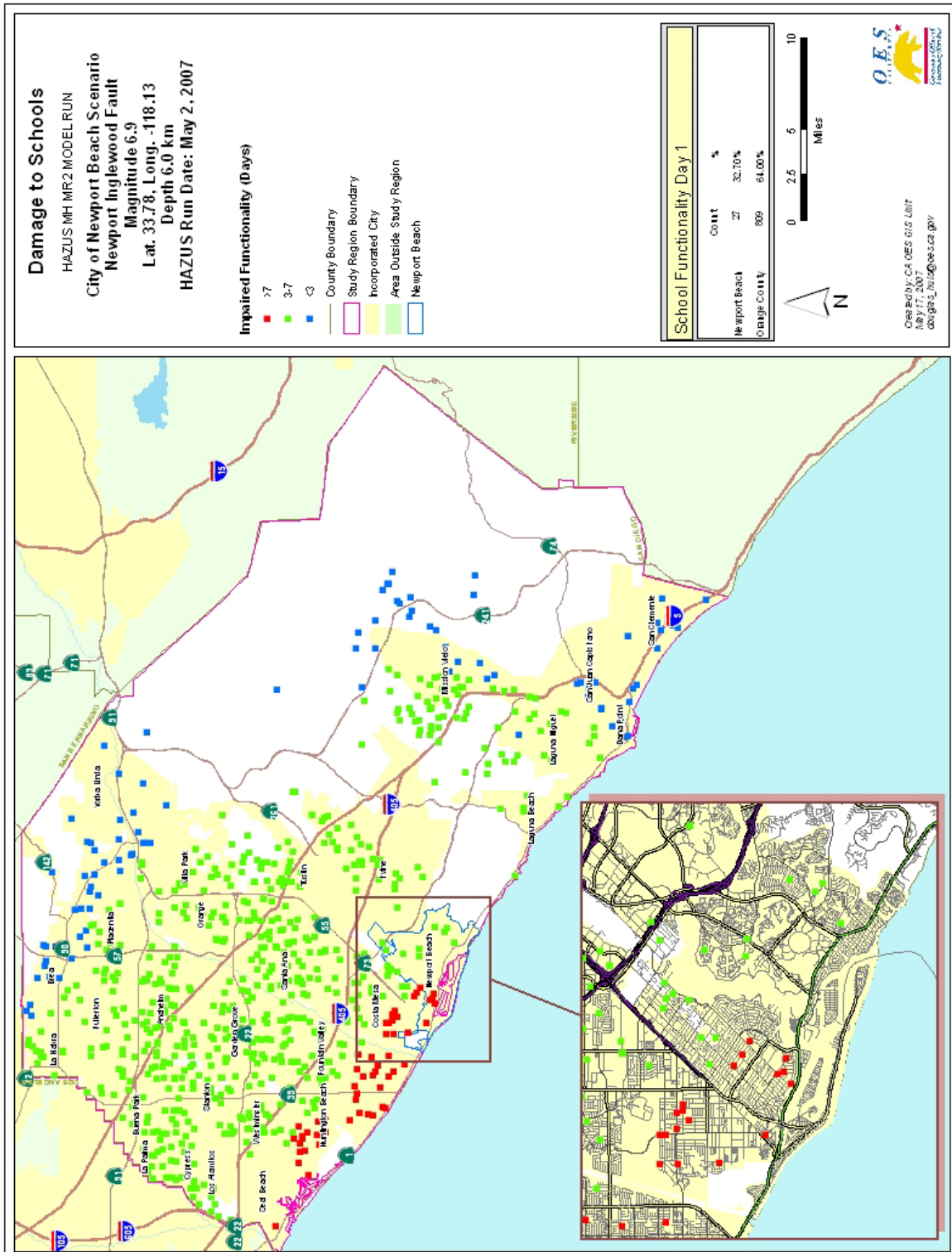
7.8 SAN ANDREAS FAULT SHAKING INTENSITY MAP



CITY OF NEWPORT BEACH LIQUEFACTION ZONES



6.9 INGLEWOOD-NEWPORT FAULT DAMAGE TO ORANGE COUNTY SCHOOLS



HAZARDOUS CHEMICAL SPILL

GENERAL INFORMATION

(EOP 46)

Chapter 6.95, Section 25502, California Health and Safety Code, and Title 19, California Code of Regulations, describe the State of California hazardous chemical emergency planning and community right-to-know program. Counties are required to designate an agency to implement the provisions of this program. In Newport Beach, the Fire Department is the agency designated to administer this program. This agency maintains business plans for businesses in the City that handle a hazardous material in quantities equal to or greater than 500 pounds, 55 gallons, or 200 cubic feet of gas at Standard temperature and pressure (STP), or Federal standards of extremely hazardous substances in excess of threshold planning quantities, at any one time.

As of December 1, 2010, 210 businesses in Newport Beach have filed business plans with the Newport Beach Fire Department. The preponderance of hazardous materials contained in this gross inventory consists of numerous petroleum products, manufacturing, and agricultural chemicals.

The San Diego Freeway (I-405) to the north, the San Joaquin (73) Toll Road to the east, and Pacific Coast Highway to the south closely border Newport Beach. The six-lane Costa Mesa Freeway is a primary arterial highway near the west side of the City. Many other four and six-lane streets provide easy access to the north, northeast, and eastern portions of the City. Due to the volume of traffic and the nature of the materials transported, there is a heightened risk of a hazardous material leak or spill in the Newport Beach area.

In the event of a hazardous material incident in Newport Beach, the Newport Beach Fire Department will act as lead agency within the City limits, and will provide an Incident Commander. The Police Department and the Municipal Operations Department will provide incident support. A request for Hazardous Materials Team Response from the Orange County Hazardous Materials Response Authority will be made, if needed, via Fire Department Dispatch. Generally, response at the City level will be limited to situation analysis, evacuation of the threatened population, incident stabilization, containment, and coordination of cleanup.

Substances that might be encountered in a hazardous material incident include, but are not necessarily limited to:

- Flammable gases
- Flammable liquids
- Nonflammable gases
- Corrosives
- Poisons, Class A and B
- Flammable solids
- Oxidizers
- Organic peroxides
- Radioactive materials
- Etiologic agents

Generalized response procedures for hazardous material incidents are contained in the Operations Section/Fire & Rescue Annex of this document. Detailed response procedures are contained in the Newport Beach Fire Department Operations Plan B-4 and in the Orange County/Cities Hazardous Material Emergency Response Plan.

The complete list of Hazardous Materials Handlers is distributed to the Fire Department Hazardous Materials Team, the Battalion Chiefs, and in the Reference Book of the Emergency Operations Center. See the Newport Beach Hazardous Materials Listings for "High" Hazards are listed below.

The City of Newport Beach has approved the Hazardous Materials Area Plan (HMAP) to address the planning necessary for hazardous materials emergencies. (EOP 46) The major objective of this plan is to describe procedures for the effective and efficient allocation response to a hazardous materials emergency. Further, it is to establish an emergency organization, assign tasks, specify policy and general procedures, and provide coordination of planning for all phases of emergency planning for a hazardous materials emergency. Specifically the HMAP addresses the following:

- Procedures and protocols for emergency personnel, including approach, recognition and evaluation of releases
- Monitoring and decontamination guidelines
- Pre-incident surveys of business sites
- Procedures to address local, state and federal funding and emergency response assistance
- Methods to access approved and permitted hazardous waste disposal facilities and emergency response contractors
- The use of an Integrated Response Management System
- Procedures for notification and coordination with emergency response personnel
- Procedures for utilizing alternative forms of emergency communications
- Listing of specific emergency responsibilities of responding organizations
- Procedures for notifying the Office of Emergency Services
- Specific public safety information requirements

A copy of the HMAP is kept in the City Emergency Operations Center for reference.

**LOCATION OF STORAGE FACILITIES & HANDLERS
OF "ACUTELY HAZARDOUS MATERIALS"
IN THE CITY OF NEWPORT BEACH
(EOP 46)**

Per the Cal-ARP Program, updated 12/10

Big Canyon Reservoir
3300 Pacific View
Jazz Semiconductor Inc.
4321 Jamboree Road
Hixson Metal Furnishing
829 Production Place
CNB-Utilities Yard
949 West 16th Street



FIRE, WILDLAND FIRE, AND/OR EXPLOSION

The Newport Beach Fire Department is responsible for fire suppression within the City of Newport Beach. The Newport Beach Fire Department constantly monitors the fire hazard in the City, and has ongoing programs for the investigation and alleviation of hazardous situations. Newport Beach staffs eight fire stations 24-hours per day, 365 days per year. Fires, in general, only represent 5% of all calls, with structure fires occurring less than 2% of the time. This makes fire personnel training and knowledge that much more valuable and mandatory. There are two distinct fire hazards represented within the City, Wildland Fires and Structure Fires.

Wildland Fires/Urban Interface

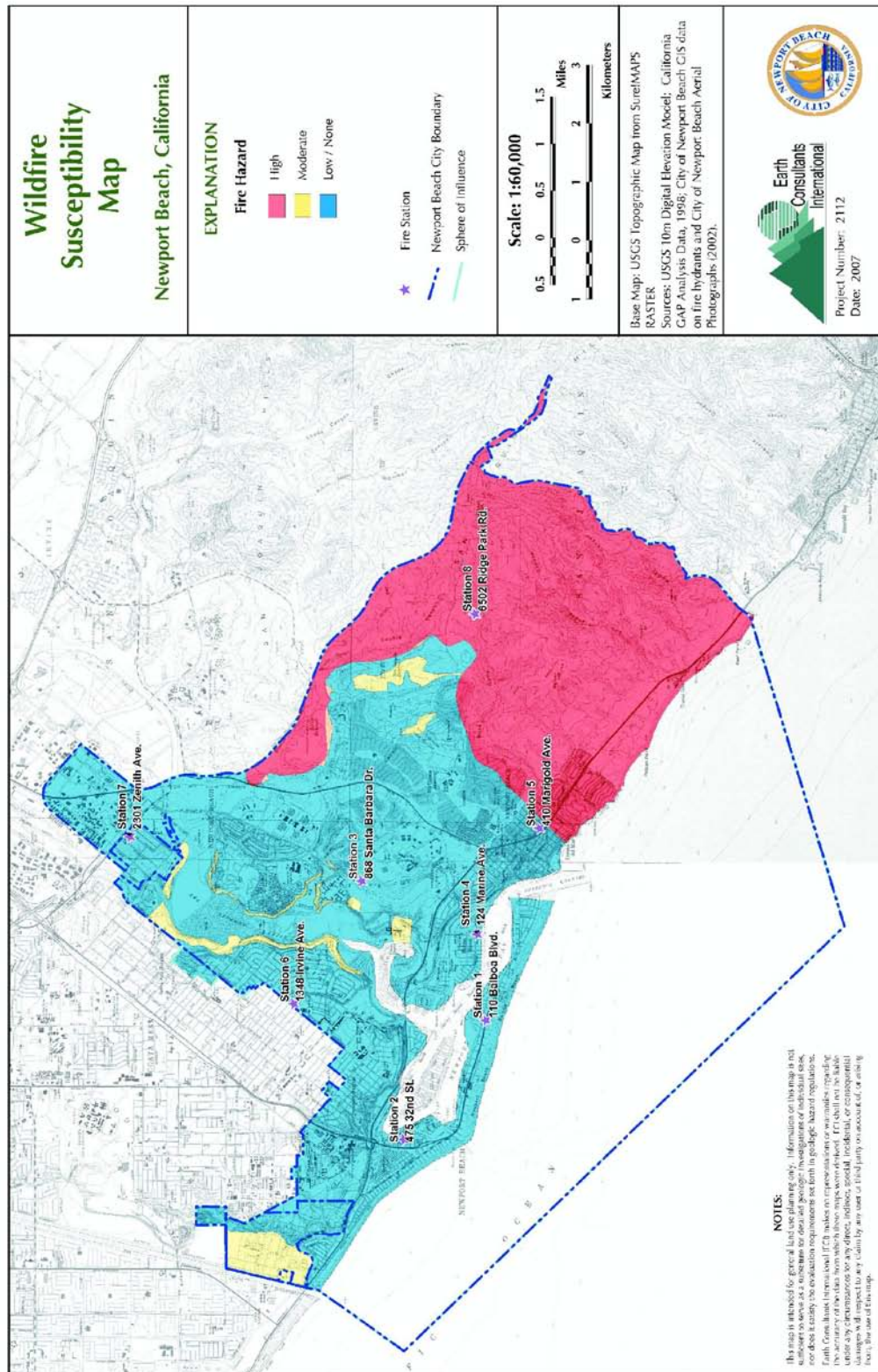
Wildfires are a significant hazard throughout the United States. This hazard has increased in the last few decades due to encroachment of structures into the wildland environment, more people recreating in wildland areas, and drought conditions in some areas. Certain methods can reduce this hazard.

There are two specific ways that residents and fire personnel can reduce the hazard of fires in the Urban Wildland Interface (UWI).

- 1) Hazard Reduction: consists of maintenance of existing trees, shrubs, and ground cover within a 100-foot set-back zone from a home/structure.
- 2) Fuel Modification: consists of lessening or eliminating the amount of combustible fuel around a home/structure.

The purpose of both of these methods is to reduce the hazard of wildfires by establishing a defensible space around buildings or structures. A defensible space is defined as an area that slows the rate and intensity of an advancing fire and gives firefighters a space to set up to suppress fires, thereby saving the structures. In Newport Beach, the defensible space for hazard reduction and fuel modification is 100 feet in all directions facing UWI areas.

WILDFIRE SUSCEPTIBILITY MAP



Structure Fires

Newport Beach is at an increased risk of structure fires in many areas of the city due to many factors. There is a small town character of certain areas such as Balboa Peninsula, Balboa Island, and Corona Del Mar. This character puts these areas of the City at increased risk for structure fires due to the following reasons:

- Homes are an older vintage (some date back to the 1930's)
- Homes are built using older standards and fire codes
- They are made from non-fire-resistive construction material
- There are few, if any, fire sprinklers or safety systems in place
- Each house is built with three- or four-foot set-backs, where overhangs are allowed

These factors cause many problems, but two in particular. In these areas, there is an access problem for both emergency vehicles and firefighters. The streets and houses are so close together that immediate and rapid access is sometimes impossible. Secondly, due to these tight quarters, evacuation issues are problematic.

Another issue that puts Newport Beach at a higher risk for structure fires is the geography. Newport Beach is split by a large bay that divides the city into two regions. One-third of the fire department resides west of the bay, and two-thirds has been placed on the east side. If the bridge connecting the two sides of the city were to be compromised, access and egress would be greatly affected, therefore, effecting fire department response to emergency situations, including structure fires. Another geographic concern is the mixture of residential occupancies with commercial occupancies. These types of occupancies follow different standards and require distinct firefighting tactics. Lastly relating to geography is the number of high-rise buildings that Newport Beach possesses. Newport Beach has 30 high-rise buildings, all but three of which have built-in fire protection systems. These high-rises are hazards due to evacuation issues and specialized firefighting tactics.

FLOOD, STORM, HURRICANE & TIDAL SURGE **(EOP 48)**

Floods have had significant impacts throughout Newport Beach's history. In 1938, a 500-year flood hit Southern California. The low-lying areas in West Newport adjacent to the Santa Ana River out-fall suffered from the flooding. As a result of this great flood, the Santa Ana River channel was built. In 1969, and again in 1983, one hundred-year floods inflicted damage in the Newport Beach area.

Each year, many low lying areas of Newport Beach face flooding problems because of the high winter tides brought on by storms. There are areas at and below sea level along the entire beachfront of the City. Water damage is costly to those unprepared. The primary responsibility of the local government during widespread flooding is to protect public safety. Secondary is protection of property such as: highways, streets, bridges, and structures.

Some winter runoff flooding occurs on an average of every other year in the Orange County area with severe floods occurring approximately every ten years. Tidal surges and high tides occur almost every year. Flooding is one of the most common emergencies affecting Newport Beach, which is why extra effort has been made to prepare for flood emergencies.

Also associated with flooding is the issue of debris management. With the flooding resulting from the 1997-1998 El Nino Storms, a significant amount of debris was washed into Newport Harbor jeopardizing both property and vessels, and the ability to safely navigate the harbor. In addition, heavy amounts of debris slid off hillsides, down tributaries to the ocean, with the resulting debris being washed up upon the coastal beachfront.

For details specific to flood operations are included in position checklists throughout Part Two of the Emergency Operations Plan.

Expected Damage to Essential Facilities

Essential facilities include hospitals, fire stations, police stations, and schools. Several of the educational and government facilities in the City are expected to be at least slightly damaged as a result of flooding given their location in the flood zones. Specifically, Fire Station No. 4 is located within the 100-year flood zone; whereas City Hall, Fire Station No. 1, Fire Station No. 2 and Newport Beach Elementary School are all located within the 500-year flood zone. Furthermore, and equally significant, several of the essential facilities in the southern and southwestern portion of the City may be cut-off from the rest of the City by rising flood waters as a result of flooding. Given that several local schools reported flood damage as a result of the February 6th, 1998 storm

that brought in about 3 inches of rainfall to the area, these loss estimations may under-represent the actual losses that could be expected to essential facilities in the City.

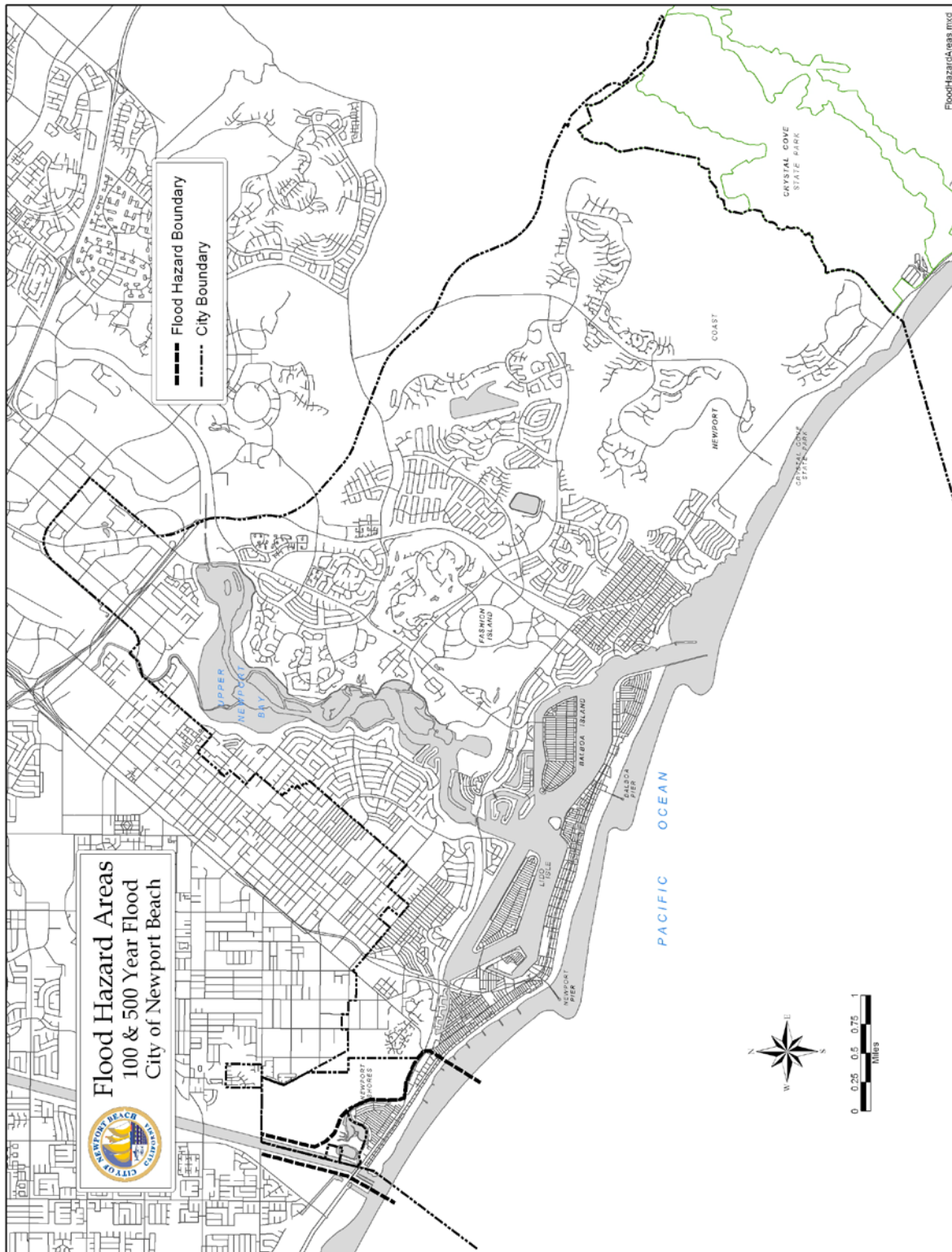
Shelter Requirements

Given the number of residential structures located within the 100- and 500-year flood zones, a significant storm has the potential to displace residents from their homes due to the flood and the associated potential evacuation. These individuals may require accommodation in temporary public shelters.

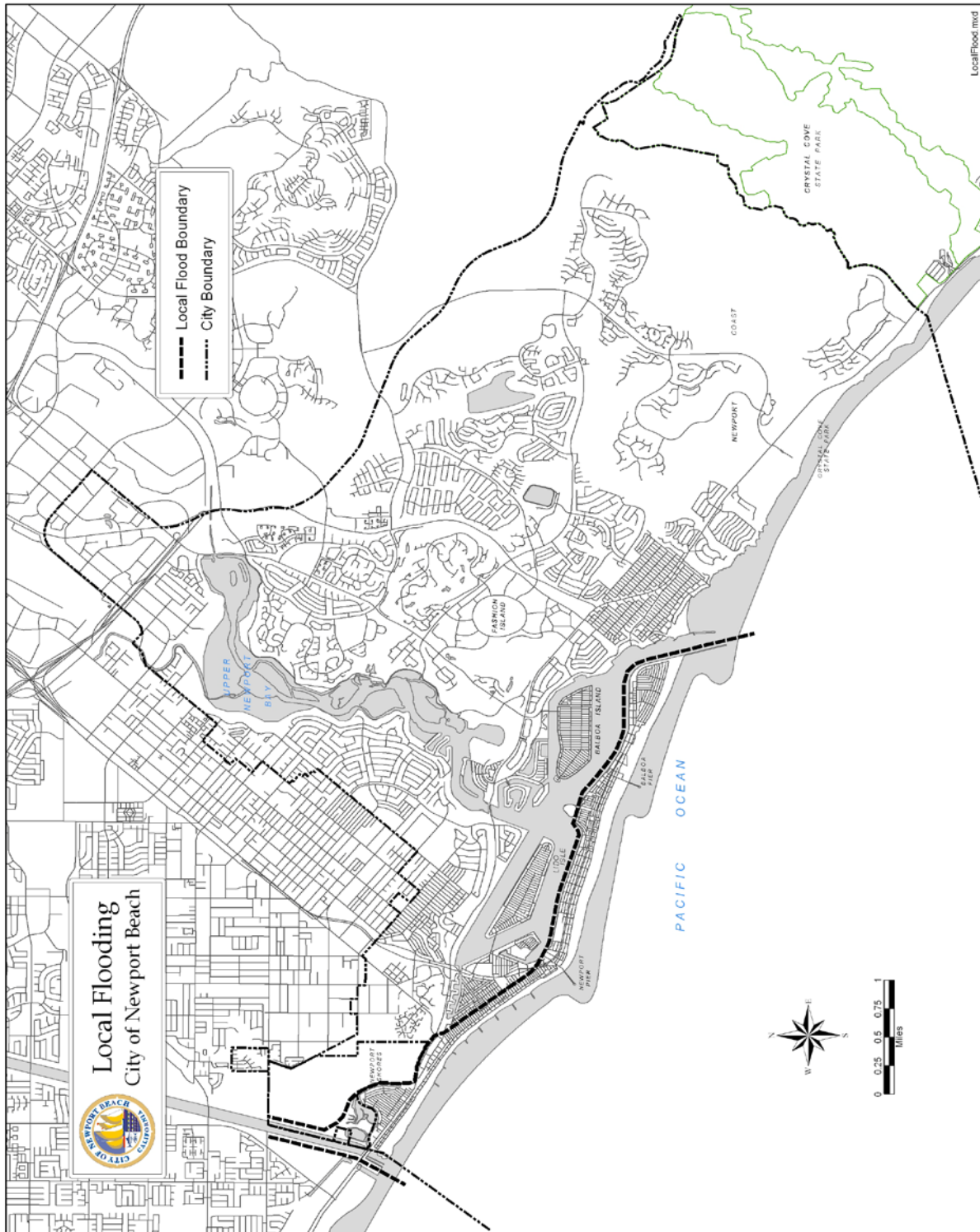
Roads

During natural hazard events, or any type of emergency or disaster, dependable road connections are critical for providing emergency services. Roads systems in the City of Newport Beach are maintained by multiple jurisdictions. Federal, State, county, and city governments all have a stake in protecting roads from flood damage. Road networks often traverse floodplains and floodway areas. Transportation agencies responsible for road maintenance are typically aware of roads at risk from flooding. An extensive network of residential streets is expected to be impacted by flooding, in addition to sections of the Coast Highway, and to a lesser extent, the southern termination of Newport Boulevard, one of the most important arterials in the City

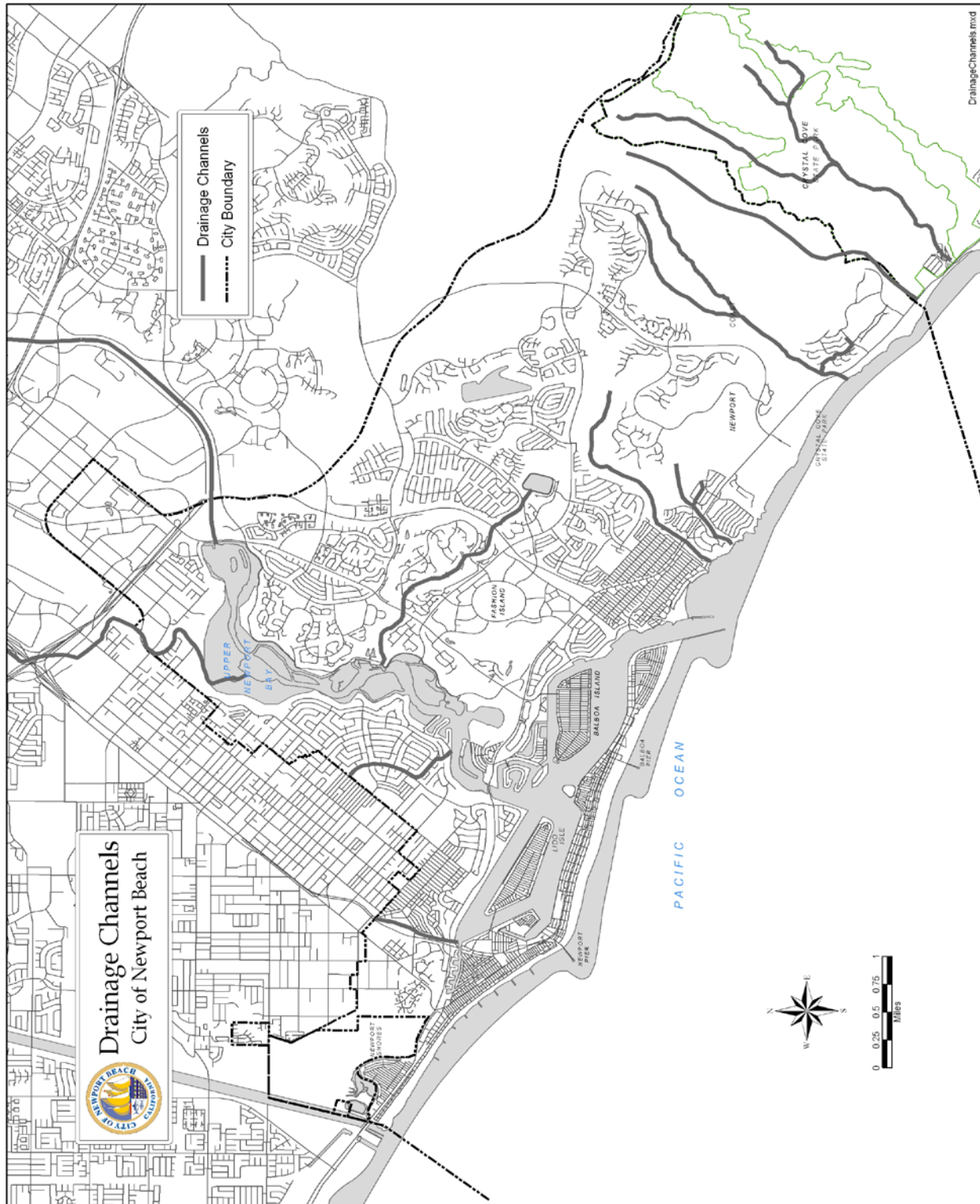
FLOOD HAZARD AREAS



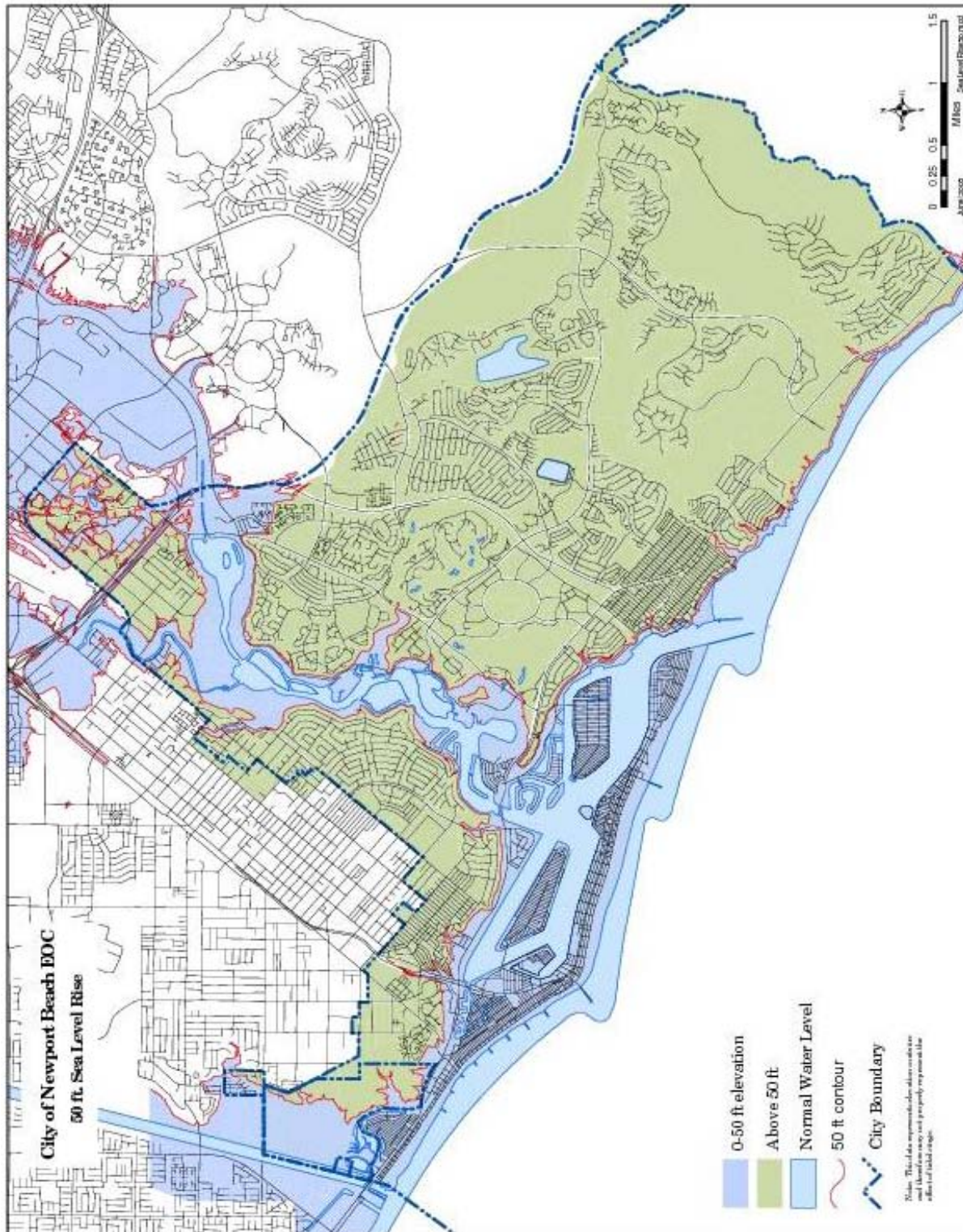
LOCAL FLOODING MAP



DRAINAGE CHANNELS & PUMPING STATIONS MAP



SEA LEVEL RISE FLOODING MAP - 50 FEET (EOP 48)



WINDSTORMS, WATERSPOUTS & TORNADOES

Severe windstorms can pose a significant risk to property and life in the region by creating conditions that disrupt essential systems such as public utilities, telecommunications, and transportation routes. High winds can and do occasionally cause damage to local homes and businesses. This section discusses the specific hazards associated with unusual and potentially damaging wind activity based on historic records and scientific data.

Windstorm Characteristics

Santa Ana Winds

Most incidents of high wind in Southern California are the result of Santa Ana wind conditions. Santa Ana winds are generally dry, dust-bearing winds that blow from the east or northeast toward the coast, and offshore. These winds commonly develop when a region of high atmospheric pressure builds over the Great Basin – the arid high plateau that covers most of Nevada and parts of Utah, between the Sierra Mountains on the west and the Rocky Mountains to the east. Clockwise circulation around the center of this high-pressure area forces air downslope from the plateau. As the air descends toward the California coast, it warms at a rate of about 5 degrees Fahrenheit per 1,000 feet elevation. Since the air originates in the high deserts of Utah and Nevada, it is originally very low in moisture; as it is heated, it dries out even further. The wind picks up speed as it hits the canyons and passes in the coastal ranges of Southern California, blowing with exceptional speed through the Santa Ana Canyon (from where these strong winds derive their name). Forecasters at the National Weather Service usually reserve the use of “Santa Ana” winds for those over 25 knots; as they move through canyons and passes, these winds may reach speeds of 35 knots, with gusts of up to 50 to 60 knots.

Tornadoes

Tornadoes are “violently rotating columns of air extending from a thunderstorm to the ground. Thunderstorms most often develop when warm, moist air meets a cold front, often producing strong winds, tornadoes, and hail. Although tornadoes occur in many parts of the world, they are most common during the spring and summer months in the Central Plains of the United States, east of the Rocky Mountains. In the spring, tornadoes often form where warm, moist air from the east meets hot, dry air from the west (this boundary is called a “dryline”). In the winter and early spring, tornadoes can also form when strong frontal weather systems originating in the Central states move eastward. Thunderstorms, and associated tornadoes, can also form at the range front, where near-ground air is forced to move “upslope” along the ascending mountain slopes. Tornadoes can also accompany tropical storms and hurricanes as they move on land, where they usually occur to the right and ahead of the path of the storm center as it comes onshore. Based on data collected between 1950 and 1991, peak tornado occurrence in California is between January and April.

Waterspouts

Weak tornadoes that form over warm water are called **waterspouts**. Occasionally, waterspouts can move on land and become tornadoes. Waterspouts along the Southern California coastline are more often seen in the late fall or winter. Waterspouts have been reported off San Diego, La Jolla, Oceanside, Point Loma, Laguna Beach, Newport Beach, Huntington Beach, Long Beach, Santa Monica, Catalina, and Point Mugu. **Funnel clouds** are cone-shaped or needle-like clouds that extend downward from the main cloud base but do not extend to the ground surface. If a funnel cloud touches the ground, it becomes a tornado; if it touches or moves across water, it is a waterspout.

Vulnerability and Risk

As past events show, windstorms in the City of Newport Beach have the potential to impact life, property, utilities, infrastructure and transportation systems, causing damage to trees, power lines, utility poles, road signs, cars, trucks, boats, and building roofs and windows. Structures and facilities can be impacted directly by high winds and/or can be struck by air-borne debris. Windstorms can disrupt power to facilities and disrupt land-based communications as well. In fact, historically, trees downed during a windstorm have been the major cause of power outages in the southern California area. Uprooted trees and downed utility poles can also fall across the public right-of-way disrupting transportation. These events can be major hindrances to emergency response and disaster recovery. For example, if transportation routes are compromised by fallen debris, and loss of power occurs in the area, emergency response facilities like the hospital, fire stations, and the police station may find it difficult to function effectively. Falling or flying debris, falling trees and downed power lines can also injure or kill motorists and pedestrians. As discussed previously, windstorms, especially Santa Ana winds, are often also associated with wildfires, which, if they occur in or near a populated area, can result in enormous losses to property, in addition to injuries and loss of life.

A windstorm also has the potential to displace residents, which may require the City to provide short-term and/or long-term shelters to accommodate these individuals, in addition to providing for other emergency response activities such as cleanup and repair. This has the potential to impact the City economically, as City funds would have to be tapped into to respond adequately to the needs of the impacted members of the community.

NATIONAL SUMMARY OF TORNADO OCCURRENCES

Tornado

Definition

A small radius cyclonic windstorm

National Frequency

The yearly national average of incidents (taken from 1959-1988 data) is 783. The average annual frequency per State is 16 with a high for Texas of 132 and less than 3 in 14 States.

Regions at Risk

Tornadoes are a risk in all States but are more frequent in the Midwest, Southeast and Southwest. The States of Mississippi, Kansas, Arkansas, Oklahoma, Illinois, Indiana, Iowa, Missouri, Nebraska, Texas, Louisiana, Florida, Georgia, Alabama and South Dakota are at greatest risk. See Figure 1 for average annual tornado watch frequency from 1999 to 2008.

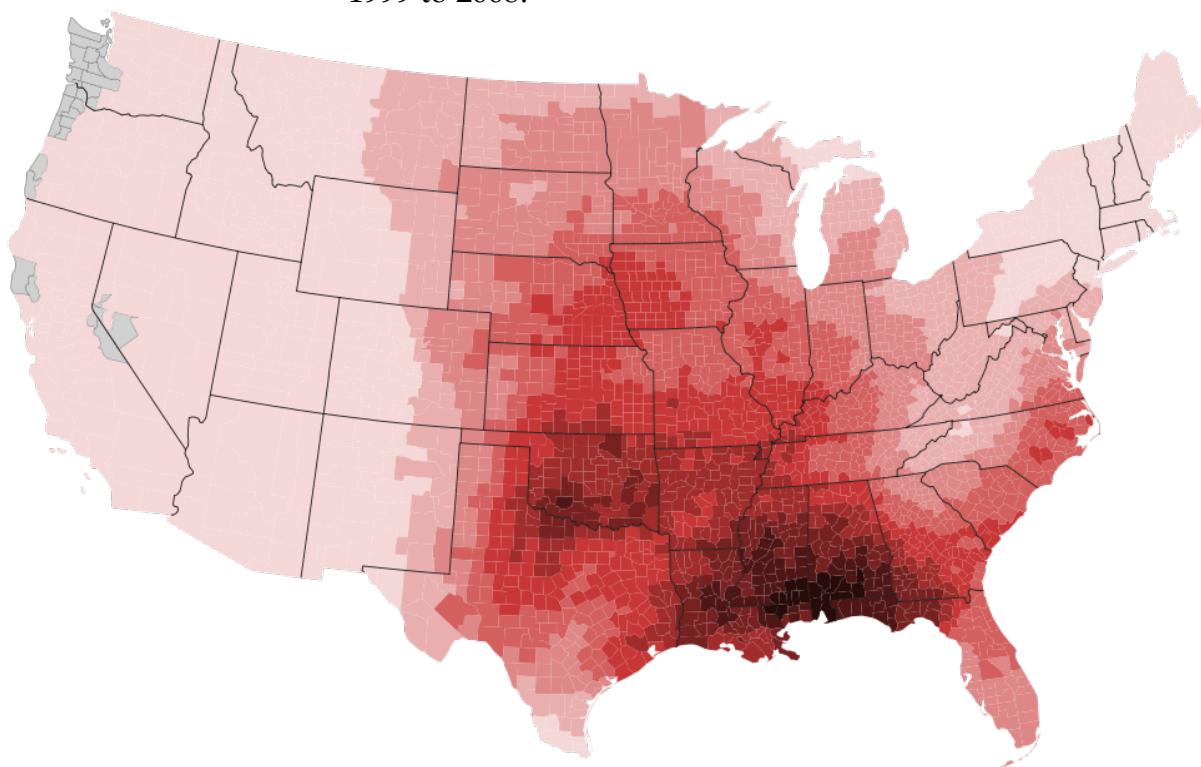


Figure 1: Average annual tornado watch frequency in the United States by county, 1999 to 2008

IMMINENT/ACTUAL DAM FAILURE (EOP 47)

General Situation

Three dams located in the Newport Beach area fall under State jurisdiction: Big Canyon Reservoir, Bonita Reservoir, and San Joaquin Reservoir (see Map 7-8). These dams are owned by the City of Newport Beach, Irvine Ranch Water District and the Irvine Water Company, respectively. They retain small reservoirs in the San Joaquin Hills.

Portions of Newport Beach are threatened by flooding from Prado Dam, Santiago Creek Reservoir, Villa Park Reservoir, San Joaquin Reservoir, Big Canyon Reservoir and Harbor View Reservoir. Bonita Reservoir also has the potential to cause localized flooding in the City, but inundation limits due to failure of this structure were not available. If Seven Oaks Dam fails, the flow reportedly will be contained by Prado Dam Reservoir, and is therefore not expected to impact the City of Newport Beach. The potential inundation areas for these dams have been plotted on maps.

Dam and reservoir failures can result from a number of natural or manmade causes such as earthquakes, erosion of the face or foundation, improper sitting, rapidly rising floodwaters, structural/design flaws, or terrorist attack.

There are three (3) general types of dams: earth and rock-fill (Prado), concrete arch or hydraulic fill, and concrete gravity. Each of these types of dams has different failure characteristics. The earth and rock-fill dam will fail gradually due to erosion of the breach. A flood wave will build gradually to a peak and then decline until the reservoir is empty.

In addition to the above-mentioned characteristics, warning ability is generally determined by the frequency of inspections for structural integrity, flood wave arrival time (the time it takes for the flood wave to reach its maximum distance of inundation), the ability to notify persons downstream, and evacuation possibilities. The existence of and frequency of updating and exercising site-specific evacuation plans is located in the *Law Enforcement Branch Section*.

A dam or reservoir failure will cause loss of life, damage to property, and other ensuing hazards, as well as the displacement of persons residing in the inundation path. Damage to electric generating facilities and transmission lines could also impact life support systems in communities outside the immediate hazard areas.

A catastrophic dam or reservoir failure, depending on size of dam and population downstream, could exceed the response capability of local communities. Damage control and disaster relief support would be required from other local governmental and private organizations and from the State and Federal governments.

Mass evacuation of the inundation areas is essential to save lives, if warning time permits. Extensive search and rescue operations may be required to assist trapped or injured persons. Emergency medical care, food, and temporary shelter will be required for injured or displaced persons. Identification and burial of many dead persons would pose difficult problems; public health would be a major concern. Many families would be separated, particularly if the failure should occur during working hours. The loss of communications, damage to transportation routes, and the disruption of essential services could seriously hamper emergency operations.

Governmental assistance will be required and may continue for an extended period. A considerable amount of effort will be required to remove debris and clear roadways, demolish unsafe structures, and assist in reestablishing public services and utilities. City Government will also need to provide continuing care and welfare for the affected population including temporary housing for displaced persons.

Specific Situation

This plan covers actions to be taken for all types of hazards, including a Prado Dam or Big Canyon Reservoir failure.

Inundation due to Catastrophic Failure of Water Storage Structures:

Loss of life and damage to structures, roads, and utilities may result from a dam failure. Economic losses can also result from a lowered tax base and lack of utility profits. These effects would certainly accompany the failure of one of the major dams in the City of Newport Beach.

Three dams located in the Newport Beach area fall under State jurisdiction: Big Canyon Reservoir, Bonita Reservoir, and San Joaquin Reservoir (see Map on page 92). These dams are owned by the City of Newport Beach, Irvine Ranch Water District and the Irvine Water Company, respectively. They retain small reservoirs in the San Joaquin Hills.

Portions of Newport Beach are threatened by flooding from Prado Dam, Santiago Creek Reservoir, Villa Park Reservoir, San Joaquin Reservoir, Big Canyon Reservoir and Harbor View Reservoir. Bonita Reservoir also has the potential to cause localized flooding in the City, but inundation limits due to failure of this structure were not available. If Seven Oaks Dam fails, the flow reportedly will be contained by Prado Dam Reservoir, and is therefore not expected to impact the City of Newport Beach. Each of these reservoirs is described further below.

Prado Dam reservoir straddles the boundary between San Bernardino and Riverside counties and is located approximately 2 miles west of the city of Corona. This dam is an earth-filled, concrete-capped structure that was completed in April 1941. The reservoir covers an area of 6,695 acres and has a spillway capacity of 383,500 acre-feet. Summary information on this dam and its reservoir are provided on page 62. Should the dam fail, the projected southwestern limits of the flood inundation path near Newport Beach

are shown on the following map. If this dam failed catastrophically while full of water, the inundation area would impact much of Orange County including Newport Beach. Approximately 110,000 acres of residential, commercial, and agricultural land would be flooded. By the time floodwaters reached the ocean most areas from Long Beach to Newport Bay would be inundated. It is estimated that the flood would reach the City of Newport Beach 21.5 hours after dam failure and cause flooding of West Newport along the Santa Ana Delhi Channel and San Diego Creek, and in Newport Bay as far south as Coast Highway. The data provided below will change as the dam is currently undergoing significant improvements that will greatly increase the level of flood protection to the communities of Orange County within the Santa Ana river floodplain. These modifications include raising the crest of the dam from the current 566.0 feet above mean sea level (NGVD) to 594.4 feet. Completion of these improvements is expected in the year 2012.

Characteristics of Prado Dam and Reservoir
(prior to Improvements expected to be completed in 2012)

Name:	Prado
Department of Water Resources No.	9000-022
National ID No.	CA10022
Owner:	U.S. Army Corps of Engineers
Year Completed:	1941
Latitude; Longitude:	33.89°; -117.643°
Crest Elevation:	566.0 feet (being raised to 594.4 feet)
Stream:	Santa Ana River
Dam Type:	Earth-filled
Parapet Type:	N/A
Crest Length:	2,280 feet
Crest Width:	30 feet
Total Freeboard:	23 feet
Height above Streambed:	106 feet
Material Volume:	3,389,000 cubic yards
Storage Capacity:	383,500 acre-feet at top of pool
Drainage Area:	2,255 sq mi
Reservoir Area:	6,695 acres

Seven Oaks Dam is an earth- and rock-filled dam located in San Bernardino County, approximately 8 miles northeast of the city of Redlands. Construction of the dam was completed in November 1999. Seven Oaks Dam was designed to protect San Bernardino County from flooding and to work in conjunction with Prado dam, which is located approximately 41 miles downstream. The reservoir has a capacity of 145,600 acre-feet and covers an area of 780 acres when full. Summary information on this dam and its reservoir are provided on page 63. It is anticipated that the floodwaters resulting from a Seven Oaks dam failure would be contained by Prado dam and therefore would not pose a threat to Newport Beach. Seven Oaks Dam is designed to withstand an 8.0 magnitude earthquake.

Characteristics of Seven Oaks Dam and Reservoir

Name:	Seven Oaks
Department of Water Resources No.	9001-324
National ID No.	CA10324
Owner:	U.S. Army Corps of Engineers
Year Completed:	1999
Latitude; Longitude:	34.116°; -117.3°
Crest Elevation:	2610 feet
Stream:	Santa Ana River
Dam Type:	Rock
Parapet Type:	No Wall
Crest Length:	2,630 feet
Crest Width:	40 feet
Total Freeboard:	30 feet
Height:	550 feet
Material Volume:	4,000,000 cubic yards
Storage Capacity:	145,600 acre-feet
Drainage Area:	176 sq mi
Reservoir Area:	780 acres

Santiago Creek Reservoir Dam is an earth-filled structure that has a storage capacity of 25,000 acre-feet. It is located 7 miles east of the city of Orange. Santiago Creek is the largest tributary to the lower Santa Ana River with a drainage basin area greater than 100 square miles. Summary information on this dam and its reservoir is provided below. The flood inundation path through Newport Beach, should the dam fail, is shown on the following map.

Characteristics of the Santiago Creek Dam and Reservoir

Name:	Santiago Creek
Department of Water Resources No.	75-000
National ID No.	CA00298
Owner:	Serrano Irrigation District & Irvine Ranch Water District
Year Completed:	1933
Latitude; Longitude:	33.785°; -117.723°
Crest Elevation:	810 feet
Stream:	Santiago Creek
Dam Type:	Earth-filled
Parapet Type:	No wall
Crest Length:	1,425 feet
Crest Width:	24 feet
Total Freeboard:	16 feet
Height:	136 feet
Material Volume:	789,000 cubic yards
Storage Capacity:	25,000 acre-feet
Drainage Area:	63.1 sq mi
Reservoir Area:	650 acres

Villa Park Reservoir Dam is located 3.5 miles downstream of Santiago Creek Reservoir

and 4 miles east of the city of Orange. Villa Park dam is an earth-filled structure that has a storage capacity of 25,000 acre-feet. Summary information on this dam and its reservoir is provided below. The flood inundation path through Newport Beach, should the dam fail, is shown on the following map.

Characteristics of the Villa Park Dam and Reservoir

Name:	Villa Park
Department of Water Resources No.	1012-000
National ID No.	CA00829
Owner:	County of Orange
Year Completed:	1963
Latitude; Longitude:	33.815°; -117.765°
Crest Elevation:	584 feet
Stream:	Santiago Creek
Dam Type:	Earth-filled
Parapet Type:	No wall
Crest Length:	119 feet
Crest Width:	20 feet
Total Freeboard:	18.3 feet
Height:	118 feet
Material Volume:	835,000 cubic yards
Storage Capacity:	15,600 acre-feet
Drainage Area:	83.4 sq mi
Reservoir Area:	480 acres

Harbor View Dam is a small earth-filled structure; its reservoir is usually empty and used primarily for flood control. It is located approximately 700 feet upstream of Harbor View School and has a storage capacity of 28 acre-feet. Summary information on this dam and its reservoir is provided below. The flood inundation path through Newport Beach, should the dam fail while full, is shown on the following map.

Characteristics of the Harbor View Dam and Reservoir

Name:	Harbor View
Department of Water Resources No.	1012-002
National ID No.	CA00830
Owner:	County of Orange
Year Completed:	1964
Latitude; Longitude:	33.603°; -117.865°
Crest Elevation:	190 feet
Stream:	Jasmine Gulch
Dam Type:	Earth-filled
Parapet Type:	No wall
Crest Length:	330 feet
Crest Width:	60 feet
Total Freeboard:	20 feet
Height:	65 feet
Material Volume:	63,000 cubic yards
Storage Capacity:	28 acre-feet

Drainage Area:	0.39 sq mi
Reservoir Area:	3 acres

San Joaquin Dam is an earth-filled structure with a clay lining and asphalt surfacing. It is located in Newport Beach approximately half a mile east of Spyglass Hill Road. Its reservoir has a storage capacity of 3,036 acre-feet and an area of 50 acres; water in the reservoir is used for reclaimed water purposes. Summary information on this dam and its reservoir is provided below. The flood inundation path through Newport Beach, should the dam fail, is shown on the following map.

Characteristics of the San Joaquin Dam and Reservoir

Name:	San Joaquin
Department of Water Resources No.	1029-000
National ID No.	CA00853
Owner:	Irvine Ranch Water District
Year Completed:	1966
Latitude; Longitude:	33.62°; -117.842°
Crest Elevation:	476 feet
Stream:	Tributary to Bonita Creek
Dam Type:	Earth-filled
Parapet Type:	No wall
Crest Length:	873 feet
Crest Width:	30 feet
Total Freeboard:	5.5 feet
Height:	224 feet
Material Volume:	1,911,000 cubic yards
Storage Capacity:	3,036 acre-feet
Drainage Area:	0.35 sq mi
Reservoir Area:	50 acres

Bonita Dam is an earth-filled structure located approximately one mile downstream (north) of San Joaquin Dam on Bonita Creek. Although it has the same reservoir area (50 acres) as San Joaquin Dam, it has a storage capacity of only 323 acre-feet. Summary information on this dam and its reservoir is provided below. The flood inundation path through Newport Beach, should the dam fail, is shown the following map.

Characteristics of the Bonita Dam and Reservoir

Name:	Bonita Canyon
Department of Water Resources No.	793-004
National ID No.	CA00747
Owner:	The Irvine Company
Year Completed:	1938
Latitude; Longitude:	33.632°; -117.848°
Crest Elevation:	151 feet
Stream:	Bonita Creek
Dam Type:	Earth-filled
Type:	No wall
Crest Length:	331 feet

Crest Width:	20 feet
Total Freeboard:	8 feet
Height:	51 feet
Material Volume:	43,000 cubic yards
Storage Capacity:	323 acre-feet
Drainage Area:	4.2 sq mi
Reservoir Area:	50 acres

Big Canyon Dam is an earth-filled, asphalt-lined structure that provides fire protection and drinking water to residents of Newport Beach. It has a storage capacity of 600 acre-feet and is located in a residential area near Pacific View Memorial Park and Lincoln School. Failure of this structure would reportedly produce a flood wave between 300 and 1,000 feet wide on its course to Newport Bay. The width of the flood zone has definite side boundaries due to the local topography. The limits of the inundation area, should this facility fail catastrophically, are shown on the following map. However, failure is thought unlikely because a seismic analysis of the Big Canyon Dam shows that it can withstand a maximum magnitude earthquake ($M = 7$) on the Newport-Inglewood fault. This earthquake is anticipated to produce very strong ground motions, with a peak horizontal ground acceleration of $0.91g$, in the area of the reservoir (URS, 2001). Summary information on this dam and its reservoir is provided below.

Characteristics of the Big Canyon Dam and Reservoir

Name:	Big Canyon
Department of Water Resources No.	1058-000
National ID No.	CA00891
Owner:	City of Newport Beach
Year Completed:	1959
Latitude; Longitude:	33.61°; -117.857°
Crest Elevation:	308 feet
Stream:	Tributary of Big Canyon Creek
Dam Type:	Earth-filled
Parapet Type:	No wall
Crest Length:	3824 feet
Crest Width:	20 feet
Total Freeboard:	5.5 feet
Height:	65 feet
Material Volume:	508,000 cubic yards
Storage Capacity:	600 acre-feet
Drainage Area:	0.04 sq mi
Reservoir Area:	22 acres

Above Ground Storage Tanks

There are currently no above-ground water tanks in Newport Beach, although at least one 3.4 million gallon reservoir has been proposed in the Irvine Coast Development along Pelican Hill Road (The Irvine Company, 1988). Any above-ground storage tanks proposed and built in the City need to be designed to the most current seismic design standards for liquid storage tanks. Any future tanks proposed and built in the City would be vulnerable to damage as a result of ground deformation, strong ground shaking, and locally, to surface fault rupture. Because the entire City of Newport Beach is susceptible to strong seismic ground motion, any future water tanks should incorporate earthquake resistant designs, including flexible pipe joints.

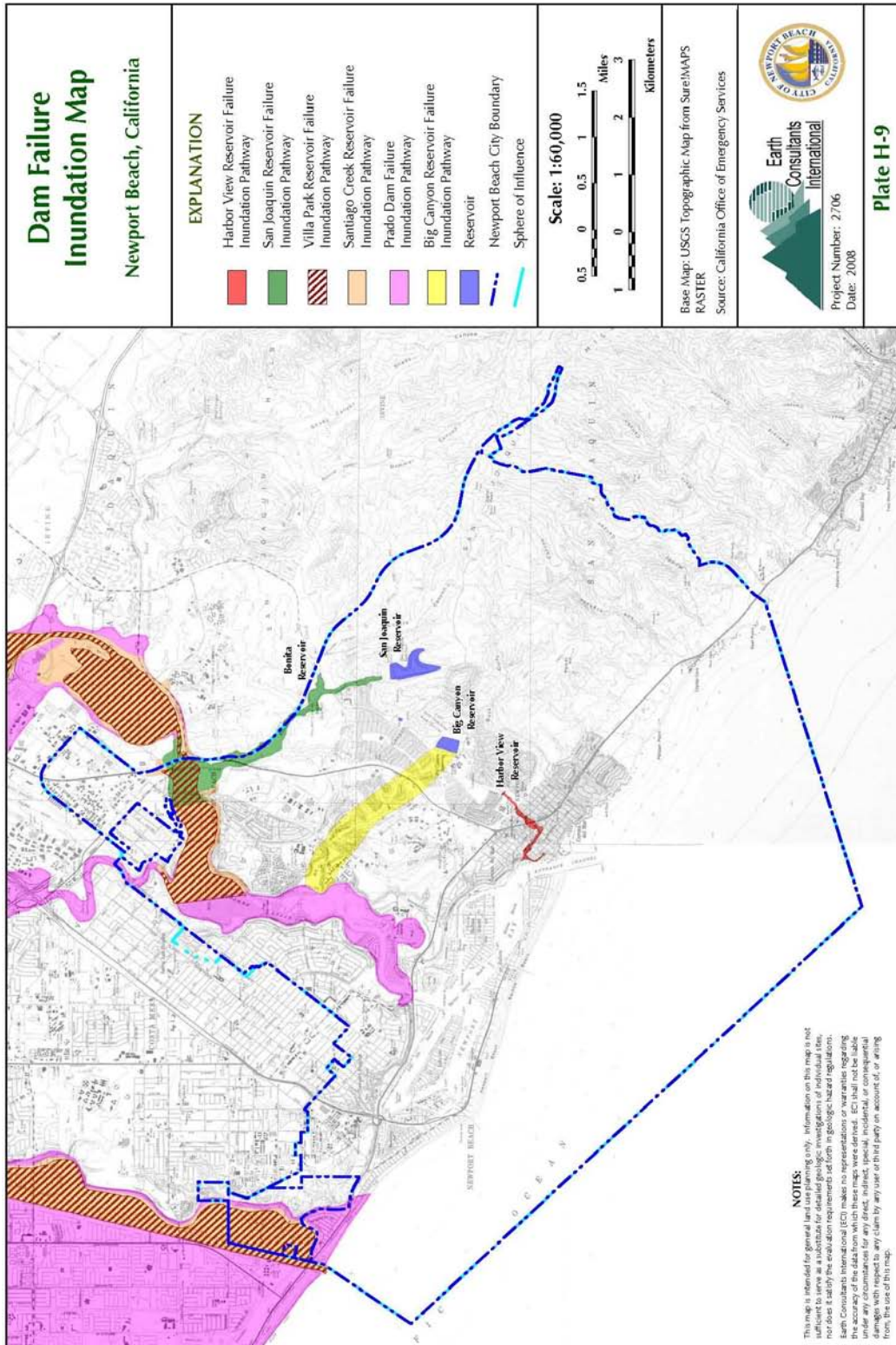
General Emergency Actions

- Alert and assemble EOC personnel and support staff at the EOC.
- Call back needed off-duty safety personnel.
- Determine at what time the water will hit Newport Beach or impending reservoir failure.
- Activate the system of recording damage and casualty information.
- Screen incoming damage and casualty information and ensure pertinent data is posted on status boards, maps, or similar records.
- Coordinate requests for mutual aid with agencies in other jurisdictions, if needed.
- Determine if a local emergency should be proclaimed. Determine if a request should be sent to the Operational Area to ask the Governor to declare a "disaster area."
- Assure all necessary personnel available for emergency operations have been activated and utilized on a priority basis.
- Establish priorities and coordinate the response and resources of all agencies in the jurisdiction.
- On a continuing basis, have the Damage/Safety Branch Director provide briefings evaluating updated damage and casualty information.
- If any facility or area in a jurisdiction is unsupportable by Police and Fire because of damage, developing fires, dam failure, or other hazards, coordinate evacuation efforts.
- Consider activating the AlertOC Mass Notification System
- Consider activating the Outdoor Emergency Sirens

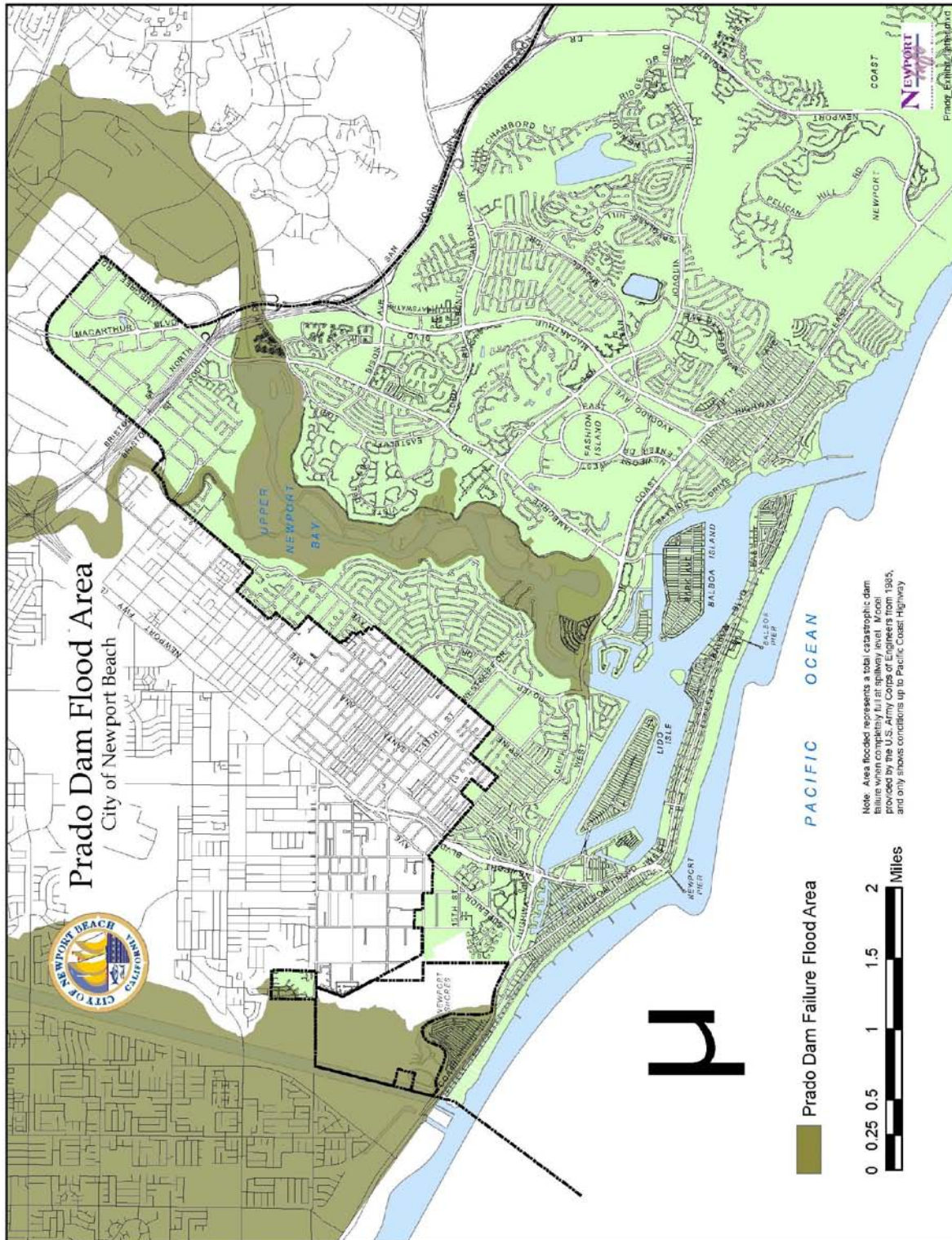
Shelter Requirements

Given the number of residential structures located within the 100- and 500-year flood zones, a significant storm has the potential to displace residents from their homes due to the flood and the associated potential evacuation. These individuals may require accommodation in temporary public shelters.

DAM FAILURE INUNDATION MAP (EOP 47)



PRADO DAM FAILURE INUNDATION MAP (EOP 47)



AIRCRAFT ACCIDENT

Newport Beach lies beneath the arrival traffic pattern of Long Beach Airport and the standard departure pattern of John Wayne Airport. Traffic patterns for these airfields are such that the Long Beach traffic is 5000' over the coast on descent and the John Wayne traffic is climbing. The aircraft departing John Wayne Airport are at a full power take-off then reducing their climb power setting for noise abatement. They pass over the City at the reduced power setting beginning at 800' reaching the coast at approximately 2500' then continuing their full power ascent out over the ocean.

The John Wayne noise abatement departure is known by commercial pilots as one of the more difficult US airport maneuvers to accomplish.

Highest Probability

The *highest probability* of an air crash incident is between two (2) light aircraft or helicopters in a mid-air crash. The probability is higher along the coast because of the training flights, sightseeing and banner towing taking place over the beach area. Such an incident would result in moderate ground damage.

Worst Case Scenario

A *worst case scenario* would be a mid-air collision, at night, between two commercial airliners over a densely populated area of Newport Beach.

The aspects of the worst case scenario have to do with the amount of damage from the impact of the crash and the resulting fires from the volatile jet fuel. For example, if the accident involved a passenger airliner laden with fuel and passengers, the ground impact would result in a large area being damaged. A major conflagration could result from burning jet fuel. An even larger area could be affected by falling debris from both aircraft.

The response requirements would include:

- Rescue of both residents and surviving aircraft passengers
- Medical Aid
- Early perimeter control and security of the impact area and airplane debris and body parts
- Notification to Control I
- Evacuation of the area
- Fire suppression
- Coroner operations
- Sheltering of displaced victims
- Public information
- Power restoration

- Mental health for workers, victims, and the general public
- Coordination with Federal, State, and County officials
- Searching for bodies and aircraft debris
- Cleanup
- Demolition
- Utility restoration (water, sewer, gas, and telephone)
- Rebuilding (expediting demolition and building procedures)
- Periodic public outreach and information bulletins

Additionally, with the two aircraft accident scenario, both impact sites could require:

- Incident Command Posts
- Fire suppression personnel
- Mass casualty medical responses
- Evacuations
- Coroner operations
- Public Information
- Extended perimeter/security control operations
- Cleanup
- Demolition
- Rebuilding
- Public outreach
- Mental health

Complications

This scenario could be further complicated if a Santa Ana wind condition existed which would make the fire suppression operations more involved. If the aircraft hit an industrial area with hazardous chemicals, the chemicals would have to be dealt with. There would be an influx of curious onlookers, increase in air traffic, and an increase of the media both on the ground and in the air. Communication failures would also further complicate response

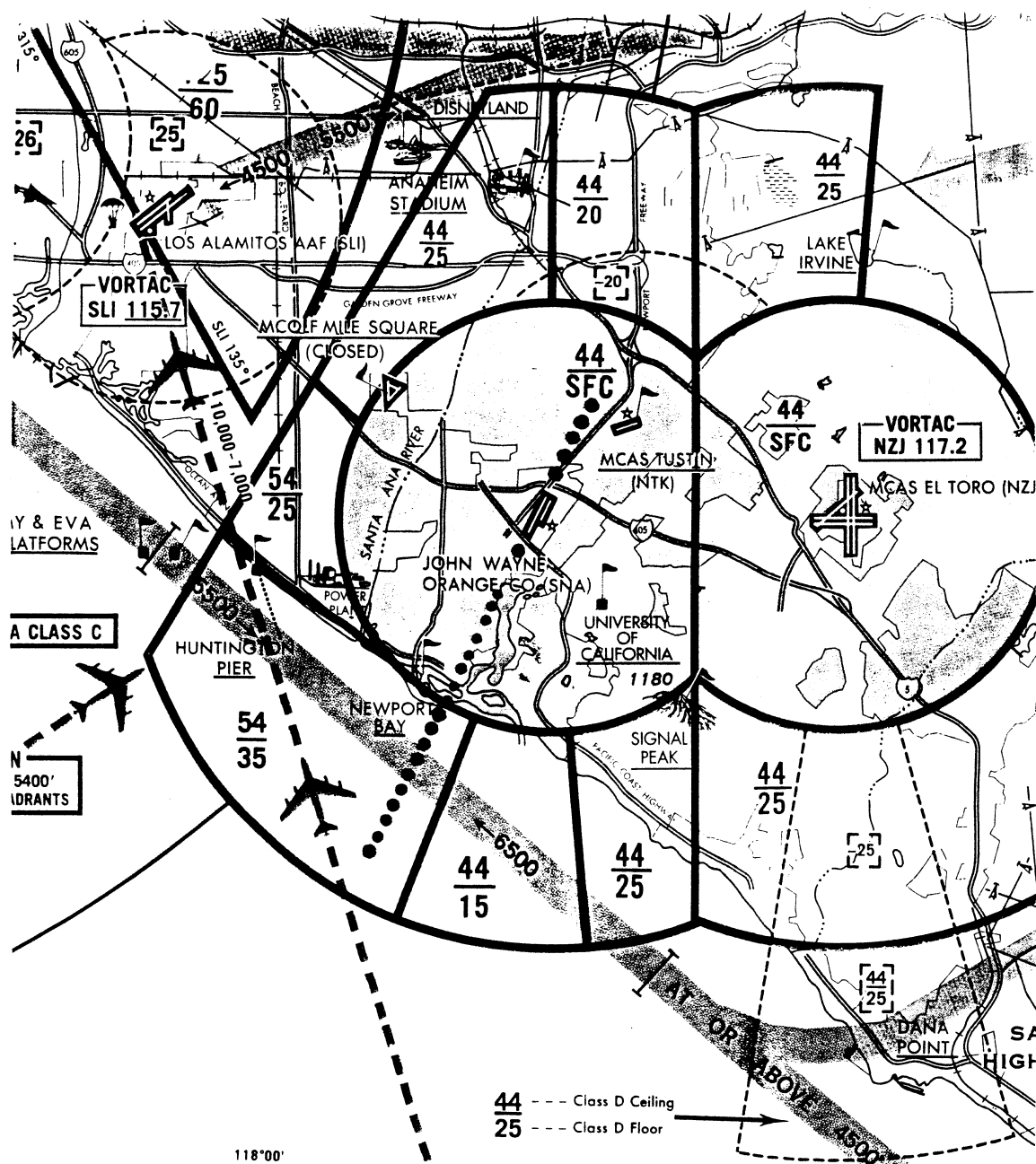
Other Possible Scenarios

Besides a mid-air crash, other possible scenarios include:

- Ocean crash directly off the coast, into the Upper Newport Bay (Back Bay), or on the beach requiring water rescues
- Helicopter crash
- A crash into a hospital, school, high-rise building, power plant, or aboveground oil storage tank
- An incident occurring on the beach (visitors range from 20,000 to 100,000 daily during peak seasons)

Aircraft accident is listed on the City of Newport Beach Hazard Analysis as having a low Chance of Occurrence; however, such an incident would be an emergency of significant magnitude and seriously impact the City's response capabilities. Mutual Aid would most likely be required for law enforcement, coroner, fire suppression, and medical operations.

MAP OF ARRIVAL & DEPARTURE ROUTES FOR JOHN WAYNE AIRPORT



HOMELAND SECURITY ADVISORY SYSTEM **(EOP 48)**

National Terrorism Advisory System (NTAS)

In 2011, the National Terrorism Advisory System, or NTAS, replaced the color-coded Homeland Security Advisory System (HSAS). This new system will more effectively communicate information about terrorist threats by providing timely, detailed information to the public, government agencies, first responders, airports and other transportation hubs, and the private sector.

It recognizes that Americans all share responsibility for the nation's security, and should always be aware of the heightened risk of terrorist attack in the United States and what they should do.

NTAS Alerts

After reviewing the available information, the Secretary of Homeland Security will decide, in coordination with other Federal entities, whether an NTAS Alert should be issued. NTAS Alerts will only be issued when credible information is available.

These alerts will include a clear statement that there is an **elevated threat or imminent threat**. Using available information, the alerts will provide a concise summary of the potential threat, information about actions being taken to ensure public safety, and recommended steps that individuals, communities, businesses and governments can take to help prevent, mitigate or respond to the threat.

The NTAS Alerts will be based on the nature of the threat: in some cases, alerts will be sent directly to law enforcement or affected areas of the private sector, while in others, alerts will be issued more broadly to the American people through both official and media channels.

Elevated Threat Alert

Warns of a credible terrorist threat against the United States.

Imminent Threat Alert

Warns of a credible, specific, and impending terrorist threat against the United States.

NTAS Alerts contain a **sunset provision** indicating a specific date when the alert expires - there will not be a constant NTAS Alert or blanket warning that there is an overarching threat. If threat information changes for an alert, the Secretary of Homeland Security may announce an updated NTAS Alert. All changes, including the announcement that cancels an NTAS Alert, will be distributed the same way as the original alert.

Sunset Provision

An individual threat alert is issued for a specific time period and then automatically expires. It may be extended if new information becomes available or the threat evolves.

Recommended City Actions

The items listed in this plan are “recommendations” only. City Officials will determine exactly what to do when/if an alert is called. Nothing in this Plan is intended to preempt or modify the prerogatives of Department Directors or the City Manager/Director of Emergency Services who has ultimate authority.

Threat Alert:	Definition:
<u>Elevated</u>	Warns of a credible terrorist threat in the United States

Nature of the threat:

- A threat has been communicated (verbal or written) that indicates a terrorist incident will occur in the Southern California area, however, the threat has not been assessed as credible.
- A highly visible and/or controversial activity is scheduled to take place. Such events may include, but are not limited to:
 - High visibility court cases that could generate emotional responses by the public (e.g., BART Police Officer and OJ Simpson trials).
 - Demonstrations (e.g., strikes, picket lines, boycotts, war protests, etc.).
 - Significant political, economic, social, or environmental meetings or conventions (e.g., national political conventions, Olympics, etc.).

Minimum Response Measures:

A. All Departments

1. Employee Measures
 - a. Review applicable security plans and operational procedures.
 - b. Be aware of any suspicious activities in or around workplace.
2. Facility Security or Hardening Measures
 - a. Secure rooms/areas that are not in use.
 - b. Conduct periodic checks of areas accessible to the general public for any suspicious items (including building exteriors).
3. Equipment and Supply Measures
 - a. Identify any shortages in supplies and equipment that may be needed for security measures or disaster response.

B. Police Department

1. Implement "All Department" measures noted above.
2. Check availability of personnel and resources.

3. Review plans of potential target locations.
4. Heighten alert for water storage sites.

C. Fire Department

1. Implement "All Department" measures noted above.
2. Check availability of personnel and resources.
3. Institute vehicle, equipment and facility security measures.

Threat Alert:	Definition:
<u>Imminent</u>	Warns of a credible, specific, and impending terrorist threat against the United States

Nature of the threat:

- Attacks have occurred in the County or the Southern California area and the potential for additional attacks exists.
- Attacks were carried out in other parts of the United States and there are indications that the potential exists for such attacks to occur in California.
- War has broken out somewhere in the world that involves the United States.

Minimum Response Measures:

1. Alert emergency response personnel and any appropriate specially trained teams.
2. Consider closing public and government buildings. Institute 100% identification checks in facilities that are not closed.
3. Increase or redirect personnel to address critical emergency needs.
4. Activate EOC with key personnel.
5. Consider canceling large-scale public events if their security cannot be enhanced.

A. All Departments

1. Employee Measures
 - Review applicable emergency response procedures.
 - Review applicable security plans and procedures.
 - Maintain high vigilance for suspicious activity in and around the workplace.
 - Increase or redirect personnel to address critical emergency needs.
2. Facility Security or Hardening Measures
 - Consider closing all non-critical facilities to public access.
 - Limit access to all facilities to one central door.
 - a. Verify photo ID of everyone entering the facility and have everyone (employees and public) sign-in and sign-out.
 - b. Verify nature of visit of people entering the facility.
 - c. Have all deliveries made to a single receiving area.

- Secure rooms/areas that are not in use.
 - Conduct continuous checks of all areas of the facility that are not secured.
 - Restrict parking near facilities.
3. Equipment and Supply Measures
 - Ensure all vehicles and equipment are fueled.
 - Identify any shortages in supplies and equipment that may be needed for security or response operations and procure replacement items.
 - Inventory and inspect all specialized equipment. Replace or repair damaged items.
 - Identify any additional items, which may be required for security or response operations that need to be rented or procured.

B. Emergency Services Office/EOC

1. Notify EOC members and key personnel to remain on standby.
2. Activate the EOC to an activation level in accordance with recommendations and guidance from the Operational Area and Orange County Intelligence Assessment Center (OA/OCIAC).
3. Activate WebEOC to track implementation of security measures, to monitor the situation, for documentation, and for communication with the OA.
4. Provide the public with necessary information that will strengthen their ability to act appropriately. Coordinate press releases with the OA and the city.
5. Suspend non-essential services.
6. Suspend acceptance of all deliveries at facilities.
7. Consider maintaining a 24-hour presence at all facilities.
8. Consider sending non-essential personnel home.
9. Consider canceling large-scale public events if their security cannot be enhanced.
10. Review/consider additional hardening/security measures for facilities.

C. Police Department

1. Implement "All Department" measures noted above.
2. Maximize availability of personnel and resources to ensure necessary coverage while being able to provide mutual aid if requested.
3. Cancel leaves/vacations
4. Patrol those buildings/facilities that are identified as potential targets
5. Coordinate necessary security efforts with armed forces and law enforcement
3. Take additional precautions at public events
4. Implement the highest level of security for water storage sites.

E. Fire Department

1. Implement "All Department" measures noted above.
2. Maximize availability of personnel and resources to ensure necessary coverage while being able to provide mutual aid if requested.
 - Cancel leaves/vacations.
 - Call back off-shift personnel, if needed

3. Stage resources that may be needed for responding to an actual attack or mutual aid request.

RESPONSE TO WAR, TERRORISM, WEAPONS OF MASS DESTRUCTION, NUCLEAR EMERGENCIES (EOP 48)

The Federal Bureau of Investigation (FBI) is recognized as the lead investigative agency in a terrorist incident, as established by Presidential Directives 39 and 62.

General Situation

The possibility of war, terrorist attack, the use of weapons of mass destruction and nuclear emergencies exists and the potential impact of any of these events could be major. The consequences to the United States and the City of Newport Beach depend upon the nature of the attack or the result of an attack somewhere else on the globe. Several conditions may prevail and require different responses.

An attack occurring in the jurisdiction could cause numerous casualties, extensive property damage, fires, flooding, and other ensuing hazards. The effects could be aggravated by the secondary effect of fire. The time of day and season of the year would also have a profound effect on the number of fatalities, casualties and damage. Such a detonation would be catastrophic in its affect on the population and could exceed the response capability of the State and local communities. Damage control and disaster relief support would be required from other local governments, private organizations, and the State and Federal governments.

Extensive search and rescue operations may be required to assist trapped or injured persons. Injured or displaced persons would require emergency medical care, food, and temporary shelter. Identification and burial of many dead persons would pose difficult problems and public health would be a major concern. Mass evacuation may be essential to save lives. Many families would be separated, particularly if the detonation should occur during working hours and a personal inquiry or locator system would be essential. Emergency operations could be seriously hampered by the loss of communications and damage to transportation routes within the disaster area and by the disruption of public utilities and services.

Extensive Federal assistance could be required and could continue for an extended period. Response operations would include debris removal, demolition of unsafe structures, assistance in reestablishing public services and utilities, and providing continuing care and shelter for the affected population.

In the event of an attack on the United States, the City of Newport Beach may be subjected to the effects of nuclear weapons detonations. These effects include blast, thermal and initial radiation, radioactive fallout, and electromagnetic pulse (EOP). This threat assessment identifies potential targets in the county, and discusses the effects of nuclear weapons detonations on those targets.

Nuclear Weapons Effects – General Situation

The effects of the detonation of a thermonuclear device vary with the type of burst employed, the explosive power or "yield" of the weapon, and the distance from the point of detonation (ground zero). Generally, bursts are categorized as one of five types--high altitude, air, surface, underground, or underwater bursts. It is assumed an attack on the United States would employ only two possibilities of bursts--air or surface. In this regard, it should be noted that air bursts are generally employed against "soft" targets such as airfields, industrial facilities, and supply depots, while surface bursts are preferred for "hard" targets such as missile silos and underground command and control centers. As a rule, airbursts maximize blast damage while minimizing the generation of radioactive fallout. On the other hand, surface bursts limit damage to a relatively smaller area while producing significant quantities of radioactive fallout. The characteristics of both air and surface bursts are presented below:

Airburst--Detonation altitude below 100,000 feet such that the resulting fireball does not touch the surface of the earth. For any given distance from ground zero there exists an optimum burst height that will maximize the effects of blast over-pressure for that distance. Since the fireball does not touch the surface of the earth, fallout and residual radiation are essentially limited to the irradiated surviving portions of the weapon casing and delivery vehicles, unexpended portions of the weapon's fission trigger, and radiation produced by detonation of the weapon's fission trigger.

Surface Burst--Detonation altitude such that the fireball touches the surface of the earth. No significant cratering results unless the burst height is 450 feet or less. Blast over-pressure damage is concentrated, and, therefore, limited in comparison to that produced by an airburst. When the fireball touches the surface of the earth, surface debris is pulled into the fireball and irradiated. This material eventually returns to the earth as radioactive "fallout."

Thermonuclear Weapon Phenomena

The detonation of a thermonuclear weapon produces five distinct and appreciable effects--blast, thermal radiation, initial ionizing radiation, radioactive fallout, and electromagnetic pulse.

Blast--Shock wave and attendant high velocity winds producing sudden, dramatic changes in air pressure. The magnitude of the blast effect, or over-pressure, is measured in pounds per square inch in excess of normal atmospheric pressure at sea level (14.7 pounds PSI). Generally, the over-pressure destroys or damages structures while the high velocity wind damages other objects and produces casualties.

Thermal Radiation--A burst of intense light and heat, similar to the effects of exposure to a 2-second flash from an enormous sun lamp. This phenomenon can produce flash blindness up to 13 miles on a clear day, or 53 miles on a clear night. Thermal radiation can cause skin and retinal burns relatively close to the point of detonation. A one-megaton explosion can cause first-degree burns at distances of approximately seven miles, second-degree burns at approximately six miles, and third degree burns at approximately five miles from ground zero. It should be noted that detonation of a single thermonuclear weapon could produce more than 10,000 casualties.

Initial Radiation--Defined as radiation emitted during the first minute after detonation, it is comprised of gamma rays and neutrons. For large yield weapons, the range of the initial radiation is less than the lethal blast and thermal radiation affects. However, with respect to small yield weapons, the initial radiation may be the lethal effect with the greatest range. This is significant when a terrorist threat involving nuclear weapons is considered.

Fallout--Produced by surface debris drawn into and irradiated by the fireball, then rising into the atmosphere and eventually returning to earth. A source of ionizing radiation, fallout may be deposited miles from the point of detonation and thus affect people otherwise safe from the other effects of the weapon. The radiation danger associated with fallout decreases as the radioactive material decays. Decay rates range from several minutes to several years.

Electromagnetic Pulse--Intense electric and magnetic fields that can damage non-protected electronic equipment. This effect is most pronounced in high altitude bursts (above 100,000 feet). Surface bursts typically produce significant Electromagnetic Pulse up to the one-PSI over-pressure range, while air bursts produce somewhat less. No evidence exists suggesting Electromagnetic Pulse produces harmful effects in humans.

Risk Areas and Effects

With respect to the immediate effects of nuclear weapons, exclusive of thermal radiation and inclusive of fire, risk areas are categorized as very high, high, medium, and low, depending on the degree of blast over-pressure received. Risk area definitions are effects likely to be experienced within the boundaries presented below:

Very High--Subject to receiving blast over-pressure equal to or greater than 10 PSI. Thermal radiation range 100 cal/cm plus (initial radiation) up to 100 rems plus (surface blast). Effects likely to be experienced include:

- destruction of most aboveground structures
- winds in excess of 260 MPH

- extensive debris deposition, hampering ingress and egress
- ignition of exposed, unprotected flammables
- broken water mains
- severe skin burns to exposed, unprotected population
- fatalities

High--Subject to blast overpressure equal to 5 PSI, but less than 10 PSI. Thermal radiation range 50-225 cal/cm--initial radiation up to 100 rems. Effects likely to be experienced include:

- severe damage to reinforced concrete structures - destruction of wood frame structures
- winds 160-280 MPH
- significant debris deposition
- ignition of exposed flammables
- numerous fires
- broken water mains
- severe skin burns to exposed, unprotected population
- approximately 50% fatalities--40% of the population will experience some degree of injury

Medium--Subject to blast over-pressure equal to 2 PSI, but less than 5 PSI. Thermal radiation range 10-100 cal/cm--negligible initial radiation. Effects likely to be experienced include:

- moderate damage to reinforced structures--severe damage to wood frame structures
- winds of 70-169 MPH
- light to moderate debris distribution
- scattered fires
- second and third degree burns to exposed, unprotected population
- 5% fatalities--45% of the population suffering some degree of injury

Low--Subject to blast over-pressure equal to .5 PSI, but less than 2 PSI. Thermal radiation range up to 30 cal/cm--negligible initial radiation. Effects likely to be experienced include:

- moderate to light structural damage to all buildings
- winds to 8-70 MPH
- light debris deposition
- scattered fires
- second and third degree burns to exposed, unprotected population
- few fatalities--25% of the population may experience some degree of injury

Fallout distribution is determined by, among other things, weapon yield, type, and height of burst, fission-fusion ratio, weather conditions, wind speed and wind direction. The analysis of potential fallout risk areas is presented in the following sections.

Population Protection

Response activities to an attack threat will consist of in-place protection measures, as opposed to crisis relocation. Details of the population protection measures to be implemented in the event of nuclear emergency are contained in the functional annexes of this plan. Potential targets and risk areas are depicted on the maps accompanying this section.

Special Situation

Targets

Military

It is reasonable to assume in a war or terrorist attack, civilian facilities and military targets, like Orange County and Los Angeles Airports, Seal Beach Naval Weapons Station, and Long Beach Naval Base could be targets. In reviewing the worst-case scenario, everything within a radius of ten miles could be destroyed, with significant portions of the county experiencing destruction such as fallout from an attack.

Local Industry

Facilities considered to be industrial or nuclear targets throughout the County include military subcontractors, Boeing, Conexant, TRW, UC Irvine, San Onofre Nuclear Generating Station (SONGS), among others.

Economic and Agriculture

The disruptive effect on industry in the area would certainly produce severe economic losses on the communities in and around Newport Beach. Depending on the time of year, crop losses (either due to direct weapon effects or the failure to reach maturation and harvesting) may be extreme and may result in economic losses as well as lost food stores. Other areas of the nation, which normally rely on California to supply some of their food, would also be left without that source.

Damage to Vital Public Services, Systems, and Facilities

Highways

The 405 and 55 Freeways lie to the north and the 73 Freeway cuts through the north end of Newport Beach. These could be damaged and closed (road damage, collapsed overpasses).

Orange County Airport

John Wayne Airport could be closed for over 72 hours to several weeks. Practical land access will not exist due to freeway and highway damage, which will effectively isolate the airport and nearby facilities. It is expected that AFRC Los Alamitos will be open for emergency flights after 24 hours.

Marine Facilities

Marine facilities at the Ports of Long Beach and Los Angeles may be damaged and not totally operational. The extent of damage and the time to return to operation is unknown.

Communications

Telephone Communications

Telephone communications will be adversely affected due to overload resulting from post-attack calls from both within and outside the area. Additionally, many phones will be off their hooks. This situation will be further complicated by physical damage to equipment due to blast effects and ground shaking, loss of service due to loss of electrical power, and subsequent failure of some auxiliary power sources.

Key system facilities are projected to experience intense blast over-pressures and/or ground shaking. It is unlikely the telephone systems in and to the Newport Beach area will have systematic failures not readily bypassed by alternative traffic routing. It is also probable that the recovery effort will be delayed because many telephone company employees will have difficulty accessing damaged areas to accomplish repairs.

Radio Systems

It is clear that emergency radio traffic densities would increase with a nuclear emergency. As alternative methods of communication become available in the incident, the load on the emergency channels will ease, and communications will improve

Nonetheless, after 12 hours, the number of operable units will have declined (because of exhaustion of emergency power fuel) and because recovery efforts will have restored some order.

Commercial Broadcasters

All radio and TV facilities are expected to be out of operation in Newport Beach for 24 hours due to in-house problems, and/or power supply problems, and/or transmission line problems. After 24 hours, 50% of the entire Orange County area facilities are expected to be in operation.

Water Supply and Waste Disposal

Supply and Filtration Facilities

Several of the major filtration plants might sustain damage, causing temporary interruptions in water supply. The major reservoirs in the area provide storage to meet demands during the time required for repairs. However, damage to water transmission lines, local storage reservoirs, and pumping plants, as well as local distribution systems, will affect water availability and pressure. The absence of electrical power for extended periods will, in some areas, preclude water deliveries where pumping is necessary, even though conveyance facilities may be intact. Many areas could be dependent on tanker trucks to provide their basic needs.

Sewage Facilities

Sewage collection systems might sustain widespread damage. The many sewage treatment facilities also located in structurally poor ground adjacent to the rivers may be damaged and may experience electrical power losses, resulting in discharge of raw sewage into the rivers or onto the low-lying areas near the treatment facilities.

Water Treatment Plants

These plants are vulnerable because of their proximity to the surface rupture and its total dependence on commercial electric power. These plants can be bypassed without significant impact to the water supply system.

Electric Power

Damage to power plants and their ancillary facilities in affected areas can be expected to reduce generating capacity by 50%. However, the potential impact of this reduction in local output is lessened by the availability of power from other sources outside the affected area and by the obvious significant reduction in consumer demand that will occur. Immediate concerns will focus on repairs necessary to restore power to areas of greatest need. Major restoration problems include repairs necessary to route power through the major substations, restoration of damaged and collapsed transmission line towers, reactivation of equipment at local substations, and replacement of fallen poles, burned transformers, etc.

It is reasonable to assume that during some portion of the first 72-hour period following the emergency virtually all areas would experience some temporary loss of power. All critical facilities will require standby generating equipment and emergency fuel supplies. It is assumed all substations in the Orange County area and those supplying power to the City of Newport Beach will be heavily damaged.

Natural Gas

Damage to natural gas facilities will consist primarily of: some isolated breaks in the major transmission lines and numerous breaks in mains and individual service connections within the distribution systems, particularly in the areas of intense ground shaking and/or poor ground nearer the rivers and low lying areas. These many leaks in the distribution system will affect major portions of the urban areas, resulting in a loss of service for extended periods. Sporadically distributed fires should be expected at the sites of a small percentage of ruptures both in the transmission lines and the distribution system. Transmission pipelines serving the Newport Beach area and surrounding urban areas are most vulnerable to damage.

Pipelines

Rupture of pipeline sections may occur.

Casualties

There are a number of variables, which will affect the number of casualties generated by an attack. For a nuclear blast, bomb yield and type of blast (air or surface) and the location of the population in relation to ground zero are all major elements that must be considered.

Emergency Response Actions

Emergency response actions associated with the above situations are presented in the Radiological Annex of this plan located in Part Two, Operations Section.

Attachments:

- California Nuclear Attack Blast Areas for Civil Defense Planning--Orange County Area
- Nuclear Attack Map for California

CALIFORNIA NUCLEAR BLAST AREAS FOR CIVIL DEFENSE PLANNING PURPOSES - ORANGE COUNTY AREA

General Situation

In the event of a nuclear emergency, radioactive fallout will be present in varying degrees in many areas of the City and County. The geographical extent and specific intensity of fallout will depend not only on the weight and distribution of the source of radiation, but also on the design and manner of detonation of the weapons. The physical composition of the buildings or soil under the weapons' burst, along with the topography, wind and weather will also serve as determining factors. During various wind, attack and source combinations, any area of the County could experience a serious fallout condition. Since there are targets and potential fallout sources to the west and because the prevailing winds are from west to east, it must be assumed considerable fallout could be expected from that quarter in an attack or accident.

For planning and operational purposes, the radiation situation in an area will be classified in three categories:

Negligible (NEGRAD)	Fallout radiation level never exceeds 0.5 r/hr.
Moderate (LORAD)	Fallout radiation level is between 0.5 and 50 r/hr.
Severe (HIRAD)	Fallout radiation exceeds 50 r/hr.

Trans-Oceanic Fallout

Prevailing westerly winds could, in the event of a nuclear exchange in Asia, nuclear detonations in the Pacific Ocean area, or large nuclear accidents upwind of California, result in fallout or rain-out in California. Radiation intensities could vary greatly but would probably be limited, creating environmental health issues, rather than a serious fallout problem.

The Characteristics of Fallout

Fallout from nuclear weapons is comprised of particles of various shapes and sizes. The larger fallout particles are found predominantly in more hazardous fallout areas, since they are less affected by winds, tending to fall soon after detonation. These large particles deposit on areas covered by the initial fallout cloud which may expand more than 20 miles from the point of detonation for large yield weapons. The finer particles of fallout tend to be dispersed by the wind and can therefore travel great distances before being deposited on the ground. Although fallout deposition is often compared to volcanic ash, the differences are significant--volcanic ash deposits can be many inches deep, fallout deposition is more like a thin layer of grit.

Fallout from nuclear weapon detonations emits ionizing radiation which can cause numerous casualties, lessen survivors' abilities to work, prevent and delay carrying out

emergency post-attack operations, and deny the use of affected areas and vital facilities unless effective countermeasures are expediently applied.

Special Situation

The City of Newport Beach is located in the south portion of the alluvial plain dominated by the possible target of Los Angeles to the north of the same plain. Targeting data (TR-82) indicates existing potential targets north and south of the County. These targets may include locations that on any given day would be guarded from fallout by the prevailing ocean breezes, but changeable weather patterns could negatively impact Newport Beach.

The City of Los Angeles is also a target area and, if attacked directly, Newport Beach would be subjected to direct effects and fallout. In an attack on the City, direct effects could cause serious damage. If ground bursts were used, other parts of the County would survive but could be subjected to very heavy fallout, requiring shelters with high protective factors to provide adequate protection for survivors.

Emergency Response Actions

Emergency response actions associated with the above situation are presented in Part Two of this Plan.

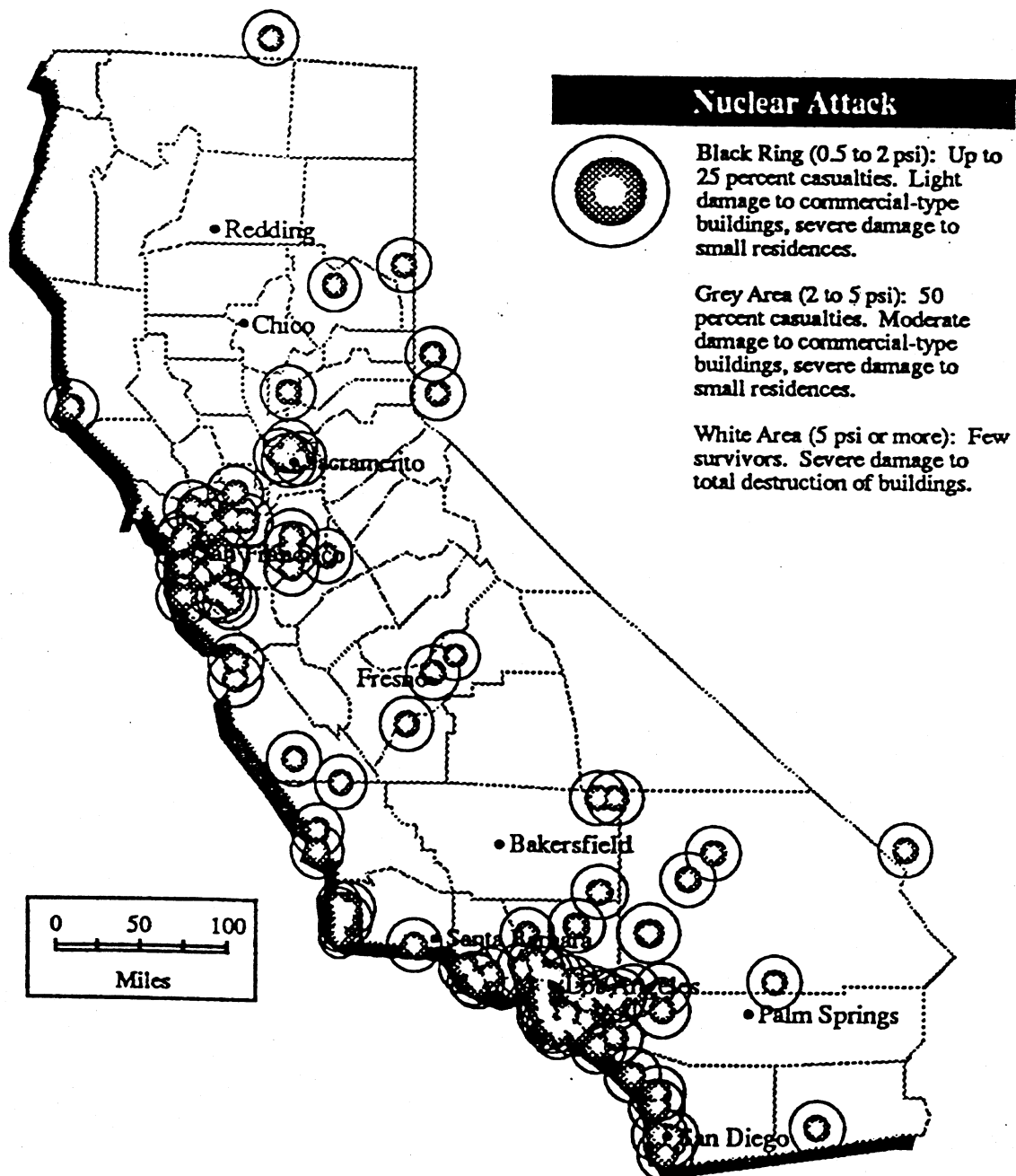
According to Federal authorities, Newport Beach is located in a high-risk area with respect to the direct effects of nuclear weapons during an attack on the United States. However, in the event of a nuclear attack, no community can be considered safe from the effects of resultant radioactive fallout.

Population protection measures to be employed in the event of a nuclear attack include:

- In-place sheltering using designated fallout shelters contained in the National Facility Survey List (See Radiological Annex)
- Upgrading shelters on the National Facility Survey List to a radiation Protection Factor (PF) of 40
- Construction of home fallout shelters
- Construction and use of expedient fallout shelters
- Spontaneous evacuation

(SOURCENAPB-90, FEMA)

FEMA NUCLEAR MAP OF CALIFORNIA (EOP 48)



SAN ONOFRE NUCLEAR GENERATING STATION (SONGS) (EOP 48)

County of Orange Nuclear Power Plant Emergency Plan

Part One, The Basic Plan

SECTION III - PLANNING BASIS

A. EMERGENCY PLANNING ZONES

1. **Federal - Defined Emergency Planning Zones**

Newport Beach is not in the 10-mile radius for the plume exposure pathway.

Nuclear Regulatory Commission and Federal Emergency Management Agency (NRC/FEMA) define a 10-mile radius for the plume exposure pathway as the Emergency Planning Zone (EPZ) and a 50-mile radius limit for the Ingestion Pathway Zone (IPZ). Plans must be developed for these zones.
2. **County - Defined Emergency Planning Zone (EPZ)**

Newport Beach is not in the County-Defined Emergency Planning Zones of 14 miles.

The County of Orange recognizes the Nuclear Regulatory Commission and Federal Emergency Management Agency (NRC/FEMA) defined (EPZ) for planning purposes. The SONGS EPZ is the area of land that extends approximately fourteen (14) miles from the utility site. Due to jurisdictional boundaries and topographical considerations, the Emergency Planning Zone radius has been expanded to include the complete jurisdictional area of the Cities of Dana Point, San Clemente, and San Juan Capistrano, as well as San Onofre State Beach, Doheny Beach State Park and San Clemente State Park and San Mateo Campground. In the event of an accident at SONGS, this area is considered to be more at risk than the area outside of the EPZ because it is nearest to SONGS. Planning, procedures, and protective actions described herein are primarily concerned with this area. **(Attachment 2 illustrates the EPZ.)**
3. **Public Education Zone (PEZ)**

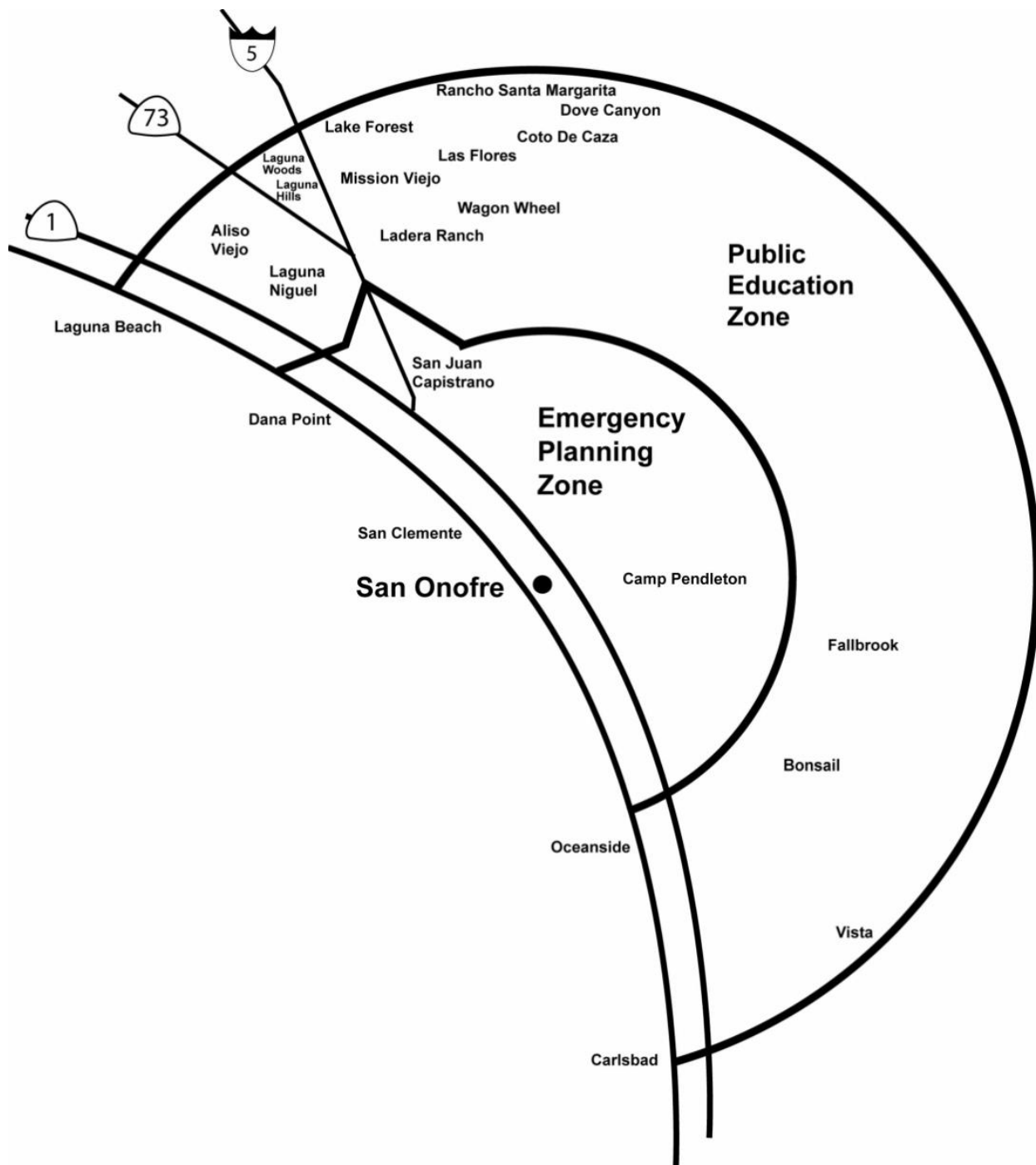
Newport Beach is not in the Public Education Zone.

The Public Education Zone (PEZ) consists of the jurisdictions outside but immediately adjacent to the Emergency Planning Zone. Within Orange County, the PEZ encompasses the Cities and communities of Laguna Beach, Laguna Hills, Laguna Niguel, Laguna Woods, Lake Forest, Aliso Viejo, and Mission Viejo. The PEZ was established to ensure that the public would be informed in advance of how they would be notified of an emergency and what protective actions, if any, should be taken. The only protective action which the public in this zone may be asked to take is sheltering. Evacuation is not considered a necessary protective action in the PEZ because the distance from San Onofre reduces any hazard beyond the Emergency Planning Zone significantly. **(Attachment 3 illustrates the PEZ.)**
4. **Ingestion Pathway Zone (IPZ)**

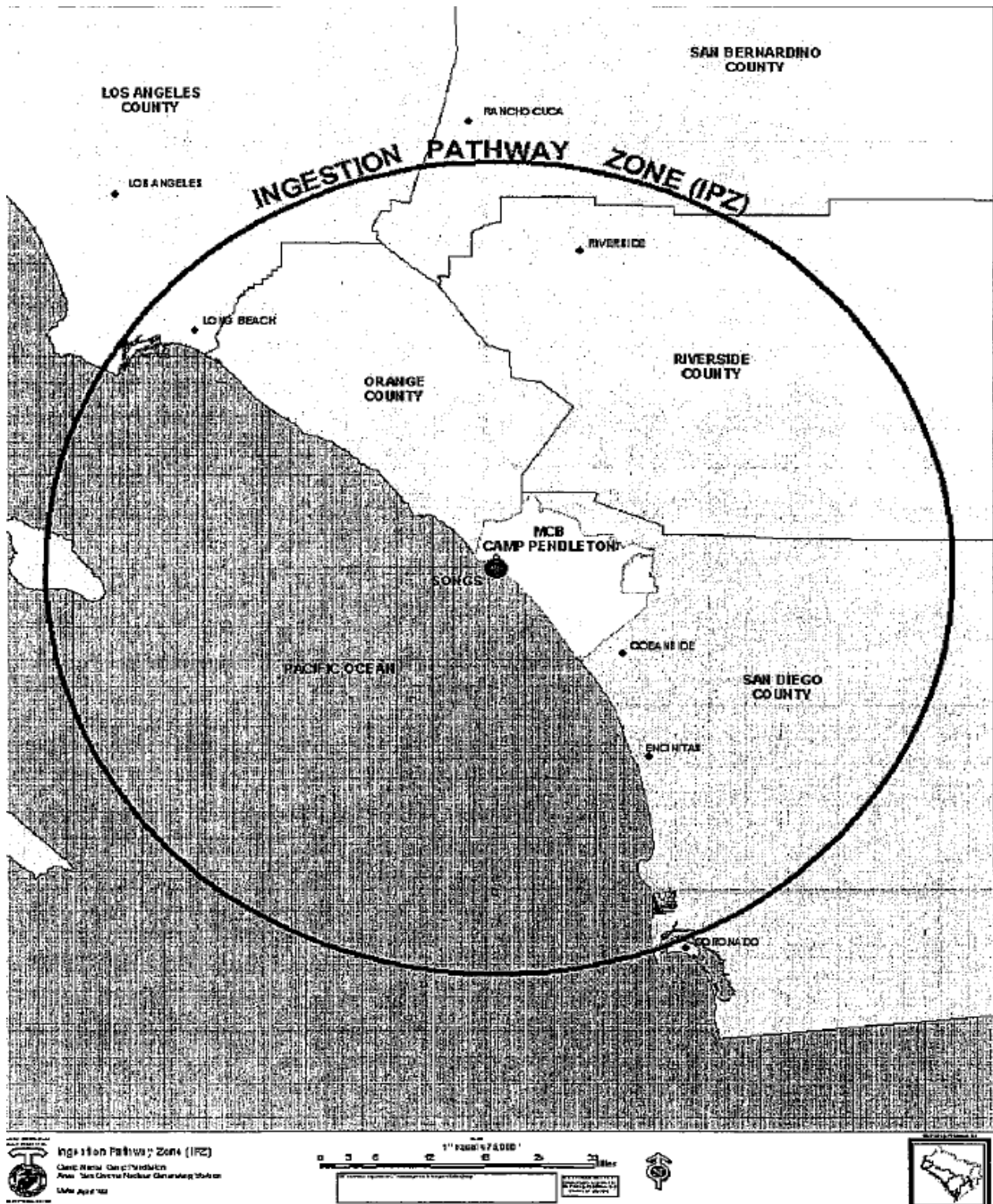
Newport Beach is in the Ingestion Pathway Zone.

The SONGS IPZ is the area around the plant where radioactive material released to the environment could be potentially ingested by the population. The principle exposure from this pathway would be from the ingestion of contaminated foods or water. This zone extends out from San Onofre for a distance of 50 miles and includes all of Orange County and portions of Los Angeles, San Bernardino and Riverside Counties.

SONGS PUBLIC EDUCATION ZONE



INGESTION PATHWAY ZONE FOR SONGS



TSUNAMI

(EOP 48)

Tsunami (pronounced soo-NAH-mee) often erroneously called tidal waves, are an infrequent yet serious hazard in the Pacific. A tsunami is a series of traveling ocean waves of great length and long period, generated by disturbances associated with earthquakes in oceanic and coastal regions. As the tsunami crosses the deep ocean, its length from crest to crest may be a hundred miles or more, its height from trough to crest only a few feet. It cannot be felt aboard ships in deep water and cannot be seen from the air. In deep water, tsunami waves may reach speeds exceeding 600 miles per hour. As the tsunami enters the shoaling water of coastlines in its path, the velocity diminishes and wave height increases. It is in these shallow waters that tsunamis become a threat to life and property, for they can crest to heights for more than 10 feet and strike with deviating force.

The City of Newport Beach has been designated as a TsunamiReady City by the National Weather Service (NWS). In order to become a TsunamiReady City, Newport Beach created a specific Tsunami Response Plan which is an Annex to the EOP. Identified and placed signage on designated evacuation routes, installed an Outdoor Emergency Warning Siren System in the tsunami inundation area, and conducted a public education campaign.

Notable Tsunamis in the Newport Beach Area:

In the Pacific Basin, most tsunamis originate in six principal regions, all of which have prominent submarine trenches. Of the six regions, only two have produced major tsunami damage along the California coastline in historical times. These are the Aleutian (Gulf of Alaska) region and the region off Chile, in South America. Southern California is generally protected from tsunamis by the Channel Islands, which deflect east- and northeast-trending waves, and by Point Arguello, which deflects waves coming in from the continental area of Alaska. Tsunamis generated by local earthquakes or landslides have historically posed only a minor, localized risk to Southern California. However, the record also shows that the highest sea waves recorded in the Southern California area were caused by a locally generated tsunami, the 1812 Santa Barbara event.

Although the historical record for Southern California is short, over 30 tsunamis have been recorded in Southern California since the early 1800s. Given that instrumented tidal measurements in Southern California were first made in 1854, wave heights for pre-1854 events are estimated based on historical accounts.

Most records are for the San Diego and Los Angeles areas, with only a few events actually mentioned in the Orange County area. Most of the recorded tsunamis

produced only small waves between 0.15 and 0.3 m (0.5 – 1 ft) high that did not cause any damage, but six are known to have caused damage in the Southern California area.

Santa Barbara Tsunami of 1812:

A strong earthquake in the Santa Barbara area on December 21st, 1812 produced a tsunami that caused damage in Santa Barbara and Ventura counties and was reported along the coast of Southern California. However, the tsunami of 1812 occurred before the Newport Beach area was settled, so there are no data specific to Newport Beach for this event. The most likely source for the earthquake is a fault zone in the Santa Barbara Channel, although onshore faults east of Santa Barbara cannot be ruled out.

Tsunami of January 1927:

A magnitude 5.7 earthquake followed by several aftershocks occurred in the Imperial Valley, at the border between the United States and Mexico, on January 1, 1927. According to Montandon (1928), sea waves in San Pedro destroyed a seawall or embankment causing about three million dollars in damage (Lander and Lockridge, 1989). However, since the Imperial Valley is far from the coast, and the earthquake was moderate in size, it is doubtful that these two events are related, unless the earthquake triggered a submarine landslide.

Possible Tsunami of 1934:

On August 21, 1934 large destructive waves were reported along the coast of Southern California from Malibu to Laguna Beach. The true source of the waves is not known, however several causative events have been suggested. Although official records show no large earthquakes in the area on the day of the waves, a small, magnitude 3 tremor was reported in the Balboa region before the waves struck. Submarine landsliding, volcanic activity, and unusual meteorological conditions (rogue waves?) have also been suggested as possible explanations for the waves. A runup of 270 m (886 ft) inland, 3 m (9.8 ft) above mean high tide level was recorded at Newport Beach, which flooded part of the City to a depth of one meter (3.3 ft). Four people were injured near the channel entrance to Newport Bay, at the western pier. Many houses were destroyed, including a two-story home in Balboa that was detached from its foundation. Part of the pavement on Balboa Peninsula was washed away, temporarily isolating the residents of this area from the mainland. Thousands of tons of debris were tossed onshore. The waves also flooded a moorage in Balboa Island and collapsed part of the breakwater in Long Beach (Lander and Lockridge, 1989).

Aleutian Island Tsunami of 1957:

A magnitude 8.3 earthquake in the Aleutian Islands on March 9, 1957 generated a small tsunami in the San Diego area that damaged two ships in San Diego Harbor and caused minor damage at La Jolla (McMulloch, 1985; Iida et al., 1967; Salsman, 1959; Joy, 1968). A wave height of up to one meter (3.3 ft) was reported at Shelter Island, off the San Diego coast, although the tide gauge there recorded only a 0.2 m (0.7 ft) wave. No reports of damage were recorded in the City of Newport Beach.

Chilean Tsunami of 1960:

On May 22, 1960, a moment magnitude 9.4 earthquake off the coast of Chile produced a tsunami that damaged coastal communities in Southern California between Santa Barbara and San Diego. A wave height of 1.4 m (4.6 ft) was recorded in Santa Monica and the tidal gauge in San Diego was carried away by the tsunami waves (Lander and Lockridge, 1989). Significant damage was recorded in the Los Angeles and Long Beach Harbors, where 30 small craft were sunk and over 300 were set adrift. Over 340 boat slips, valued at \$300,000, were also damaged in the area. At Santa Monica, eight small boats were swept away and a runup of 91 m (300 ft) flooded a parking lot along the Pacific Coast Highway. Damage of \$20,000 was reported in the Santa Barbara area. At San Diego, two passenger ferries were knocked off course by the waves; the first ferry was pushed against a dock in Coronado, destroying 80 m (260 ft) of the dock, and the second was rammed into a flotilla of anchored destroyers. The waves also rammed a 100-ton dredge into the Mission Bay Bridge, knocking out a 21 m (70 ft) section and sinking a barge at Seaforth Landing (Lander and Lockridge, 1989; Iida et al., 1967; Talley and Cloud, 1962; Joy, 1968).

Good Friday Earthquake Tsunami of 1964:

On March 28, 1964 a moment magnitude 9.2 earthquake in the Gulf of Alaska produced the largest and most damaging tsunami to ever hit the West Coast. The tsunami killed 16 people in northern California and Oregon and caused \$8,000,000 in damage in California. Although damage was primarily focused in coastal areas north of San Francisco, Southern California experienced hundreds of thousands of dollars in losses. A wave height of 1 m (3.3 ft) was recorded in Santa Monica. In Los Angeles Harbor, the wave damaged six small-boat slips, pilings, and the Union Oil Company fuel dock. It also scoured the harbor sides, causing, all total, \$175,000 to \$275,000 in damage. The tsunami also destroyed eight docks in the Long Beach Harbor at a loss of \$100,000 (Spaeth and Berkman, 1972). Minor damage was also reported elsewhere along the Southern California coast.

Tsunami Warning System

The tsunami warning system in the United States is a function of the National Oceanic and Atmospheric Administration's (NOAA) National Weather Service. Development of the tsunami warning system resulted from the disastrous waves generated in Alaska in April 1946, which surprised Hawaii and the West Coast, taking a heavy toll in life and property. The Pacific Tsunami Warning Center was established at Ewa Beach, Hawaii in August 1948. The disastrous 1964 tsunami resulted in the development of a regional warning system in Alaska. The Alaska Tsunami Warning Center (ATWC) is in Palmer, Alaska. This facility is the nerve center for an elaborate telemetry network of remote seismic stations in Alaska, Washington, California, Colorado, and other locations. Tide data are also transmitted directly to the ATWC from eight Alaskan locations. Tide data from Canada, Washington, Oregon, and California are available via telephone, teletype, and computer readout.

Watch and Warning

When a large earthquake occurs near the coast in the North Pacific, seismologists at the Alaska Tsunami Warning Center rapidly determine its location (epicenter) and magnitude. If the earthquake is considered to be great enough to generate a tsunami, the Center will issue an immediate TSUNAMI WARNING for the area near the epicenter. This warning is issued through state emergency services offices, Coast Guard, military, FAA, National Weather Service and other agencies. A TSUNAMI WATCH is issued to the rest of Alaska, Canada, and West Coast states alerting the public to the possible threat of tsunami.

If a tsunami is detected by tide stations near the epicenter, the WARNING is expanded to the entire coastline. If no wave was generated, the WARNING will be canceled. Although this will occasionally cause a warning to be issued when no wave is generated, the alternative is unthinkable to wait until the wave strikes a community to issue a warning.

Local Tsunamis

Tsunamis can be categorized as Local and Pacific-wide. Typically a Pacific-wide tsunami is generated by major vertical, ocean bottom movement in offshore deep trenches. A Local tsunami can be a component of the Pacific-wide tsunami in the area of the earthquake or a wave that is confined to the area of generation within a bay or harbor and caused by movement of the bay itself or landslides. The Local tsunami may be the most serious threat as it strikes suddenly, sometimes before the earthquake shaking stops.

Therefore, it is imperative that those people near shore who feel a strong earthquake should be moved to higher ground immediately. A strong quake is one lasting 30 seconds or longer and causes difficulty in standing. The Alaska Tsunami Warning Center will begin issuing its WARNING to the West Coast in approximately 25 minutes, but that is not quick enough for a local tsunami; so, when in doubt, areas should be evacuated.

A tsunami threat to the City of Newport Beach is considered low to moderate. A United States Government study reports that:

- Local earthquakes will not generate a tsunami, in this area. Tsunamis are due to large offshore earthquakes and ocean landslides. Dangerous tsunamis would most likely originate in the Aleutian and Chilean trenches. Newport Beach has southwestern facing beaches and is vulnerable to tsunamis or tidal surges from the south and west.
- Predicted wave heights, exclusive of tide and storm generated wave heights are:

<u>For a 100 year occurrence</u>	<u>For a 500 year occurrence</u>
4.0 feet minimum	6.8 feet minimum
6.6 feet average	11.4 feet average
9.2 feet maximum	16.0 feet maximum

Definitions

Tsunami Watch--an earthquake has occurred in the Pacific Basin that “could” cause a tsunami in our area.

Tsunami Warning--an earthquake has occurred and a tsunami is spreading across the Pacific Ocean which may impact our area. Usually an estimated time of arrival will accompany the warning.

Newport Beach Tsunami Primary Danger Areas in Priority Order

1. All beach and pier areas
2. Newport Harbor area
3. All areas just inland of Coast Highway to the bluffs
4. All Back Bay waterfront residences
5. West Newport from the Santa Ana River jetty to Superior

Suggested evacuation sites for a tsunami incident include:

- Newport Harbor High School, 600 Irvine Ave.
- Corona del Mar High School, 2101 Eastbluff Dr.

See Tsunami Evacuation Map for Newport Beach, on page 100

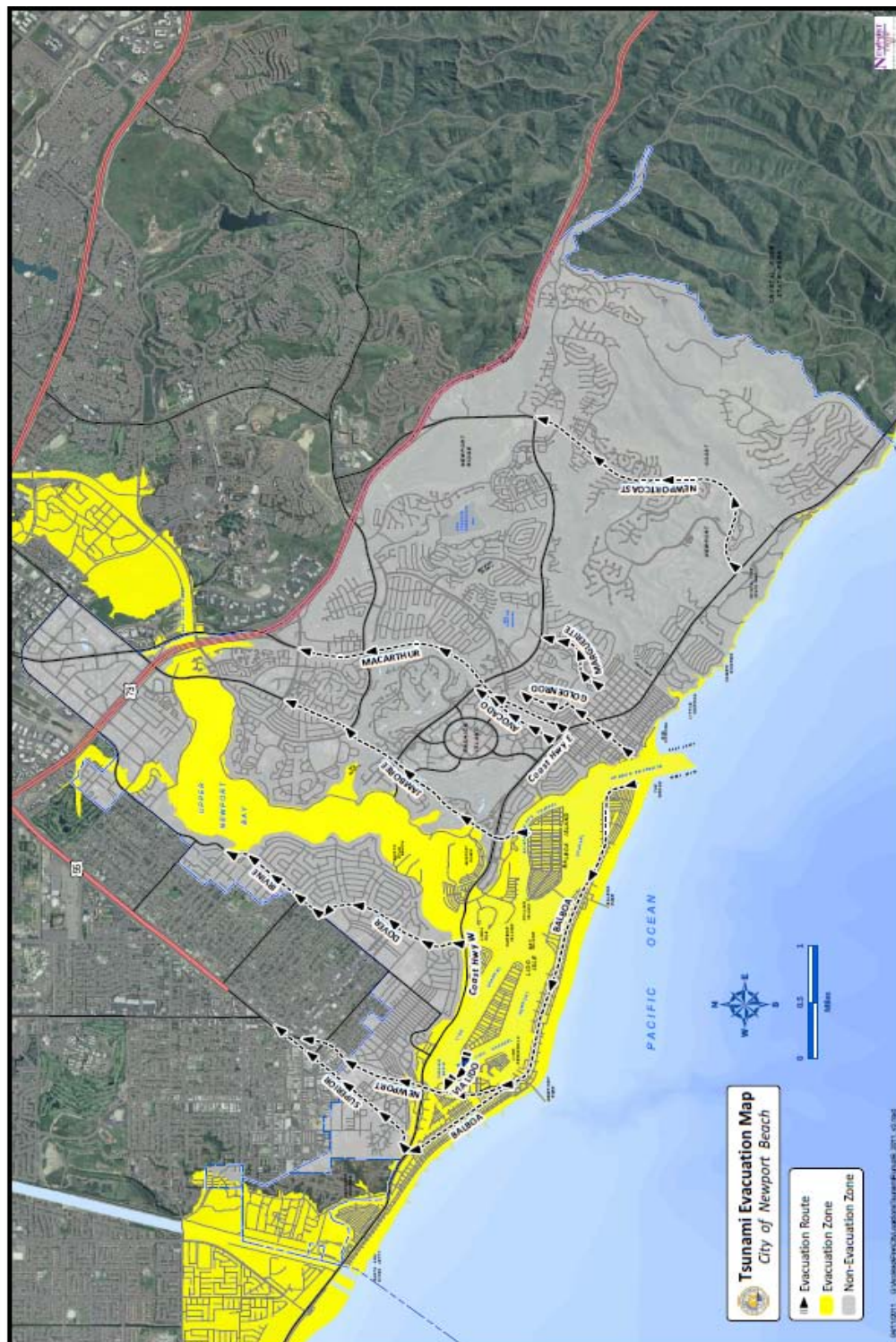
Outdoor Emergency Siren System

Newport Beach has strategically installed three Outdoor Emergency Notification Sirens. The sirens are located at West Jetty Park/The Wedge, within Veterans Memorial Park at 15th Street & Bay Avenue, and West Newport Park, near 60th Street and Seashore Drive. The sirens may be activated for a tsunami or ANY impending emergency and are not specific to any one type of emergency. The Police Watch Commander is responsible for activating the siren system. The system is designed to alert residents to tune to their local EAS radio stations. On the last Friday of each month, at noon, the system is tested citywide. Residents have been instructed to turn their televisions to channel 3 or their radios to 107.9 FM/KWVE and await further instructions. This is the City's primary warning system to notify a large number of individuals quickly

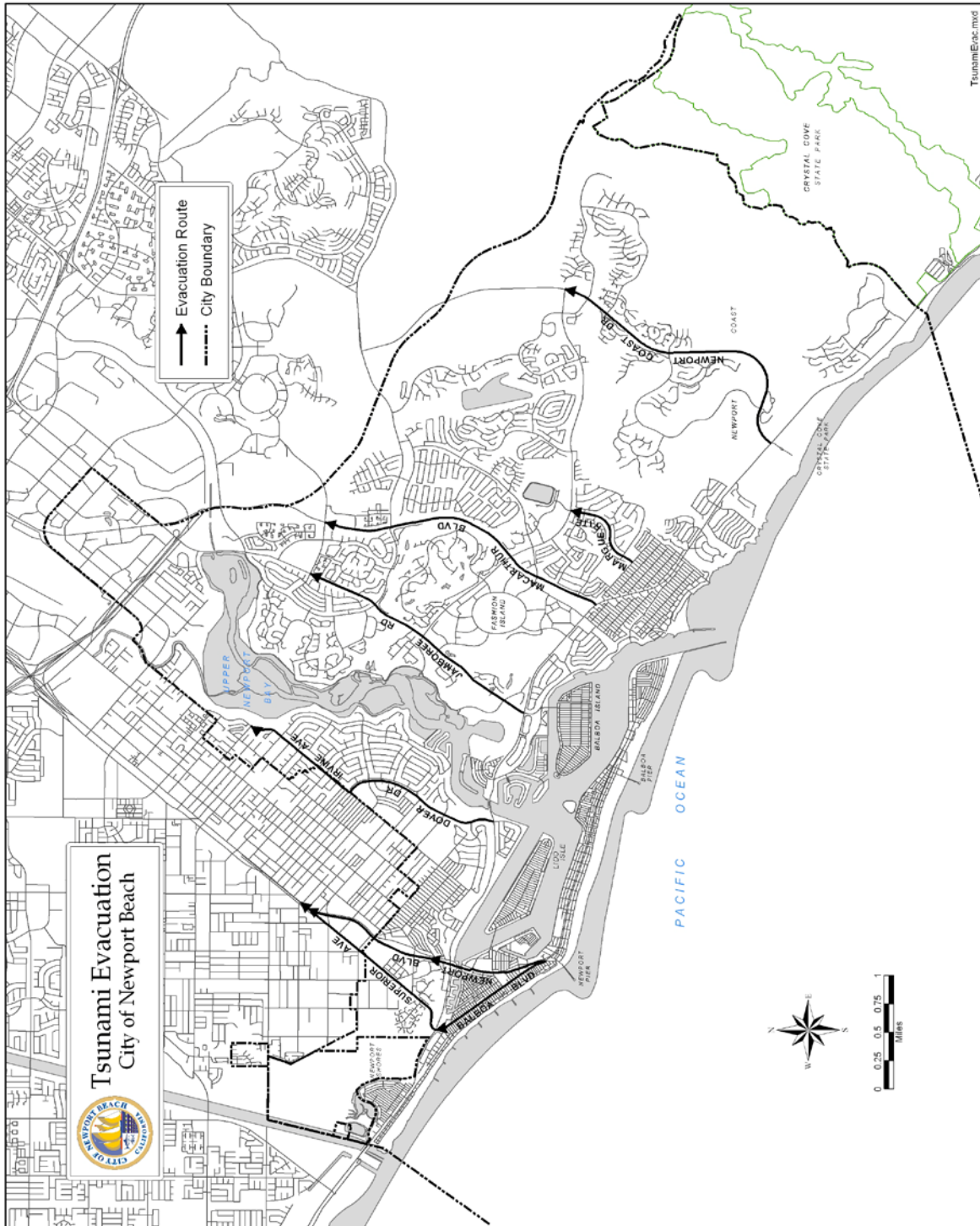
Outdoor Emergency Siren Coverage Map



TSUNAMI INUNDATION MAP FOR NEWPORT BEACH (EOP 48)



TSUNAMI EVACUATION MAP FOR NEWPORT BEACH



CHAPTER 3 - RESPONSE STRUCTURE FUNDAMENTALS

This plan addresses the spectrum of response contingencies, ranging from relatively minor incidents to large-scale disasters. Some emergencies will be preceded by a buildup or warning period, providing sufficient time to warn the public and implement mitigation measures designed to reduce loss of life, property damage, and effects on the environment. Other emergencies occur with little or no advance warning, thus requiring immediate activation of the EOP and efficient, coordinated mobilization and deployment of resources. All departments and agencies of the City must be prepared to promptly and effectively respond to any emergency and take all appropriate actions, including requesting and providing mutual aid.

Emergency management activities during peacetime and national security emergencies are often associated with the five National Governor's Association (NGA) and National Fire Protection Association (NFPA) defined phases:

- Prevention Phase
- Preparedness Phase
- Response Phase
- Recovery Phase
- Mitigation Phase

Prevention Phase

The prevention phase includes activities, tasks, programs, and systems intended to avoid or intervene in order to stop an incident from occurring. Prevention can apply both to human-caused incidents (such as terrorism, vandalism, sabotage, or human error) as well as to naturally occurring incidents. Prevention of human-caused incidents can include applying intelligence and other information to a range of activities that includes such countermeasures as:

- Deterrence operations
- Heightened inspections
- Improved surveillance and security operations
- Investigations to determine the nature and source of the threat
- Law enforcement operations directed at deterrence, preemption, interdiction, or disruption

Preparedness Phase

The preparedness phase involves activities that are undertaken in advance of an emergency or disaster. These activities ensure operational capabilities and effective responses to a disaster. Disaster plans are developed and revised to guide disaster

response and increase available resources. Planning activities include developing hazard analyses, training response personnel, and improving public information and communications systems.

Preparedness activities are part of the implementation of the Emergency Services Act (ESA), the Master Mutual Aid Agreement (MMAA), and the State Emergency Plan. Preparedness activities fall into two basic areas: readiness and capability.

Readiness activities shape the framework and create the basis of knowledge necessary to complete a task or mission. Readiness activities might include, but are not limited to:

- Implementing hazard mitigation projects
- Developing hazard analyses
- Developing and maintaining emergency plans and procedures
- Conducting general and specialized training
- Conducting exercises
- Developing mutual aid agreements
- Improving emergency public education and warning systems

Capability activities involve the procurement of items or tools necessary to complete the task(s) or mission(s). Capability activities include:

- Assessment of the City and its resources
- Comparison and analysis of anticipated resource requirements against available resources
- Identification of local sources to meet anticipated resource "shortfalls"
- Installation of new radio systems with more channels and frequencies
- Purchasing new response apparatus, vehicles, personal protective equipment, etc.
- Hiring more responders

Response Phase

The response phase includes increased readiness, initial response, and extended response activities.

Increased Readiness

Upon receipt of a warning or the observation that an emergency situation is imminent or likely to occur, Newport Beach will initiate actions to increase its readiness. Increased readiness activities may include, but are not limited to, the following:

- Briefing the City Manager and other key officials or employees of Newport Beach
- Reviewing and updating the EOP and Department SOPs
- Increasing public information efforts

- Accelerating training of permanent and temporary employees, as well as volunteers
- Inspecting critical facilities and equipment, including testing warning and communications systems
- Recruiting additional staff and disaster service workers
- Warning at-risk elements of the population
- Conducting precautionary evacuations in the potentially impacted area(s)
- Mobilizing personnel and pre-positioning resources and equipment
- Contacting County, State and Federal agencies that may be involved in field activities

Events that may trigger increased readiness activities include, but are not limited to:

- Issuance of a credible long-term earthquake prediction
- Receipt of a flood advisory or another special weather statement
- Receipt of a tsunami warning or watch
- Receipt of a potential reservoir or dam failure advisory
- Conditions conducive to fires, such as the combination of high heat, strong winds, and low humidity
- An expansive hazardous materials incident
- A rapidly-deteriorating international situation that could lead to an attack upon the United States
- Information or circumstances indicating the potential for acts of violence or civil disturbance
- Change in the Homeland Security Advisory System (HSAS) level

Initial Response

The City's initial response activities are primarily performed at the field response level. Emphasis is placed on saving lives, and minimizing the effects of the emergency or disaster.

Examples of initial response activities include, but are not limited to:

- Making all necessary notifications, including those to City Departments and personnel, EOC personnel, American Red Cross (ARC), other involved agencies, and the Operational Area
- Disseminating warnings, emergency public information, and instructions to the citizens of Newport Beach
- Activating Department Operations Centers (DOCs)
- Conducting evacuations and/or rescue operations
- Caring for displaced persons and treating the injured
- Conducting initial damage assessments and surveys
- Assessing the need for mutual aid assistance

- Restricting movement of traffic/people and unnecessary access to affected areas
- Developing and implementing Incident Action Plans (IAPs) (e.g., field, DOC, EOC, etc.)

Extended Response

The City's extended response activities are primarily conducted in the Emergency Operations Center (EOC). Extended emergency operations involve the coordination and management of personnel and resources to mitigate an emergency and facilitate the transition to recovery operations.

Examples of extended response activities include, but are not limited to:

- Preparing detailed damage assessments
- Coordinating mass care facilities
- Coordinating and/or operating decedent operations in conjunction with the county
- Procuring required resources to sustain operations
- Documenting situation status
- Protecting, controlling, and allocating vital resources
- Restoring vital utility services
- Tracking resource allocation
- Conducting advance planning activities
- Documenting expenditures
- Developing and implementing Incident Action Plans (e.g., field, DOC, EOC, etc.) for extended operations
- Disseminating emergency public information
- Proclaiming a local emergency
- Coordinating with County, State and Federal agencies working within the county
- Establish a Local Assistance Center (LAC)

When City resources are committed and additional resources are required, requests for Mutual Aid will be initiated through the proper channels of to the Orange County Operational Area.

Cal EMA may activate the State Operations Center (SOC) in Sacramento. The mission of the SOC is coordination and support of operations in affected areas. The SOC may be supported by activation of the State Region I EOC (called the REOC) at the Los Alamitos Armed Reserve Center, or an alternate location. The Cal EMA Director will assist the Governor in direction and coordination of response activities of State agencies, as well as coordinate and support response and recovery activities conducted by local government.

Depending on the severity of the emergency, a **Local Emergency** may be proclaimed. In this case, the City EOC will be activated and the Operational Area EOC (OAEOC) and Cal EMA will be advised. The Cal EMA Director may request a Gubernatorial Declaration of a **State of Emergency**. Should a **State of Emergency** be proclaimed, State agencies will, to the extent possible, respond to requests for assistance.
(EOP 26)

In the event that the Governor requests and receives a **Presidential Declaration** of an Emergency or a Major Disaster under the provisions of Public Law 93-288, a State Coordinating Officer (SCO) will be appointed. In conjunction with a Federal Coordinating Officer (FCO), the SCO will coordinate State and Federal efforts in support of the City and County operations. For more detailed information on emergency proclamations, see *Attachment A-Authorities and References* in the *Basic Three*.

Recovery Phase

Recovery activities involve the restoration of services to the public and returning the affected area(s) to pre-emergency conditions. Recovery activities may be both short-term and long-term, ranging from restoration of essential utilities such as water and power, to mitigation measures designed to prevent future occurrences of a given threat.

The Recovery Operations Chapter describes in detail the roles and responsibilities of each level of government following a disaster. Examples of recovery activities include, but are not limited to:

- Restoring utilities and infrastructure
- Applying for State and Federal assistance programs
- Conducting hazard mitigation analyses
- Identifying residual hazards
- Determining and recovering costs associated with response and recovery

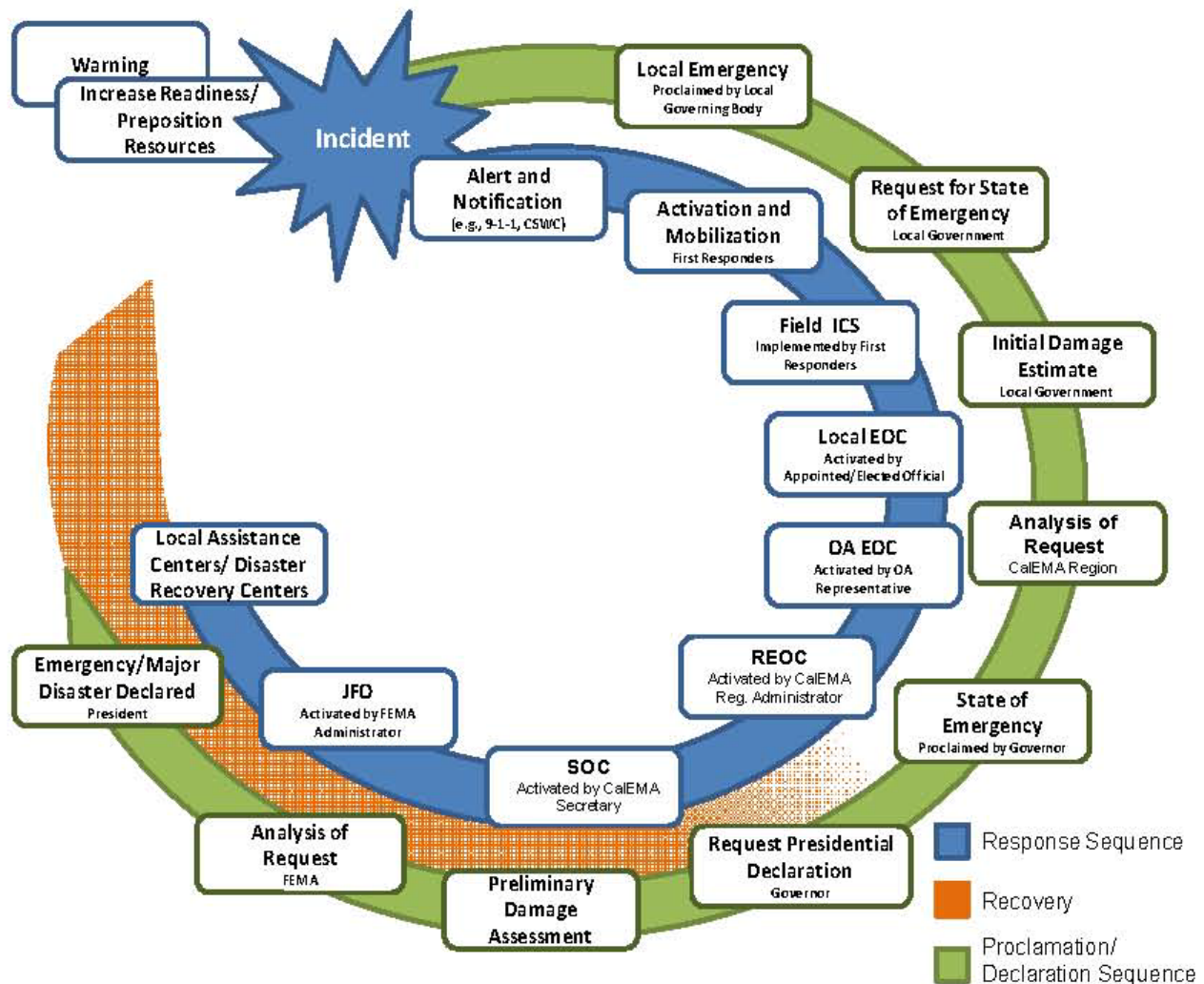
Mitigation Phase

Mitigation efforts occur both before and after emergencies or disasters. Post-disaster mitigation is actually part of the recovery process. The City recently completed a *Natural Hazard Mitigation Plan*. The Plan was approved by FEMA in March of 2009. Having a FEMA approved plan makes the City eligible for pre and post disaster funding. The Plan is the result of a collaborative effort between various City Departments, Earth Consultants International, Hoag Hospital, local citizens, and regional and state organizations. The Plan identifies the hazards that have occurred or may occur in the City, and provides mitigation strategies, or action items, designed to save lives and reduce the destruction of property. It describes and analyzes several issues of concern to the City, including earthquakes, floods, tsunamis, wildfires, strong winds, and unstable slopes. Furthermore, the Plan provides resources and information, in addition to action items and programs, to assist the City in reducing risk and preventing loss from future natural hazard events.

Mitigation efforts include, but are not limited to:

- Amending local ordinances and statutes, such as zoning ordinances, building codes, and other enforcement codes
- Initiating structural retrofitting measures
- Assessing tax levies or abatements
- Emphasizing public education and awareness
- Assessing and altering land use planning

RESPONSE PHASE SEQUENCE OF EVENTS



EMERGENCY MANAGEMENT RESPONSE STRUCTURE (EOP 9)

Federal Responsibility

Department of Homeland Security

The creation of the Department of Homeland Security (DHS) effective March 31, 2003, was a significant transformation of the U.S. government. In the aftermath of terrorist attacks of September 11, 2001, the President consolidated 22 previously disparate domestic agencies into one department to protect the nation against threats to the homeland.

Federal Emergency Management Agency (FEMA)

FEMA is one of the divisions of the Department of Homeland Security. The FEMA Director will oversee domestic terrorism and disaster preparedness. To coordinate the federal efforts, FEMA recommends and the President appoints a Federal Coordinating Officer (FCO) for each state affected by a disaster. The FCO and the state response team set up a Recovery Field Office (RFO) near the disaster scene or use a Tele-Registration system. It is from there that the federal and state personnel work together to carry out response and recovery operations.

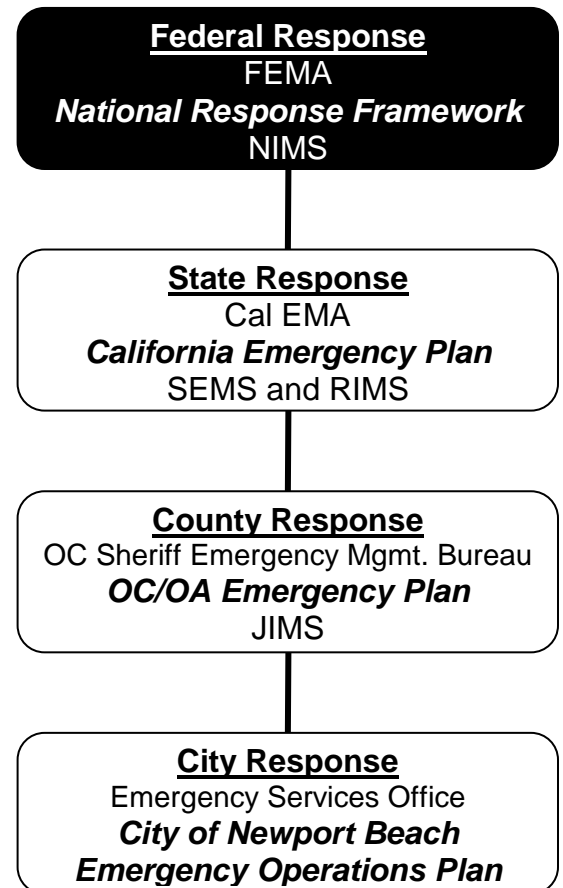
National Incident Management System (NIMS)

The NIMS provides standard command and management structures that apply to response activities. This system provides a consistent, nationwide template to enable Federal, State, and Local governments, the private sector and Non-Governmental Organizations (NGO) to work together to prepare for, prevent, respond to, recover from, and mitigate the effects of incidents regardless of cause, size, location, or complexity. This consistency provides the foundation for utilization of the NIMS for all incidents, ranging from daily occurrences to incidents requiring a coordinated Federal response.

NIMS and its concepts and policies were adopted by City Resolution 2006-21.

National Response Framework

The National Response Framework is comprised of the core document, the Emergency Support function (ESF), Support, and Incident Annexes, and the Partner Guides. The core document describes the doctrine that guides our national response, roles and



responsibilities, response actions, response organizations and the planning requirements to achieve an effective national response to any incident that occurs.

The term “response” as used in the National Response Framework includes immediate actions to save lives, protect property and environment, and meet basic human needs. Response also includes the execution of emergency plans and actions to support short-term recovery. The Framework is always in effect, and elements can be implemented as needed on a flexible, scalable basis to improve response.

Federal and State Interface

Emergency Management Assistance Compact (EMAC)

California is a member of the interstate Emergency Management Assistance Compact (EMAC), a congressionally ratified organization that provides form, structure and procedures for rendering emergency assistance between states. After a state of emergency declaration, California can request and receive reimbursable assistance through EMAC from other member states quickly and efficiently without issues of liability. The Secretary of Cal EMA and the states’ EMAC Coordinator are responsible for facilitating requests for assistance pursuant to EMAC.

EMAC offers the following benefits:

- EMAC assistance may be more readily available than other resources.
- EMAC allows for a quick response to disasters using the unique human resources and expertise possessed by member states.
- EMAC offers state-to-state assistance during governor-declared states of emergency: EMAC offers a responsive and straightforward system for states to send personnel and equipment to help disaster relief efforts in other states. When resources are overwhelmed, EMAC helps to fill the shortfalls.
- EMAC establishes a firm legal foundation: Once the conditions for providing assistance to a requesting state have been set, the terms constitute a legally binding contractual agreement that makes affected states responsible for reimbursement. Responding states can rest assured that sending aid will not be a financial or legal burden, and personnel sent are protected under workers compensation and liability provisions. The EMAC legislation solves the problems of liability and responsibilities of cost and allows for credentials to be honored across state lines.
- EMAC provides fast and flexible assistance: EMAC allows states to ask for whatever assistance they need for any type of emergency, from earthquakes to acts of terrorism. EMAC's simple procedures help states dispense with bureaucratic wrangling.
- EMAC can move resources such as medical provisions that other compacts can't.

More information on EMAC can be accessed at <http://www.emacweb.org/>

Master Mutual Aid Agreement of 1950

- When the City of Newport Beach exceeds its resources (or anticipates exceeding its resources), they will work through the Orange County Operational Area EOC to request mutual aid.
- When the Orange County Operational Area (OC/OA) exceeds its resources, it will work through Cal EMA, Region One to request additional resources.
- When Cal EMA, Region One exceeds its resources it works through the State EOC.

Stafford Act

When the State of California and all its political subdivisions have exceeded their capability to support local government's response, the Governor may request a Presidential Declaration of an Emergency or Major Disaster under the provisions of the Stafford Act and seek assistance and support from the Federal Government. FEMA, a component of the U.S. Department of Homeland Security (DHS) is responsible for coordinating the Federal response to meet the needs of California, Orange County and the City of Newport Beach. In addition, the President has assigned supplemental emergency preparedness and operating responsibilities to other Federal Agencies by executive order where appropriate.

In preparation for the Governor requesting the President to declare an emergency or major disaster, Cal EMA should request a FEMA/Cal EMA Joint Preliminary Damage Assess (PDA), estimate the types and extent of Federal disaster assistance requested, consult with FEMA Regional Administrator, and advise the FEMA Regional Administrator if the Governor requests or intent to request a declaration by the President. The Local Emergency Declaration, State of Emergency Declaration, description of how the emergency caused needs that exceed State Government and local capabilities, State Government and local resources committed description, preliminary estimates of supplemental Federal assistance required, and certification of compliance with cost-sharing requirements of the Stafford Act must be submitted with the Governor's written request for Federal assistance.

After the Presidential Declaration is made, the Governor, members of Congress, and Federal Departments or agencies are directly notified. The Governor and the FEMA Regional Administrator execute a FEMA-State agreement, which outlines how Federal aid under the Stafford Act is to be made available, records the areas within California that are eligible for assistance, specifies any division of costs among Federal, State and Local governments, and stipulates the period officially acknowledged as the duration of the major disaster or emergency.

The FEMA Director appoints an appropriate FEMA or other official as the Federal Coordinating Officer (FCO) that will formulate an initial appraisal, direct all Federal disaster assistance programs, coordinate with private relief organizations (with their

consent), and establish temporary Joint Field Office (JFO) within the affected area to coordinate the relief and recovery effort with the State Government.

The Federal response to emergencies and major disasters is outlined in the National Response Framework (NRF). The NRF describes the activation of the Federal system for coordinating with California and deploying resources necessary to support the response under unified command. Upon the occurrence of, or in anticipation of, an emergency that potentially requires Federal support beyond the local Federal jurisdiction, FEMA initially activates its Regional Response Coordination Centers (RRCC) in Oakland and deploys a liaison to the State EOC or SOC. Depending on the magnitude of the emergency, FEMA and DHS may activate the nationwide system for movement of Federal resources and, working with other Federal agencies, begin deploying those resources to Federal facilities in the vicinity of the affected area in anticipation of State Government's request for assistance.

As described in the NRF, the Federal Government organizes the resources and capabilities of many Federal Agencies, as well as those of certain private-sector and Non-Governmental Organizations (NGOs), under fifteen Emergency Support Functions (ESFs). To better deal with the federal government, Emergency Managers need to understand the 15 Emergency Support Function (ESF). See next page for a description of each ESF and who from the federal government has been assigned each ESF Coordinator.

FEDERAL EMERGENCY SUPPORT FUNCTIONS (ESF)

ESF #1 – Transportation ESF Coordinator: Department of Transportation
<ul style="list-style-type: none">• Aviation/airspace management and control• Transportation safety• Restoration and recovery of transportation infrastructure• Movement restrictions• Damage and impact assessment
ESF #2 – Communications ESF Coordinator: DHS (National Communications System)
<ul style="list-style-type: none">• Coordination with telecommunications and information technology industries• Restoration and repair of telecommunications infrastructure• Protection, restoration, and sustainment of national cyber and information technology resources• Oversight of communications within the Federal incident management and response structures
ESF #3 – Public Works and Engineering ESF Coordinator: Department of Defense (U.S. Army Corps of Engineers)
<ul style="list-style-type: none">• Infrastructure protection and emergency repair• Infrastructure restoration• Engineering services and construction management• Emergency contracting support for life-saving and life-sustaining services
ESF #4 – Firefighting ESF Coordinator: Department of Agriculture (U.S. Forest Service)
<ul style="list-style-type: none">• Coordination of Federal firefighting activities• Support to wildland, rural, and urban firefighting operations
ESF #5 – Emergency Management ESF Coordinator: DHS (FEMA)
<ul style="list-style-type: none">• Coordination of incident management and response efforts• Issuance of mission assignments• Resource and human capital• Incident action planning• Financial management
ESF #6 – Mass Care, Emergency Assistance, Housing, and Human Services ESF Coordinator: DHS (FEMA)
<ul style="list-style-type: none">• Mass care• Emergency assistance• Disaster housing• Human services
ESF #7 – Logistics Management and Resource Support ESF Coordinator: General Services Administration and DHS (FEMA)
<ul style="list-style-type: none">• Comprehensive, national incident logistics planning, management, and sustainment capability• Resource support (facility space, office equipment and supplies, contracting services, etc.)

FEDERAL EMERGENCY SUPPORT FUNCTIONS (ESF)

ESF #8 – Public Health and Medical Services ESF Coordinator: Department of Health and Human Services <ul style="list-style-type: none"> • Public health • Medical • Mental health services • Mass fatality management
ESF #9 – Search and Rescue ESF Coordinator: DHS (FEMA) <ul style="list-style-type: none"> • Life-saving assistance • Search and rescue operations
ESF #10 – Oil and Hazardous Materials Response ESF Coordinator: Environmental Protection Agency <ul style="list-style-type: none"> • Oil and hazardous materials (chemical, biological, radiological, etc.) response • Environmental short- and long-term cleanup
ESF #11 – Agriculture and Natural Resources ESF Coordinator: Department of Agriculture <ul style="list-style-type: none"> • Nutrition assistance • Animal and plant disease and pest response • Food safety and security • Natural and cultural resources and historic properties protection • Safety and well-being of household pets
ESF #12 – Energy ESF Coordinator: Department of Energy <ul style="list-style-type: none"> • Energy infrastructure assessment, repair, and restoration • Energy industry utilities coordination • Energy forecast
ESF #13 – Public Safety and Security ESF Coordinator: Department of Justice <ul style="list-style-type: none"> • Facility and resource security • Security planning and technical resource assistance • Public safety and security support • Support to access, traffic, and crowd control
ESF #14 – Long-Term Community Recovery ESF Coordinator: DHS (FEMA) <ul style="list-style-type: none"> • Social and economic community impact assessment • Long-term community recovery assistance to States, tribes, local governments, and the private sector • Analysis and review of mitigation program implementation
ESF #15 – External Affairs ESF Coordinator: DHS <ul style="list-style-type: none"> • Emergency public information and protective action guidance • Media and community relations • Congressional and international affairs • Tribal and insular affairs

EMERGENCY MANAGEMENT RESPONSE STRUCTURE

State Responsibility

Statewide Emergency Management System

The Statewide Emergency Management System is comprised of the field level, Local Governments, Operational Areas, Cal EMA Mutual Aid Regions, and State agencies. Within this context, the local jurisdictions are responsible for directing and coordinating emergency response and recovery operations within their respective jurisdictions, while the other agencies serve primarily as support elements.

Standardized Emergency Management System (SEMS)

SEMS is principles for coordinating State and Local emergency response in California. SEMS provides for a multiple level emergency response organization and is intended to facilitate the flow of emergency information and resources within and between the organization levels.

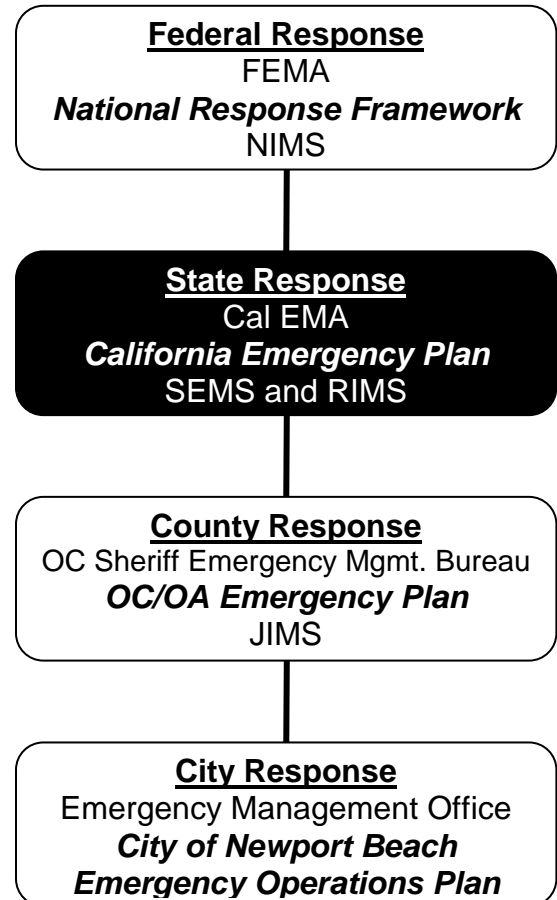
The City of Newport Beach has adopted SEMS by Resolution 96-97 and will participate in the Orange County Operational Area. SEMS is an integrated management system which provides for five emergency response levels. These levels as they relate to Newport Beach are:

SEMS Levels

1. Field Level
2. Local Government Level
3. Operational Area Level
4. Regional Level
5. State Level

City Coordinating Response Level

Field Units/Field Command Post(s)
Newport Beach EOC and DOCs
Orange County Operational Area EOC
Southern Region EOC located at Los Alamitos
Joint Forces Training Base (REOC)
State of California EOC (SOC)



SEMS also incorporates the use of five basic components:

1. Incident Command System (ICS)
2. Multi-Agency Coordination System (MACS)
3. Master Mutual Aid Agreement
4. Operational Area (OA)
5. Operational Area Satellite Information System (OASIS)

The following is a description of the five basic components:

1. **Incident Command System (ICS)** was developed initially as part of the FIREScope program during the 1970's, by an inter-agency working group representing local, State, and Federal fire services in Southern California. ICS was adopted by the fire services in California as the standard response system for all hazards. It was also adopted nationally by the Federal land management agencies as the standard for response to all wild land fires. A national generic version of ICS has been developed and is being used in SEMS/NIMS. Newport Beach has been using ICS in Fire Department field operations since the 1970's. NIMS, like SEMS, is based on the Incident Command System (ICS). The City of Newport Beach uses the ICS for both major emergency situations and large planned events such as the Fourth of July. The City incorporates NIMS and ICS concepts and principles into all appropriate incidents, training and exercises including the following principles:
 - Flexibility
 - Scalability
 - Standardization
 - Interoperability & Compatibility
 - Resource Management
 - Incident Command
 - Multiagency Coordination
 - Public Information

Under ICS, a Unified Command concept may be utilized where two or more agencies will work together to command an incident. This concept is often utilized for Fire and Police to jointly share responsibility; when an emergency crosses two or more city boundaries and each city assigns a member of the Unified Command; when two or more counties are involved; when different levels of government are involved (for example City, County and State agencies) and whenever needed to better manage an emergency.

2. **Multi-Agency Coordination System (MACS)** as it applies to SEMS/NIMS is actually inter-agency coordination and means the participation of agencies and disciplines involved at any level of the SEMS/NIMS organization. These agencies work together in a coordinated effort to facilitate decisions for overall emergency response, sharing critical resources, and prioritizing incidents.
3. **Master Mutual Aid Agreement** was initially signed in California in 1950 and was an agreement among cities, counties, and the State to join together in a comprehensive

program to provide voluntary services, personnel, and facilities when local resources were inadequate to handle an emergency. The Master Mutual Aid Agreement now contains discipline-specific mutual aid systems that function on a State-wide basis.

4. **Operational Areas (OA)** consists of a county government and all political subdivisions located within county areas. The governing bodies of each county and the political subdivisions within the county may organize and structure their individual OAs. Orange County (OC) is the lead agency for the Orange County OA (OC/OA) which consists of 120+ public agencies which include: the County, 34 Cities, 9 Sanitation Districts, 6 Sanitary Districts, 33 Education/School Districts, 19 Waste and Irrigation Districts, 5 Community Service Districts, the OCTA, the Vector Control District, the Cemetery District, 2 Parks Districts, 2 Library Districts, and the Storm District. The OC/OA's purpose is the coordination of resources and information and to act as a link in the system of communications and coordination between the State's Regional EOC (REOC), the EOCs of individual OC jurisdictions, and special districts.
5. **Operational Area Satellite Information System (OASIS)** is a satellite-based communications system with a high frequency radio backup. OASIS provides the capability to rapidly transfer a wide variety of information reports between agencies using the system. In SEMS/NIMS, OASIS can be viewed as both a communications network and an information dissemination system, linking three of the five emergency response levels: the OA, REOC, and State EOC (the SOC). OASIS includes a satellite system in each OA, linked to selected State, Federal, and Local agencies. The information-processing component of OASIS contains forms that provide a rapid and accurate means of transferring information between locations on the OASIS network. The OC/OA EOC has an OASIS connection with three OASIS lines on the EOC side (Command Center has one and Operations Center has two) and two on the Control One side.

There are five functions within SEMS/NIMS (from ICS) which will be used by the City of Newport Beach both in the field and in the EOC. They are:

- **Management/Command Section** (Management Section) is responsible for overall emergency policy and coordination through the joint efforts of governmental agencies and private organizations. It is called Command Section at the field level and Management Section at the EOC level.
- **Operations Section** is responsible for coordinating all jurisdictional operations in support of the response to the emergency through implementation of the organizational level's Incident Action Plan.
- **Planning Section** is responsible for collecting, evaluating, and disseminating information, developing the organizational level's Incident Action Plan in coordination with the other functions, and maintaining documentation.

- **Logistics Section** is responsible for providing facilities, services, personnel, equipment, and materials.
- **Finance/Administration Section (Finance Section)** is responsible for financial activities and administrative aspects not assigned to other functions.

State of California Emergency Management System

The State of California integrates all levels of emergency management in the methodology of its emergency management system, including the Field Level, Local Governments, Operational Areas, Cal EMA Mutual Aid Regions, and State agencies. Within this context, the local jurisdictions are responsible for directing and coordinating emergency response and recovery operations within their respective jurisdictions, while the other agencies serve primarily as support elements.

Mutual Aid Regions (Refer to State Mutual Aid Map) (EOP 26)

The State of California is currently divided into six Mutual Aid Regions (three Administrative Regions). Cal EMA Regional Managers and their respective staffs constitute the Regional Emergency Management Organization. Their stated mission is to coordinate and support local emergency management activities at the request of the Operational Area Coordinator. The Cal EMA Regional Administrator will assume the position of Regional EOC Director (REOC Director). The Cal EMA Region I Manager and REOC I are located at:

California Emergency Management Agency, Southern Region

Los Alamitos Armed Forces Reserve Center

Building 283

Los Alamitos, CA 90720-5001

562-795-2900

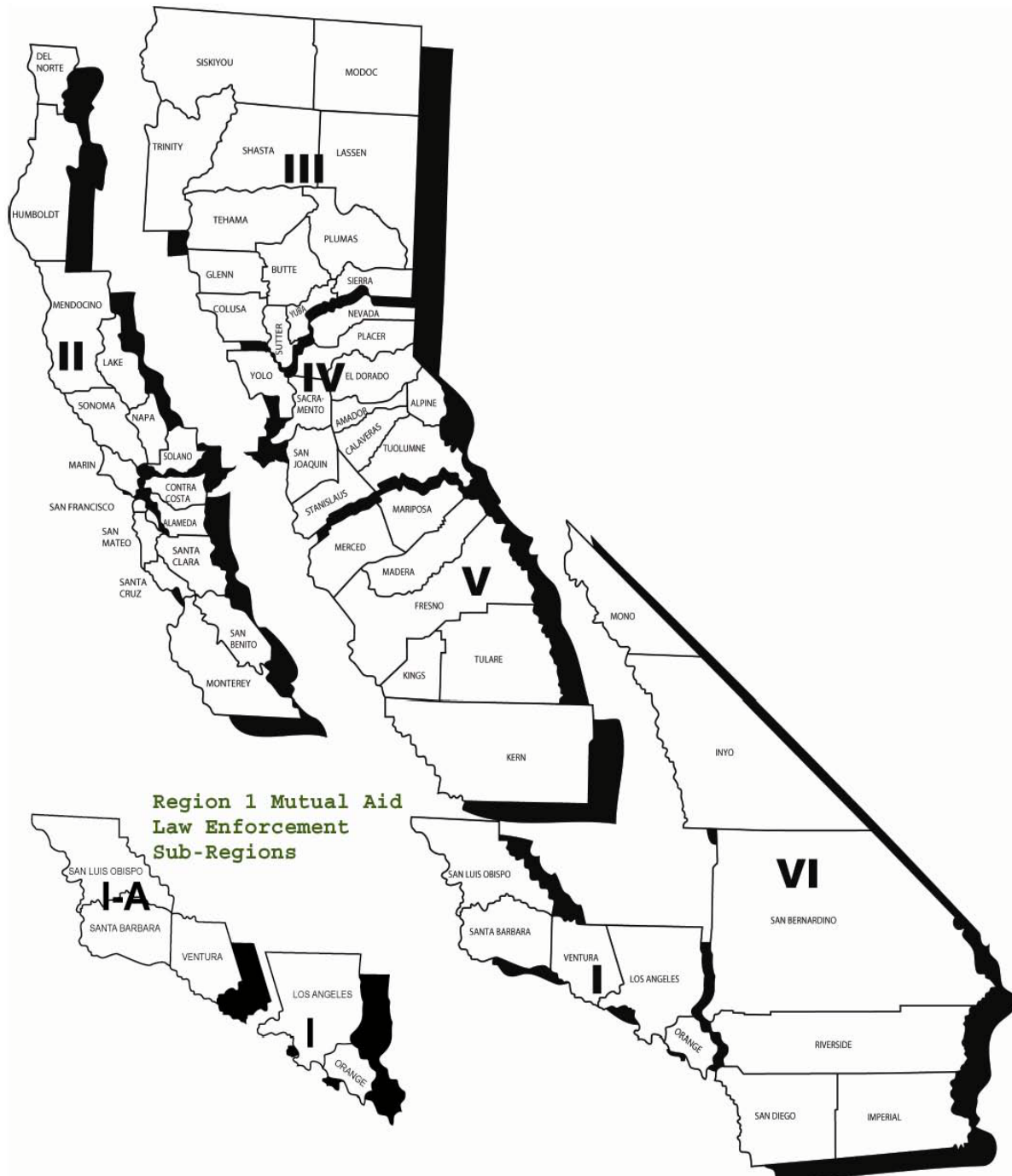
562-795-2877 (Fax)

Cal EMA Warning Center is located in Sacramento

916-845-8911 (24 Hour #)

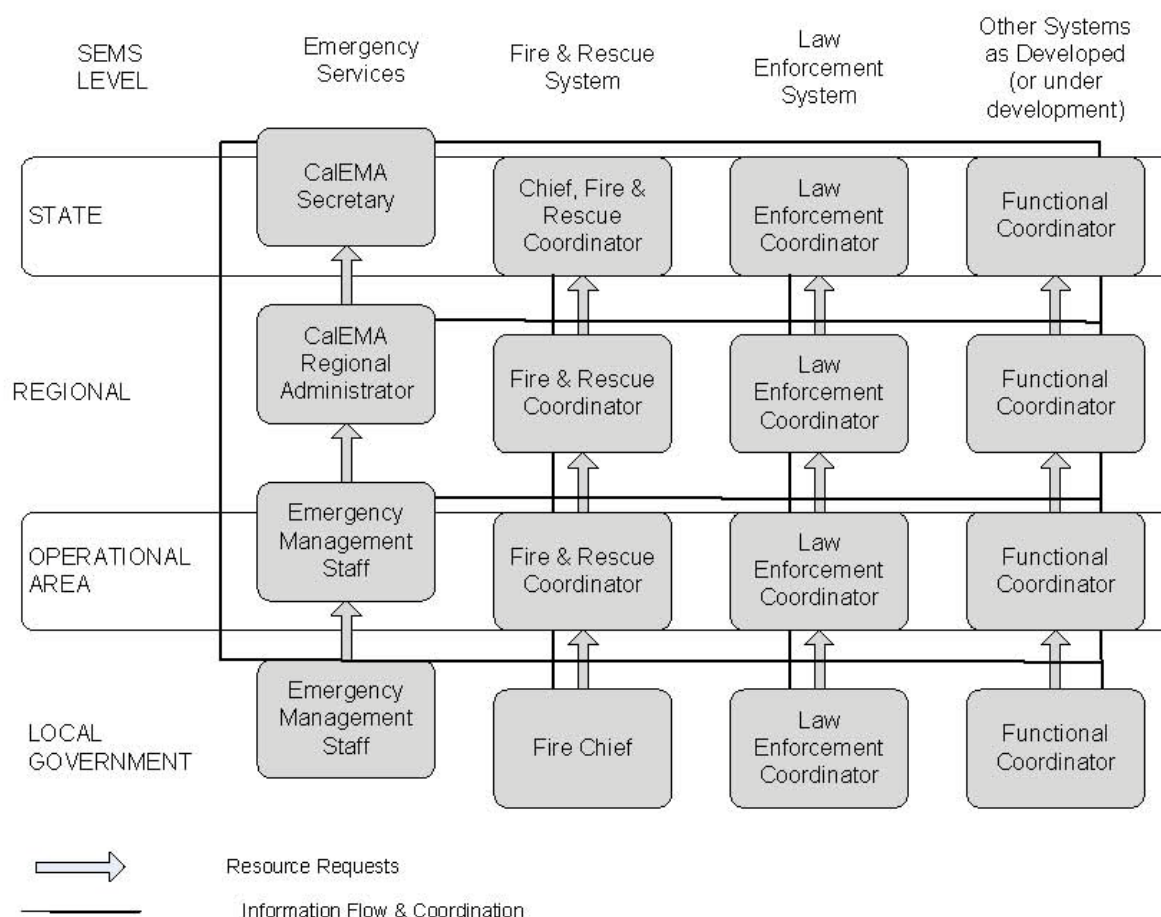
STATE REGIONAL MUTUAL AID MAP (EOP 26)

Exhibit 10-1 –Mutual Aid Regions



July 1, 2009

DISCIPLINE-SPECIFIC MUTUAL AID SYSTEMS (EOP 26)



10.6.6.FLOW OF MUTUAL AID AND OTHER RESOURCE REQUESTS

Exhibit 10-3 – Flow of Requests and Resources depicts the resource management process for the state under SEMS. In this model, the affected local government has the ability to access all stakeholders at all levels of the system.

See *Attachment D, Mutual Aid in Part-Three* for more detailed information.

CALIFORNIA STATE AGENCY EMERGENCY RESPONSE MATRIX

PART 1 OF 7

Exhibit 13-2 – State Agency Responsibilities Matrix

This matrix below summarizes state agency and department roles as either Primary or Support in relation to each of the California Emergency Functions (CA-EF).

L= Lead **L***= Co-Lead **S**= Support

AGENCIES AND DEPARTMENTS	CALIFORNIA EMERGENCY FUNCTIONS																
	Transportation	Communications	Const. & Engineering	Fire And Rescue	Management	Care & Shelter	Resources	Pub. Health & Medical	Search & Rescue	Hazardous Materials	Food & Agriculture	Utilities	Law Enforcement	Long-Term Recovery	Public Information	Evacuation	Vol. & Donations Mgt.
Business, Transportation and Housing Agency	L	S	S		S	S	S	S	S	S		S	S	L*	S	L	S
California Highway Patrol	S		S		S		S	S		S			S		S	S	S
Department of Corporations					S		S						S		S		
Department of Financial Institutions					S		S							S	S		
Department of Housing and Community Development			S		S	S	S		S					S	S		S
Department of Managed Health Care					S		S	S							S		
Department of Motor Vehicles	S				S		S								S		
Department of Real Estate					S	S	S							S	S		
Department of Transportation	S	S	S		S	S	S			S		S	S		S	S	
Housing Finance Agency			S		S		S								S		

CALIFORNIA STATE AGENCY EMERGENCY RESPONSE MATRIX

PART 2 OF 7

AGENCIES AND DEPARTMENTS	CALIFORNIA EMERGENCY FUNCTIONS																
	Transportation	Communications	Const. & Engineering	Fire And Rescue	Management	Care & Shelter	Resources	Pub. Health & Medical	Search & Rescue	Hazardous Materials	Food & Agriculture	Utilities	Law Enforcement	Long-Term Recovery	Public Information	Evacuation	Vol. & Donations Mgt.
Office of Real Estate Appraisers			S		S		S								S		
Department of Corrections and Rehabilitation			S	S	S	S	S	S	S	S	S		S		S		
Adult Operations Division			S	S	S	S	S	S		S			S		S		
Board of Parole Hearings					S		S								S		
Corrections Standards Authority					S		S						S		S		
Juvenile Justice Division			S	S	S	S	S	S		S			S		S		
Prison Industry Authority					S		S						S		S		
Department of Education					S	S	S								S		
Department of Finance					S	S	S							S	S		
Department of Food and Agriculture					S	S	S	S		S	L			S	S	S	S
Department of Insurance			S		S	S	S						S	S	S		
Department of Justice					S		S			S			S		S		
Department of Personnel Administration					S		S								S		
Department of Veterans Affairs					S	S	S	S						S	S		
Environmental Protection Agency		S	S	S	S	S	S	S		L	S	S	S		S		

CALIFORNIA STATE AGENCY EMERGENCY RESPONSE MATRIX

PART 3 OF 7

AGENCIES AND DEPARTMENTS	CALIFORNIA EMERGENCY FUNCTIONS																
	Transportation	Communications	Const. & Engineering	Fire And Rescue	Management	Care & Shelter	Resources	Pub. Health & Medical	Search & Rescue	Hazardous Materials	Food & Agriculture	Utilities	Law Enforcement	Long-Term Recovery	Public Information	Evacuation	Vol. & Donations Mgt.
Air Resources Board					S		S	S		S					S		
Integrated Waste Management Board			S		S		S	S		S					S		
Department of Pesticide Regulation					S	S	S	S		S	S				S		
Department of Toxic Substances Control			S	S	S		S	S		S			S		S		
Office of Environmental Health Hazard Assessment					S		S	S		S					S		
Water Resources Control Board		S	S		S		S	S		S		S	S		S		
Fair Political Practices Commission					S		S								S		
Health and Human Services Agency		S	S	S	S	L	S	L		S	S	S	S	S	S	S	S
Department of Aging					S	S	S	S							S	S	
Department of Alcohol and Drug Programs					S	S	S	S							S		
Department of Community Services and Development					S	S	S							S	S		S
Department of Developmental Services				S	S	S	S	S					S		S	S	

CALIFORNIA STATE AGENCY EMERGENCY RESPONSE MATRIX

PART 4 OF 7

AGENCIES AND DEPARTMENTS	CALIFORNIA EMERGENCY FUNCTIONS																
	Transportation	Communications	Const. & Engineering	Fire And Rescue	Management	Care & Shelter	Resources	Pub. Health & Medical	Search & Rescue	Hazardous Materials	Food & Agriculture	Utilities	Law Enforcement	Long-Term Recovery	Public Information	Evacuation	Vol. & Donations Mgt.
Department of Health Care Services		S			S	S	S	S		S	S	S			S	S	
Department of Mental Health					S	S	S	S							S		S
Department of Public Health		S			S	S	S	S		S	S	S			S	S	
Department of Rehabilitation					S	S	S								S	S	
Department of Social Services					S	S	S	S						S	S	S	S
Emergency Medical Services Authority	S			S	S	S	S	S		S					S	S	S
Managed Risk Medical Insurance Board					S		S	S							S		
Office of Statewide Health Planning and Development			S		S		S	S							S		
Labor and Workforce Development Agency	S	S	S		S		S	S		S		S		S	S		
Agricultural Labor Relations Board					S		S								S		
Department of Industrial Relations	S	S	S		S		S	S		S		S			S		
Employment Development Department					S		S							S	S		
Lottery Commission					S		S								S		
California National Guard	S	S	S	S	S	S	S	S	S	S			S			S	

CALIFORNIA STATE AGENCY EMERGENCY RESPONSE MATRIX

PART 5 OF 7

AGENCIES AND DEPARTMENTS	CALIFORNIA EMERGENCY FUNCTIONS																
	Transportation	Communications	Const. & Engineering	Fire And Rescue	Management	Care & Shelter	Resources	Pub. Health & Medical	Search & Rescue	Hazardous Materials	Food & Agriculture	Utilities	Law Enforcement	Long-Term Recovery	Public Information	Evacuation	Vol. & Donations Mgt.
Office of Administrative Law					S		S								S		
California Emergency Management Agency	S	S	S	L	L	S	S	S	L	S	S	S	L	S	L	S	S
Office of Planning and Research			S	S	S	S	S			S					S		S
Office of the Inspector General					S		S						S		S		
Office of the Chief Information Officer		L			S		S					S		S			
Public Utilities Commission	S	S			S		S					S			S		
Natural Resources Agency	S	S	S	S	S	S	S	S		S	S	L	S	S	S	S	
California Coastal Commission	S		S		S		S			S					S		
California Conservation Corps	S	S	S	S	S	S	S	S	S	S	S	S	S		S	S	S
California Energy Commission		S			S		S			S		S			S		
State Lands Commission	S	S	S		S		S			S		S			S		
Department of Boating and Waterways	S		S		S		S						S		S		
Department of Conservation		S	S		S		S			S		S			S		
Department of Fish and Game	S		S	S	S		S		S	S			S		S	S	S

CALIFORNIA STATE AGENCY EMERGENCY RESPONSE MATRIX

PART 6 OF 7

AGENCIES AND DEPARTMENTS	CALIFORNIA EMERGENCY FUNCTIONS																
	Transportation	Communications	Const. & Engineering	Fire And Rescue	Management	Care & Shelter	Resources	Pub. Health & Medical	Search & Rescue	Hazardous Materials	Food & Agriculture	Utilities	Law Enforcement	Long-Term Recovery	Public Information	Evacuation	Vol. & Donations Mgt.
Department of Forestry and Fire Protection		S	S	S	S	S	S	S	S	S		S	S	S	S		S
Department of Parks and Recreation (California State Parks)		S	S	S	S	S	S		S	S		S	S		S		S
Department of Water Resources	S	S	S		S		S					S	S		S		
San Francisco Bay Conservation and Development Commission			S		S		S			S					S		
California Volunteers					S		S								S		L
State and Consumer Services Agency	S	S	L		S	S	L			S		S	S	L*	S	S	
Department of Consumer Affairs					S		S								S		
Franchise Tax Board					S	S	S						S	S	S		
Department of General Services	S	S	S		S	S	S	S		S		S			S		
State Personnel Board					S		S								S		
Seismic Safety Commission			S		S	S						S		S			
State Board of Equalization					S	S	S								S		
Board of Governors, Community Colleges					S	S	S	S		S					S	S	

CALIFORNIA STATE AGENCY EMERGENCY RESPONSE MATRIX

PART 7 OF 7

AGENCIES AND DEPARTMENTS	CALIFORNIA EMERGENCY FUNCTIONS																
	Transportation	Communications	Const. & Engineering	Fire And Rescue	Management	Care & Shelter	Resources	Pub. Health & Medical	Search & Rescue	Hazardous Materials	Food & Agriculture	Utilities	Law Enforcement	Long-Term Recovery	Public Information	Evacuation	Vol. & Donations Mgt.
Judicial Branch of the State Government					S										S		
Trustees of State Universities					S		S										
University System				S	S								S				

ORANGE COUNTY OPERATIONAL AREA EMERGENCY MANAGEMENT STRUCTURE (EOP 6)

Section 8605 of the California Emergency Services Act designates each county area as an Operational Area. The Operational Area (OA) is made up of the county government, local governments and special districts, located within the county area. During a State of Emergency, a State of War Emergency, and Local Emergency, Operational Areas are required to coordinate resources, priorities, and information and serve as a coordination/communication link to the State mutual aid system. As of December 1, 1996, per SB 1841, the utilization of the Operational Area during emergencies is now mandatory for local governments who wish to receive financial reimbursement for personnel-related response costs. The City of Newport Beach signed the Orange County Operational Area (OCOA) Agreement (with exceptions) on November 14, 1995 agreeing to participate in SEMS and the OC OA. The Orange County EOC will serve as the OCOA EOC. An Orange County Operational Area Emergency Plan has been written and is in place.

Orange County Operational Area EOC

The OCOA EOC is located at 26454 Santiago Canyon Road, in Silverado, California. Communication with the OAEOC is the responsibility of the City. The phone and FAX numbers for the OAEOC are:

Phone: (714) 628-7055

Fax (714) 628-7154

Activation of the OA EOC is required by SEMS, Title 19 California Code of Regulations Section 2409 (f) under the following conditions:

1. On request If a jurisdiction within the OCOA activates its EOC and requests activation of the OA to support its emergency operations.
2. Two City Local Emergency If two or more cities within the OCOA have declared or proclaimed a local emergency.
3. County and City Local Emergency The County and one or more cities have declared or proclaimed a local emergency.
4. Request for Governor's Proclamation A City, or a City and the County, or the County has requested a Governor's proclamation of a state of emergency, as defined in Government Code Section 8558 (b).
5. State of Emergency The Governor proclaims a state of emergency for the County or two or more cities within the OCOA.
6. Request for Outside Resources The OCOA is requesting resources from outside its boundaries, except those resources used in normal day-to-day operations obtained through existing agreements providing for the exchange or furnishing of certain types of activities and services on a reimbursable exchange or other basis as provided for under the Master Mutual Aid Agreement.

7. Request for Operational Area Resources The OCOA has received resource requests from outside its boundaries, except those resources used in normal day-to-day operations, obtained through existing agreements, providing for the exchange or furnishing of certain types of facilities and services on a reimbursable, exchange, or other basis as provided for under the Master Mutual Aid Agreement.

Activation Levels of the OA EOC

- **Activation Level One.** Activation of the OAEOC at a minimum level with County emergency management staff to prepare the OAEOC and to notify the OA Emergency Organization and coordinate information among the members of the OA and with regional state officials as required by SEMS.
- **Activation Level Two.** This shall mean partial activation of the OAEOC, staffed by the OA Coordinator, or alternate, County emergency management personnel, and personnel from County agencies/departments and other personnel selected to meet the functional needs of the OA Emergency Organization during times of emergency.
- **Activation Level Three.** This shall mean full activation of the OAEOC, including all personnel as indicated at Level Two, plus the Executive Board, who shall serve as the policy group to advise the OA Coordinator on the use of resources in response to the disaster, establish policies, rules, and regulations regarding the disaster and the subsequent recovery operations, and to prioritize resources to effectively mitigate the disaster.

County of Orange/Operational Area EOC

2644 Santiago Canyon Road
Silverado, CA 92676
714-628-7060 (EOC)
714-628-7055 (Emergency Management Division)
714-628-7154 fax
714-628-7085 Rumor Control

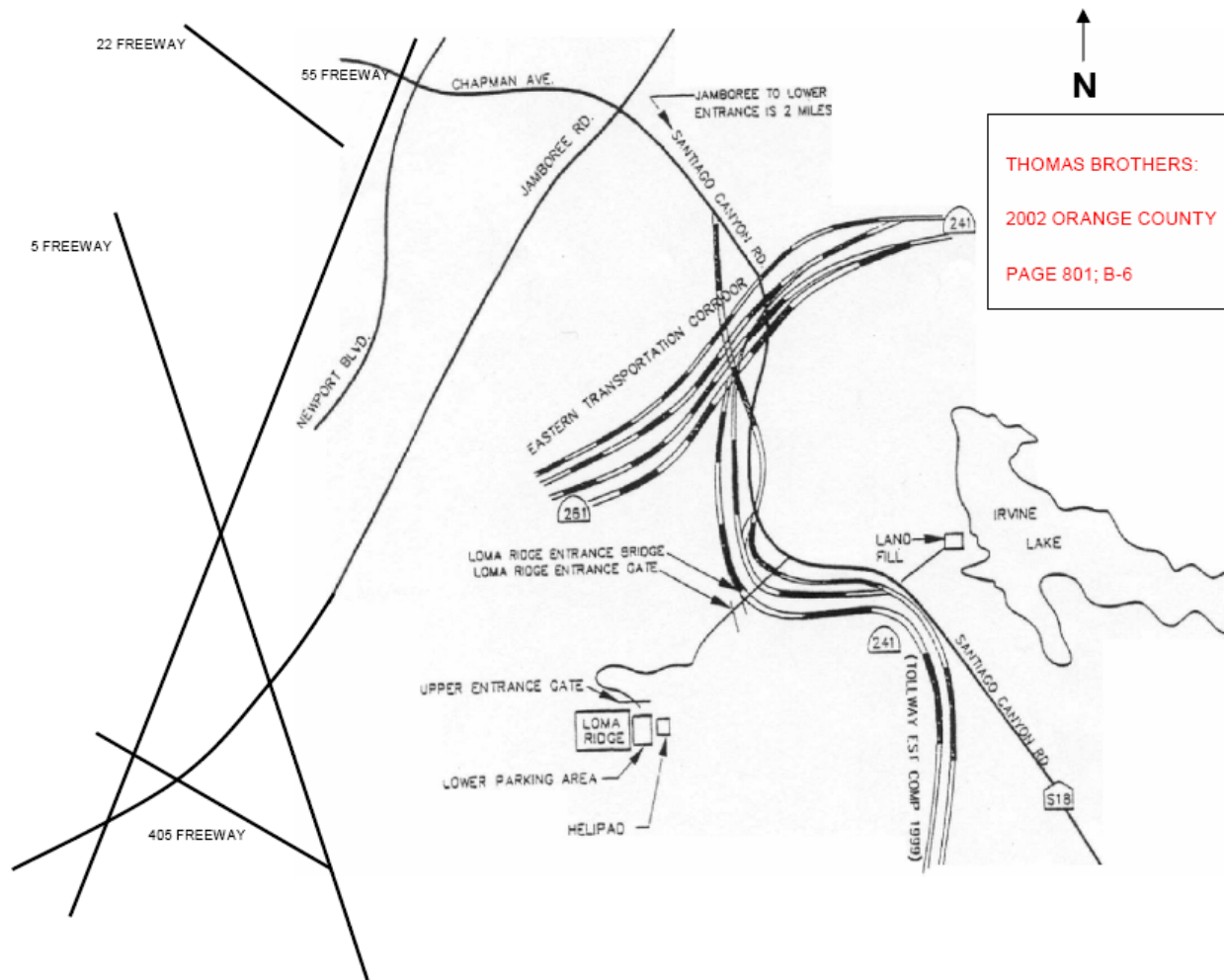
24 Hour Notification # (714) 628-7008
Control One Watch Commander

MAP TO COUNTY OF ORANGE/OPERATIONAL AREA EOC

2644 Santiago Canyon Road - Silverado - CA 92676-9719

Office Phone: (714) 647-7000

Going east on Chapman Avenue, as you cross over Jamboree, the street name changes to Santiago Canyon Road. Continue on Santiago Canyon Road crossing over the toll roads, passing all "on" and "off" ramps for toll roads. After you pass the last signal for the 241 "off" ramp, the road narrows and you will see a sign that says "right lane must turn right": get into that lane. Turn right on the EOC Road Bridge going **over** the 241 toll road. At the end of the bridge you will see a sign that says "Private Road No Public Access". Continue to the left around the small hill to the call box at the lower gate. Push the button and identify whom you are meeting at the facility. **The distance from Jamboree to the EOC Road Bridge is approximately 2 miles.**



PLEASE NOTE:

The road to Loma Ridge Facility is narrow. Watch for opposing traffic.
Use caution and control vehicle speed.

ORANGE COUNTY EMERGENCY RESPONSIBILITY MATRIX, PART 1 OF 2

	Management Section											Operations Section											
	DES	OAC	Policy Group	OAC EOC Manager	OAC Field Liaison	Public Health Officer	Liaison Officer	Legal Advisor	Public Information Manager	Public Information Hotline	Safety Officer	EOC Security Officer	Operations Section	Fire & Rescue Branch	Urban Search & Rescue Group	Hazardous Materials Group	Law Enforcement Branch	Movement Group	Search & Rescue Group	Coroner Group	Health Care Branch	Medical Group	Public Health Group
P = Primary Responsibility S = Supporting Role C = Contingent Upon Hazard/Staffing of DES/OAC																							
County Assessor										S													
County Auditor-Controller										S													
County Board of Supervisors			P							S													
CEO	C		P						C	S	C		C										
Clerk of the Board										S													
County Clerk/Recorder										S													
County Counsel								P		S													
Department of Education										S													
District Attorney										S													
Health Care Agency		C	P			P			C	S	C		C								P	P	P
Internal Audit										S													
John Wayne Airport										S													
O.C. Animal Care																							
O.C. Community Services										S													
O.C. Fire Authority	C	C	P						C	S	C		C	P	P	P							
O.C. Human Resources										S													
O.C. Public Libraries										S													
O.C. Public Works		C	P						C	S	C		C										
O.C. Transportation Authority										S													
O.C. Wase and Recycling										S													
Probation										S													
Public Defender										S													
Registrar of Voters										S													
Sheriff/Emergency Management				P	P		P			S													
Sheriff-Coroner	C	C	P						C	S	C	P	C				P	P	P	P			
Social Services Agency			P						S	S	S												
Superior Court										S													
Treasurer/Tax-Collector										S													
American Red Cross										S													
WEROC										S													

ORANGE COUNTY EMERGENCY RESPONSIBILITY MATRIX, PART 2 OF 2

	Operations Section (Cont.)						Planning & Intelligence Section					Logistics Section					Finance & Admin Section							
	Environmental Health Group	Public Works & Utilities Branch	Utilities Group	Care & Shelter Branch	Behavioral Health Group	Schools Group	Animal Care Services Group	Planning & Intelligence Section	Situation Analysis Unit	Documentation Unit	Damage Assessment Unit	Demobilization Unit	Advanced Planning Unit	Logistics Section	Resource & Support Unit	Transportation Unit	Personnel Unit	Communications/ Alert & Warning Unit	Facilities Unit	Finance & Admin Section	Procurement Unit	Time/Cost Unit	Claims Compensation Unit	Cost Recovery Unit
P = Primary Responsibility S = Supporting Role C = Contingent Upon Hazard/Staffing of DES/OAC																								
County Assessor									S	S														
County Auditor-Controller									S	S														P
County Board of Supervisors									S	S														
CEO								C	S	S		P		P	P					P	P	P	P	
Clerk of the Board									S	S														
County Clerk/Recorder									S	S														
County Counsel									S	S														
Department of Education						P			S	S														
District Attorney									S	S														
Health Care Agency	P				P			C	C	S		C												
Internal Audit									S	S														
John Wayne Airport									S	S														
O.C. Animal Care							P		S	S														
O.C. Community Services									S	S														
O.C. Fire Authority								C	C	S		C												
O.C. Human Resources									S	S							P							
O.C. Public Libraries									S	S														
O.C. Public Works		P	P					C	C	S	P	C				P								
O.C. Transportation Authority									S	S														
O.C. Wase and Recycling									S	S														
Probation									S	S														
Public Defender									S	S														
Registrar of Voters									S	S														
Sheriff/Emergency Management									S	S														
Sheriff-Coroner								C	C	S		C												
Social Services Agency									S	S		S					P	P						
Superior Court									S	S														
Treasurer/Tax-Collector									S	S														
American Red Cross									S	S														
WEROC		S	S						S	S														

City of Newport Beach Emergency Management Structure

The City of Newport Beach emergency management organization is comprised of:

American Red Cross
AT&T
Community Development Department
Certified Disaster Service Worker Volunteers
City Attorney's Office
City Clerk's Office
City Council
City Manager's Office
County of Orange
Federal Government, Federal Emergency Management Agency (FEMA)
Finance Department
Fire Department
Hoag Hospital
Municipal Operations Department
Newport Harbor Area Chamber of Commerce
Newport/Mesa Unified School District
Orange County Sanitation District
Orange County Sheriff's Department--Harbor Division
Police Department
Public Works Department
Radio Amateur Civil Emergency Services (RACES) Volunteers
Recreation & Senior Services Department
Southern California Edison
State of California, Emergency Management Agency (Cal EMA)
The Gas Company
US Coast Guard

CHAPTER 4 - EOC CONCEPT OF OPERATIONS (EOP 14)

Purpose of the Emergency Operations Center (EOC)

When a major emergency or disaster strikes centralized emergency management is necessary. When the EOC is activated, representatives from City departments will report to the EOC. Together they will be able to centralize city authorities, simultaneously coordinate department activities, and liaison with different levels of government. The EOC allows for face-to-face coordination among personnel who must set priorities for use of resources and evaluate the need to request mutual aid. The following functions are performed in the City's EOC:

- Receive and disseminate notifications of warnings (Police Department Watch Commander does this on off-duty hours.)
- Coordinate emergency operations between city departments, different levels of government, utility companies, school districts, non-profit organizations, elected and governmental officials, media, and public and private organizations. (all EOC Sections)
- Establish policies and determine whether to proclaim a Local Emergency (Management Section)
- Prioritize emergency response and the allocation of resources by setting strategy and carrying out coordinated tactical operations (Operations Section)
- Collect intelligence from, and disseminate information to, the various EOC representatives, Department Operations Centers (DOCs), Operational Area, State and Federal agencies (Plans Section)
- Coordinate the logistical support of resources (Logistics Section)
- Track costs of personnel, equipment, material, and damage to City sites (Finance Section)
- Coordinate the recovery of the city (Plans Section/Recovery Unit)

When Should the EOC be Activated?

1. An impending or declared State of War emergency exists.
2. An earthquake causing widespread damage occurs.
3. There is an uncontrolled release or failure of Prado Dam, tidal threat, tsunami or other flooding condition.
4. Any emergency situation has occurred or will occur that is of such a magnitude that it will require *a large commitment of resources from two or more city departments over an extended period of time* to control or mitigate. Incidents may include: fire, flood, hazardous materials spill, tornado, tsunami, major traffic accident, airplane crash, civil disturbance, terrorism, weapons of mass destruction, epidemic, and

release at San Onofre Nuclear Generating Station, accident at Seal Beach Naval Weapons Station or other unknown cause.

Who can activate the EOC? (EOP 41)

The following individuals or their appointed representatives are authorized to activate the EOC:

- City Manager (Director of Emergency Services)
- Assistant City Administrator (Assistant Director of Emergency Services)
- Fire Chief (Deputy Director) or on-duty Battalion Chief
- Police Chief or on-duty Watch Commander
- Public Works Director
- Administrative Services Director
- Municipal Operations Director
- Planning Director
- Recreation & Senior Services
- Emergency Services Manager

How do we activate the EOC? (EOP 20)

Initiate the *Emergency Alerting Process* by contacting the Police Dispatch Center. This alerting process and phone numbers are detailed in Section II. Call Police Dispatch at:

Police Dispatch 644-3717 or 9-1-1

Give Police Dispatch the following information:

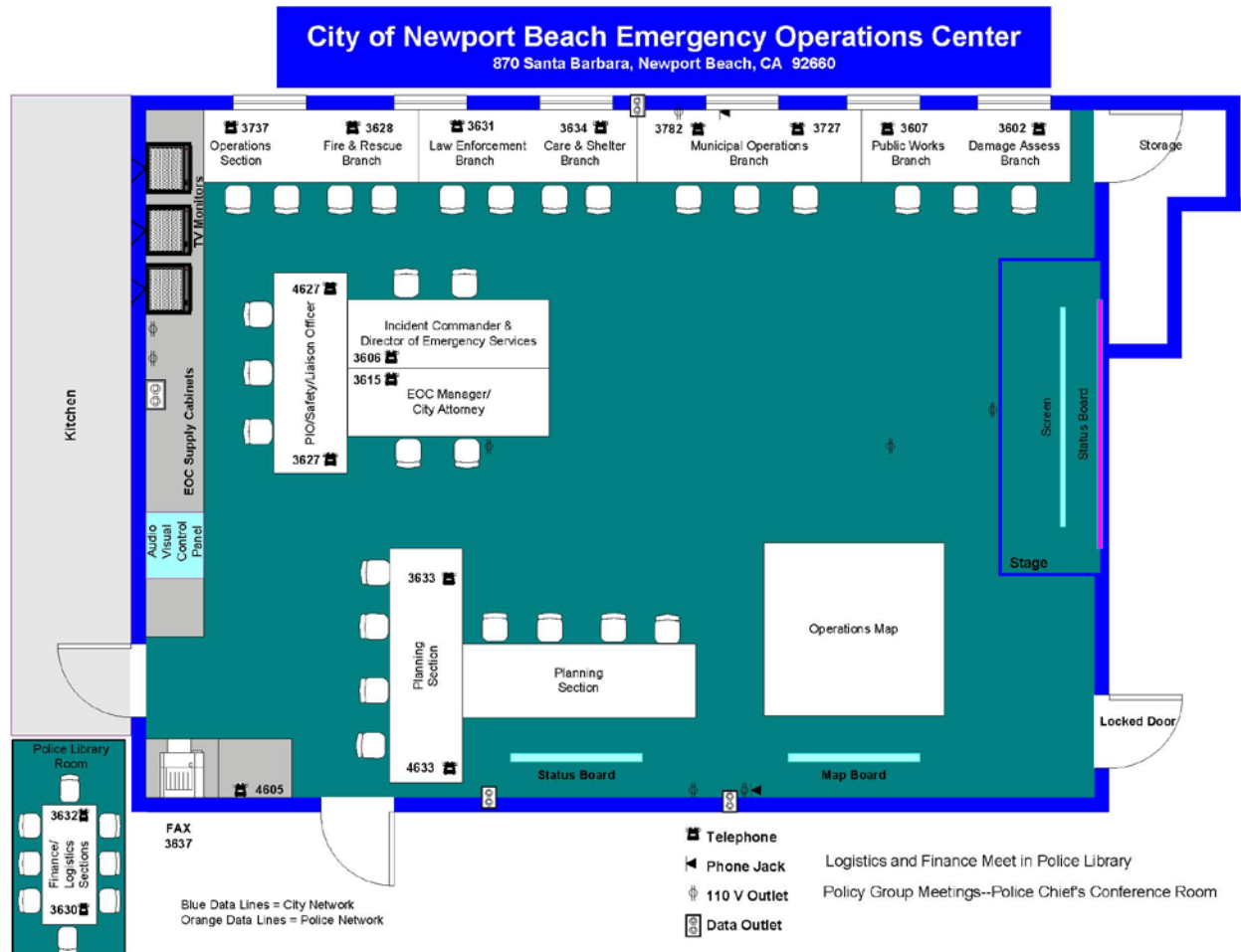
“We are activating the EOC.” State the following:

- Type of emergency _____
- Location of emergency _____
- Who should respond _____
- Any special precautions responders should take _____
- On whose authority the EOC is being activated _____

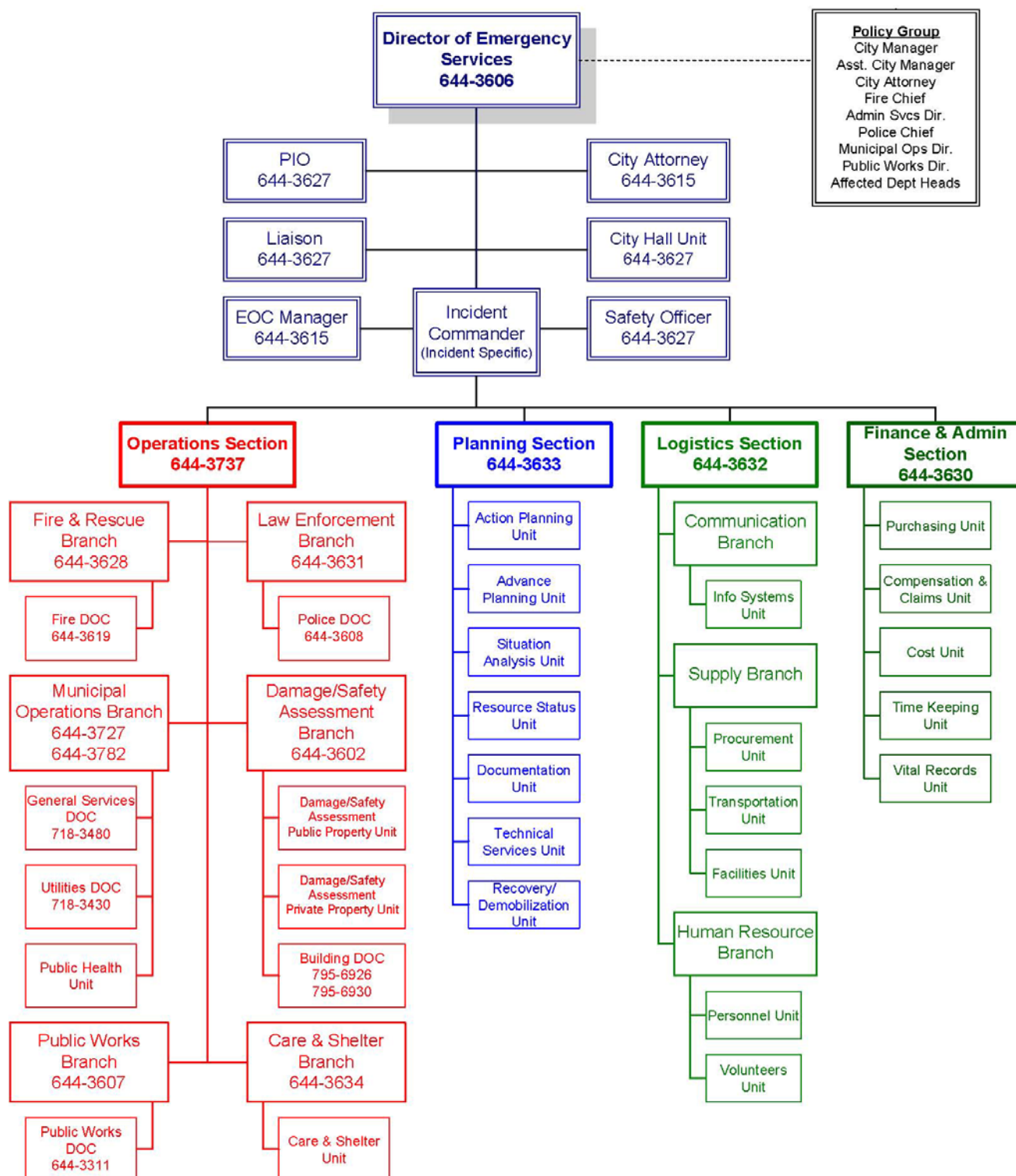
“Respond to the EOC immediately.”

Police Dispatch activates the AlertOC Mass Notification System to notify key EOC personnel who in turn recall their department personnel. All EOC personnel should keep the EOC Activation Plan that includes their department phone number rosters with them at all times.

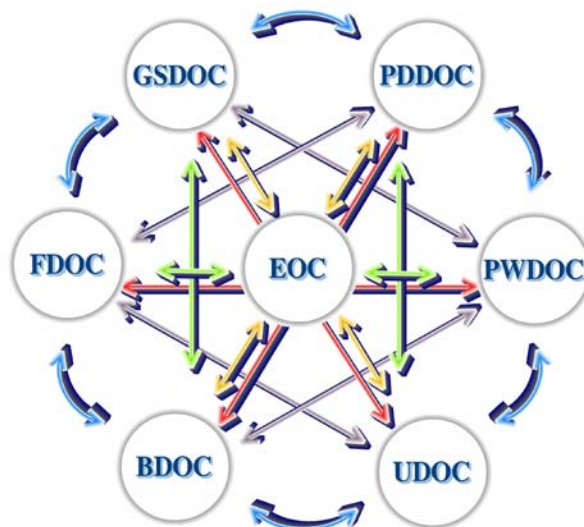
NEWPORT BEACH EOC LAYOUT (EOP 14)



NEWPORT BEACH EMERGENCY OPERATIONS CENTER ORGANIZATION (EOP 10,11)



DEPARTMENT OPERATIONS CENTERS (DOCS) (EOP 25)



BDOC: Building Department Operations Center
FDOC: Fire Department Operations Center
GSDOC: General Services Department Operations Center
PDOC: Police Department Operations Center
PWDOC: Public Works Department Operations Center
UDOC: Utilities Department Operations Center

Recreation and Senior Services does not operate with a Department Operations Center. In the event a shelter is opened, an EOC activation will immediately follow due to the Inter-Agency coordination required for the Care and Shelter function.

DIRECTOR LINE OF SUCCESSION

Director of Emergency Services

The following individuals are the only persons authorized to activate the City's Emergency Operations Plan and act as the Director of Emergency Services.

Director	City Manager
First Alternate	Assistant City Manager
Second Alternate	Fire Chief
Third Alternate	Police Chief
Fourth Alternate	Public Works Director
Fifth Alternate	Municipal Operations Director
Sixth Alternate	Finance Director
Seventh Alternate	Community Development Director
Eighth Alternate	Recreation & Senior Services Director
Tenth Alternate	Emergency Services Coordinator

Legal authorities for the position of Director of Emergency Services are outlined in the Municipal Code chapter 2.20.060.

CITY INCIDENT COMMANDER DESIGNATION **(EOP 22)**

The following is the normal means for designating the City Incident Commander. However, the Director of Emergency Services may appoint a different City Incident Commander at his/her discretion.

Responsible Department (by incident type)

Fire, Police, or Municipal Operations

Fire Department

- Earthquake
- Fire/Explosion
- Hazardous Materials Spill
- Pollution
- Radiological/Nuclear Incident
- Oil Spill/Pipeline Breakage
- Santa Ana Winds/High Winds
- Epidemic/Pandemic
- Environmental Disaster

Police Department

- Tsunami
- Civil Disturbance/Riot
- Bomb Threat
- Threat of Terrorism
- Major Traffic Accident
- Power Outage/Stage III Shortage
- Violence in Workplace/School/City
- Homeland Security Alert RED Level
- War

Unified Command

- Aircraft Accident: Police and Fire Unified Command (UC) – Operations Chief/Fire
- Flood/Storm/Tornado/Dam Failure: Fire and Municipal Operations UC – Operations Chief/Fire
- Terrorism/WMD: Fire and Police UC – Operations Chief/Fire
- Agriculture or Vector: Fire and Municipal Operations UC – Operations Chief/Fire

Lead For Each Department

	<u>Fire Dept.</u>	<u>Police Dept.</u>	<u>Municipal Operations Dept.</u>
Responsible Party:	Fire Chief	Police Chief	Director
First Alternate:	Deputy Chief	Police Captain Support	Deputy Director
Second Alternate:	Fire Marshal	Police Captain Det	Operations Manager

CITY FUNCTIONAL RESPONSIBILITY MATRIX

Part 1 of 2

P = Primary Function S = Support Function													
FUNCTION	Finance	Attorney	Building	City Manager	Clerk	Fire	Human Resources	Library Services	Municipal Operations	Planning	Police	Recreation	Public Works
MANAGEMENT SECTION				P		S					S		
Director of Emergency Services				P		S					S		
Incident Commander						P					P		P
City Attorney		P											
City Hall Services Unit	S			P	S		S		S				
Liaison Officer				S		P					S		
Public Information Officer				P		S					S		
Safety Officer						P					S		
EOC Manager						P					S		
OPERATIONS SECTION						P			P		P	P	P
<u>Law Enforcement Branch</u>											P		
Law Enforcement Unit											P		
Alerting & Warning Unit						S					P		
Coroner Unit						S					P		
Evacuation Control Unit						S					P		
Animal Control Unit											P		
<u>Fire & Rescue Branch</u>						P							
Fire Operations Unit						P					S		
Fire Tactical Unit						P					S		

CITY FUNCTIONAL RESPONSIBILITY MATRIX

Part 2 of 2

P = Primary Function S = Support Function													
FUNCTION	Finance	Attorney	Building	City Manager	Clerk	Fire	Human Resources	Library Services	Municipal Operations	Planning	Police	Recreation	Public Works
OPERATIONS SECTION						P			P		P	P	P
<u>Damage/Safety Assessment Branch</u>			P						S				S
Public Property Unit			P			S			S				S
Private Property Unit			P			S							
<u>Public Works Branch</u>													P
Public Infrastructure Unit			S						P	S			S
Bridge Inspection Unit									S				P
<u>Municipal Operations Branch</u>									P				
Streets & Sanitation Unit									P				S
Public Health Unit									P				
City Water & Outside Utilities Unit									P				
<u>Care & Shelter Branch</u>									S			P	S
Care & Shelter Unit									P			S	S
PLANNING SECTION						S				P	S		
Advance Planning Unit						S				P	S		
Sit Stat/Damage Assessment Unit			S			S			S	P	S		S
Documentation Unit					S			P	S	S	S		
Technical Services Unit						S				P	S		
Demobilization/Recovery Unit	P		S	S		S			S	P	S		S
LOGISTICS SECTION	P					S			S		S	S	S
<u>Communications Branch</u>	P					S			S		S		S
Supplies/Facilities Unit	P					S			S		S	S	S
Resource Status Unit						S		S	S	P	S		S
Transportation Unit									P		S		S
Personnel Unit				S			P		S			S	

PRIMARY EOCS, ALTERNATE EOCS (EOP 13)

Primary

Emergency Operations Center (EOC)
Police Department Auditorium

Phone
644-3730

The EOC is activated, and exercised regularly for both mock drills and live incidents. It is a non-dedicated facility. The facility is stocked with:

- Radios - Police, Fire and the County EOC (Police Dispatch)
- Amateur radios (RACES Radio Room)
- Live Time Television capability (Amateur Television ATV – from the police helicopter or from the ground)
- 15 phones (on two different systems)
- A generator (two-week diesel fuel supply)
- Fax
- Restrooms
- Emergency Operations Plans
- Maps and GIS
- Checklists for all EOC positions
- WebEOC software
- 7 Laptops

FIRST ALTERNATE

Center Library
1000 Avocado

Phone
717-3801

The Library has not been activated or exercised. The set up time for this facility is one hour.

SECOND ALTERNATE

Fire Station #7/ Training Center
20401 Acacia Street

Phone
644-3377

THIRD ALTERNATE

City Yard
592 Superior Avenue

Phone
644-3055

FOURTH ALTERNATE

PD Tactical Command Vehicle
870 Santa Barbara

Phone
644-3730

EMERGENCY OPERATIONS CENTER ACTIVATION LEVELS

The following Levels of Activation are consistent with the County of Orange, the Orange County Operational Area, and the State of California. *The EOC Staffing Levels are for Newport Beach only.* Department Operations Centers (DOCs) are activated at the department director's discretion.

Monitoring Level

A minor incident characterized by adequate local response capability and sufficient resources to favorably resolve the situation.

Typically, the EOC will be activated to a monitoring staffing level to include Emergency Services employees and one rep from Police, Fire and Municipal Operations. Lifeguards will be included if the threat includes the beach area.

Level I

A minor to moderate incident characterized by adequate local response capability and sufficient resources to favorably resolve the situation. A **Local Emergency** may or may not be proclaimed. The EOC may or may not be activated.

Typically the EOC will be activated to a monitoring level, as above, with the addition of selective department staffing.

Level II

A moderate to severe emergency characterized by a possible need for Mutual Aid to ensure a favorable resolution of the situation. In most cases, a **Local Emergency** will be proclaimed. A **State Of Emergency** may be proclaimed. Activation of the EOC may occur. Activation of the Fire Operations Center (FDOC), Police Department Operations Center (PDOC), Public Works Department Operations Center (PWDOC), Building Department Operations Center (BDOC), General Services Department Operations Center (GSDOC), and Utilities Department Operations Center (UDOC) may occur, as needed.

Typically the EOC will be activated at a selective department staffing to a full EOC staffing level.

Level III

A major disaster exemplified by depletion of resources and Mutual Aid response capability area wide, necessitating extensive Statewide and Federal assistance. Generally, a **Local Emergency** and **State of Emergency** will be proclaimed. A Presidential Declaration may or may not be proclaimed.

Typically the EOC will be activated at full staffing levels

EOC Incident Action Plans (IAPs)

Using EOC Incident Action Plans (IAPs) will provide specific direction to EOC personnel by providing them with knowledge of the objectives to be achieved and the steps required for achievement. EOC IAPs not only provide direction, but also serve to provide a basis for measuring achievement of objectives and overall system performance. EOC action planning is an important management tool that involves a process for identifying priorities and objectives for emergency response or recovery efforts. EOC action planning also provides documentation of the priorities, objectives, associated tasks, and the personnel assigned to perform the tasks.

The EOC IAP may or may not be the overall action plan for the entire incident. For example, if an Area Command is established at another facility and it develops an IAP for the entire response, then the Newport Beach EOC may develop its own EOC IAP that only addresses its portion of and obligations under the Area Command's IAP. There may also be incidents when the on-scene Incident Command Post (ICP) develops an IAP and the EOC again develops one relevant to its mission and role in support of the ICP plan. In other cases, the EOC IAP will serve as the primary response policy.

Newport Beach follows the NIMS incident planning process and standards. The EOC IAP is a living document prepared based on the best available information at the time of the planning meeting. EOC IAPs are developed for a specified operational period that may range from a few hours to 24 hours. The operational period is determined by first establishing a set of priority actions that need to be performed. A reasonable time frame is then established for accomplishing those actions. The EOC IAPs need not be complex, but should be sufficiently detailed to guide EOC elements in implementing the priority actions. The format of the EOC IAP will depend upon the time since the incident occurred and the magnitude of impact. For the initial response, the format may be limited to a well-prepared outline for an oral briefing. For most incidents that will span multiple operational periods, the plan will be developed in writing according to ICS procedures.

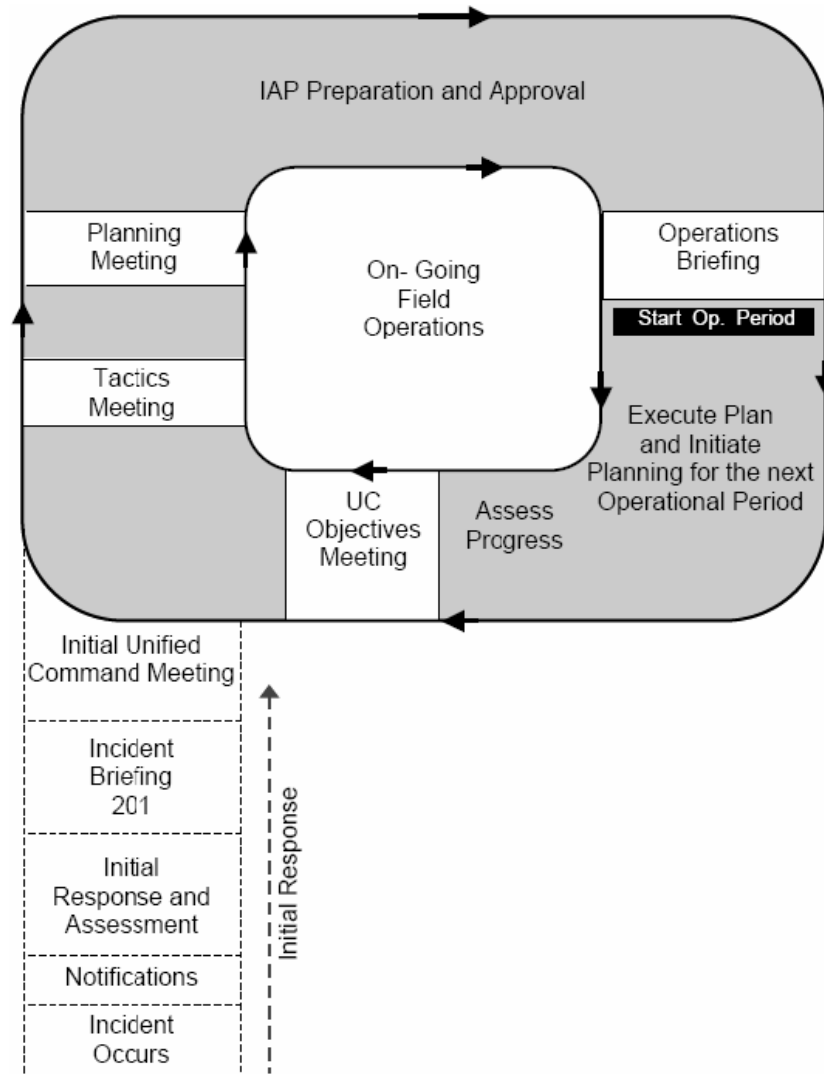
The EOC action planning process should involve the Director of Emergency Services (DES) and General Staff along with other appropriate EOC elements, school district representatives, and other agency representatives as needed. The Planning Section is responsible for development of the EOC IAP and for facilitation of EOC action planning meetings. Planning meetings will not be delayed in anticipation of future information. During the planning meeting, the DES, Section Chiefs, and Policy Group/other representatives (when appropriate) will develop the plan collectively. The planning process will begin upon the activation of the City EOC and will consist of the following steps:

1. Gathering, recording, analyzing, and displaying situation and resource information in a manner that ensures a clear picture of the magnitude,

- complexity, and potential impact of the incident (Planning Section with input from General Staff).
2. Gathering information to support incident planning and decision-making (General Staff).
 3. Conducting a briefing on situation and resource status (Planning Section).
 4. Formulating and prioritizing measurable incident objectives that conform to the legal obligations and management objectives of all affected agencies, and identifying appropriate strategies (DES with consultation from General Staff and others).
 5. Identifying reasonable alternative strategies that will accomplish overall incident objectives to determine the most appropriate strategy for the situation at hand (DES with consultation from General Staff and others).
 6. Consider the needs of citizens, including the disabled and/or those with special needs, in developing response strategies.
 1. Development of evaluation criteria to include public health and safety factors, estimated costs, and various environmental, legal, and political considerations (DES with consultation from General Staff and others).
 2. Plotting control lines and division boundaries (Operations Section).
 3. Determining the tactical direction and the specific resource, reserves, and support requirements for implementing the selected strategy for one operational period. (Operations Section).
 4. Specifying resources needed by Division or Group (Operations Section, Planning Section).
 5. Specifying facilities to support operations (Operations Section, Planning Section, and Logistics Section).
 6. Placing resource, facility, and overhead personnel orders (Logistics Section).
 7. Assessing communications, medical, and traffic plan requirements (Planning Section, Logistics Section).
 8. Drafting and approving the EOC IAP (DES, Planning Section, and Operations Section).
 9. Distributing the approved EOC IAP to all applicable responders for implementation (Planning Section).
 10. Comparing planned progress with actual progress (General Staff).
 11. Tracking deviations that occur from the EOC IAP and emerging information to include in the first step of the process for modifying the current plan or developing the plan for the subsequent operational period (General Staff).

The planning process should provide the following:

- Current information that accurately describes the incident situation and resource status
- Predictions of the probable course of events
- Alternative strategies to attain critical incident objectives
- An accurate, realistic EOC IAP for the next operational period



CHAPTER 5 - EOC FUNCTIONALITY (EOP 10, 39)

Functions and Responsibilities

Response and recovery functions, as well as specific guidelines for accomplishing these functions are contained in the Functional Plans in Part Two of this document. Responsibilities for discharging the duties associated with these functions are depicted in the **Functional Responsibility Matrix**. A synopsis of each functional plan and who is assigned *primary* responsibility follows:

The following positions are located in the EOC

MANAGEMENT SECTION

(EOP 24, 40)

Director of Emergency Services/Management- (City Manager's Office)

Provides for the overall management and policy direction of mitigation, preparedness, response, and recovery operations; leads Policy Group. It includes a checklist for the position of *Director of Emergency Services*.

City Incident Commander- (Fire, Police, Municipal Operations, and Public Works Departments)

Provides for the management of the overall Emergency Management Organization, implements policy direction; leads EOC. It includes a checklist for the position of *City Incident Commander*.

City Attorney- (City Attorney's Office) (EOP 27)

Provides for the legal advice to the Director of Emergency Services in all legal matters relative to the emergency and assists in the preparation of legal documents including resolutions proclaiming a Local Emergency and emergency ordinances. It includes a checklist for the position of *City Attorney*.

Public Information- (City Manager's Office)

Provides guidance for the information, alerts, and warnings to the public. Updates media, public, and outside organizations on the situation. It includes checklists for the *PIO* and Support Staff.

Liaison- (Fire Department)

Discusses the role of the *Liaison* in coordinating with responding Agency Representatives, different levels of government and elected officials. It includes checklists for the *Liaison*.

Safety Officer- (Fire Department)

Provides for safety for the emergency response organization in the EOC; coordinates with Field Safety Officers. It includes a checklist for the EOC position of *Safety Officer*.

EOC Manager- (Fire Department)

Provides for the coordination of the EOC facility; facilitates communication; guides responders through responsibilities; advised DES and IC on emergency response procedures; initiates Planning to begin recovery procedures. It includes a checklist for the position of *EOC Manager*.

City Hall Services- (City Manager's Office)

Maintains City Hall services during disaster operations; anticipates recovery needs of residents and businesses; provides information to the public based on the Public Information Officer's approved releases; provide a positive public image combined with a high level of customer service

OPERATIONS SECTION

(EOP 41)

Operations Section- (Fire Department)

Provides for the strategy and coordination of tactical operations based upon the EOC Incident Action Plan (IAP), ensuring a coordinated incident response by all branches of the Operations Section. It includes a checklist for the position of *Operations Section Chief*.

Fire and Rescue Branch- (Fire Department)

Provides for fire suppression; hazardous material incident response; medical operations; urban search & rescue; heavy rescue; radiological protection. Maintains lists of available accessible resources from neighboring jurisdictions, other government agencies, and volunteer and private sector. Maintains critical resources required or technical assessment. It includes checklists for the positions of *Fire & Rescue Branch Director* *Fire & Rescue Department Operations Center Director*, and *Fire Tactical Officer*.

Law Enforcement Branch- (Police Department)

Provides for the enforcement of laws, orders, and regulations; traffic, access, and perimeter control; alerting & warning; evacuation; protection of incarcerated persons; security for critical facilities; coroner operations. It includes checklists for *Police Branch Director*, *Police Department Operations Center Director*, *Police Tactical*, *Police* and *EOC Security Officer*.

Damage/Safety Assessment Branch- (Community Development Department)

Inspection of Essential Service Facilities; assist in search and rescue operations; estimate total dollar damage within the City; inspect the City at large for structural hazards. It includes checklists for *Damage/Safety Assessment Branch Director*, *Building Department Operations Center Director*, *Public Property Unit*, and *Private Property Unit*.

Public Works Branch- (Public Works Department)

Traffic signal coordination, closes off unsafe areas; bridge inspection, repairs infrastructure damage or manages contracts for repair. It includes checklists for *Public Works Branch Director* and *Public Works Department Operations Center Director*.

Municipal Operations Branch- (Municipal Operations Department)

Debris removal; assist in heavy rescue operations; assists fire service personnel and law enforcement in execution of access and perimeter control and hazardous material incident operations; manages all infrastructure problems; closes off unsafe areas; inspections and advisory services related to sanitation matters, public health related activities, safe drinking water for the public coordinates with utility companies. It includes checklists for *Municipal Operations Branch Director, Municipal Operations Deputy Branch Director, General Services Department Operations Center Director, Utilities Department Operations Center Director, and Public Health Unit leader.*

Care & Shelter Branch-(Recreation & Senior Services Department and American Red Cross)

Organizes the sheltering, feeding, and crises counseling needs of the community. It includes a checklist for the *Health & Welfare Branch Director, and Care & Shelter Unit Leader.*

PLANNING SECTION

(EOP 42)

Planning Section- (Community Development Department)

Manages the Situation Status, Damage Assessment, Recovery, Technical Specialist(s) and Documentation operations of the EOC, relative to the collection and collation of disaster intelligence and damage assessment figures and Operational Area reporting process. Provides for Policy Group briefings, creation of the EOC Action Plan, and incorporating recovery needs into the planning process. It includes a checklist for *Planning Section Chief and the Technical Specialist Unit.*

Action Planning Unit (Police Department)

Develops the Incident Action Plan (IAP). The IAP includes the overall incident objectives and strategies established by the Incident Commander. The IAP also addresses tactical objectives and support activated for one operational period, generally 12 to 24 hours. It includes the checklist for the *Action Planning Unit.*

Advance Planning Unit- (Community Development Departments)

Responsible for gathering, analyzing, evaluating, displaying, forecasting and disseminating the advance plan to the *Planning Section.* The *Advance Planning Unit* could change their role at the end of a major incident and assume the functions of the *Recovery Unit.* It includes checklist for *Advance Planning Unit Leader.*

Situation Analysis Unit- (Community Development Departments)

Collects and processes information on incidents, situations, and status. It Includes checklists for the *Situation Analysis Unit Leader and Display Processors.*

Resource Status Unit (Community Development Department)

Obtains current resource status from the Operations Branches and/or DOCs, establish and maintains a resource status display. Establish communications with Field Staging Managers. It includes a checklist for *Resource Status Unit Leader*.

Demobilization Unit/Recovery Unit- (Community Development Department)
Develops a plan at the onset of the disaster for the City to recover from the incident. May serve as the Incident Commander when all life saving operations are complete. Includes checklists for *Recovery Unit Leader* and *Demobilization Unit Leader*.

Documentation Unit- (City Clerk Department)
Responsible for creating and maintaining the necessary records documenting all disaster response and recovery activities. It includes checklists for *Documentation Unit Leader* and *Computer Documentation/Message Runner*.

Technical Services Unit- (Conditional on Incident Type)
Uses special skills/knowledge in advising the Planning Section of incident specific information. May be required to brief the Policy Group, conduct training for responders, and provide media interviews.

LOGISTICS SECTION

(EOP 43)

Logistics- (Administrative Services Department)
Manages the Resource Status, Supplies, Communications, Human Resources, Transportation Resources, and Volunteers. It includes a checklist for *Logistics Section Chief*.

Supplies/Procurement, Transportation & Facilities Unit- (Administrative Services and Police Departments)
Provides operational concepts, policies, and procedures regarding the procurement and allocation of materials; facilities coordination; provides logistical support for response/recovery operations. Coordinates the sources and methods for obtaining and using facilities, equipment supplies, services, and other resources to support emergency response at all operational sites. Maintains City inventory list in EOC. Includes a checklist for the *Supply/Procurement Unit Leader*.

Communications Branch- (Administrative Services Department)
Maintaining Federal, State, Operational Area, County, and City communications systems, as well as amateur radio; coordinates RACES operations. It includes checklist for *Communications Branch Director, Telecommunications Unit Leader, and Information Systems Unit Leader*.

Personnel Unit- (Human Resources)
Coordinates requests for personnel between Departments, for the EOC, DOCs, and Field Operations. It includes checklist for *Personnel Unit Leader*.

Resource Status Unit- (Administrative Services Department, Library Services Department)

Provides for the preparation, processing and updating resource status information; preparing and maintaining displays, charts, lists reflecting current status and location of tactical resources, transportation and support vehicles; and maintaining a file of check-in lists of resources assigned to an incident. It Includes a checklist for *Resources Status Unit Leader*.

FINANCE & ADMINISTRATION SECTION

(EOP 44)

Finance & Administration- (Administrative Services Department)

Recommend financial policies to Policy Group and carries out agreed upon policies; manages the financial claims process working with Cal EMA and FEMA. Includes checklists for: *Finance & Administration Section Chief, Cost Unit Leader, Timekeeping Unit Leader, Compensation & Claims Unit Leader, and City Hall Services Unit Leader*.

Compensation & Claims Unit-(Human Resources Department)

Accepts, as agent for the City of Newport Beach, claims resulting from an emergency; collects information for all forms required by Workers' Compensation and local agencies; maintains a file of injuries and illnesses associated with the personnel activity at the EOC; provides investigative support in areas of claims presented to the City of Newport Beach in the area of: Workers' Compensation, property damage, and liability.

Cost Unit- (Administrative Services Department)

Provides all cost analysis activity associated with EOC operation; documents information for reimbursement from the State and Federal governments; obtains and records all cost data for the emergency; ensures the proper identification of all equipment and personnel requiring payment; coordinates documentation of costs with other sections and departments; analyzes and prepares estimates of EOC costs; coordinates cost recovery with disaster assistance agencies.

Purchasing Unit- (Administrative Services Department)

Procures, rents, and contracts for needed resources to meet the needs of the emergency. Includes a checklist for the *Purchasing Unit Leader*.

Timekeeping Unit- (Administrative Services Department)

Tracks, records, and reports staff time for all personnel/volunteers working at the emergency; establishes and maintains a file for all personnel working at the emergency; ensures daily personnel time recording documents are prepared and are in compliance with specific City, Cal EMA, and FEMA time recording policies; tracks, records, and reports equipment use and time.

Vital Records Unit- (City Clerk's Office)

Provides for pre-event, event, and recovery of the City's vital records (including both hard copy and computer records). Includes a checklist for the position of *Vital Records Unit Leader*.

ENTITIES WHO SUPPORT THE EOC
(EOP 12)

Volunteer Organizations

RACES (Radio Amateur Civil Emergency Service)- The City's Police Department coordinates a group of approximately 35 trained, volunteer amateur radio operators each with their own communications equipment who respond to the City in emergencies and provide auxiliary communications for City Departments with field responsibilities. These volunteers are the primary communications link to all public and private schools. They can be dispatched to facilities, as needed, to provide communications support. RACES can be assigned to shelters, assist in employee emergency family notification, and be sent to assist other jurisdictions on mutual aid responses.

Community Emergency Response Teams (CERT)- A program developed by the Fire Department to train neighborhoods, schools and businesses throughout Newport Beach in disaster preparedness and emergency response skills. CERT Volunteers will manage their own response, search for and rescue trapped victims, treat minor injuries, transport the seriously injured, extinguish small fires, shut off leaking utilities, cordon off hazardous areas, and assist City personnel, whenever possible.

American Red Cross- The American Red Cross operates under a Federal Mandate to provide care and shelter to displaced victims in a disaster. They are the first non-City volunteer group to be called and provide the primary sheltering operations. City forces will support the American Red Cross and in fact some City employees have been trained as American Red Cross Volunteers.

Salvation Army, Church Groups, and other Volunteer Groups- The City will contact other groups as needed. These groups provide many services to heavily impacted areas in a disaster, including care and shelter. They may provide for emotional comfort, as well as physical comfort. They may also assist in managing donated goods.

Hospitals

Hoag Hospital- Hoag Hospital is a paramedic receiving hospital located at 1 Hoag Rd. The hospital has space for 400 beds. Hoag maintains a disaster plan, training in Hospital ICS, and emergency response equipment. (California's Title 22, Sections 72551 and 25553 require hospitals to have disaster plans along with the Joint Commission on the Accreditation of Hospitals.)

School Districts

All schools in California must have disaster plans and a system to care for students for up to 72 hours following an emergency per SB 2786, the Katz Bill of 1984. The City

Emergency Services Office has programs to train schools on disaster preparedness and how to manage an emergency until public safety personnel arrive and take control of the situation. The City has classes available to Newport Beach school personnel free of charge; i.e., Disaster Preparedness for Schools, CPR, First Aid, Search & Rescue, Care & Shelter, and Fire Extinguisher Use. Schools are a Fire Department community education target group and the Emergency Services Office has placed a high priority in educating and training school personnel.

Newport-Mesa Unified School District (NMUSD) NMUSD has grades K-12; is responsible for approximately **10,000 students**, and has two (2) high schools located within Newport Beach: Newport Harbor and Corona del Mar. The Superintendent's Office and the transportation and warehouse yards are located at 2985 Bear St., Costa Mesa. NMUSD has a disaster plan, communications from schools to the district, practices drills, and has emergency supplies. RACES provides emergency communications from the district headquarters to the City EOC. Both high schools are among possible locations to be used as public shelters. Some of the intermediate schools in the district may also be designated as shelters for Newport Beach residents.

Private Schools There are 5 private schools located within Newport Beach. Each has been requested to provide amateur radio operators to communicate with the City EOC.

Preschools There are over 10 preschools located throughout the City not including home pre-schools and day-care businesses.

Utilities

Water/Wastewater- Water and wastewater services throughout the City are provided by the City of Newport Beach, CMSD, MCWD, and IRWD. When the EOC is activated, a City water representative will respond to the Utilities DOC to coordinate restoration of water services.

Costa Mesa Sanitary District- 24 hour phone number (714) 754-5251 (CMPD Communications)

The Gas Company- 24 hour emergency phone number 714-835-3342. When the EOC is activated, the Gas Company is coordinated by the *Operations Section, Construction & Engineering Branch*.

Irvine Ranch Water District (IRWD)- 24 hour phone number (949) 453-5300

Mesa Consolidated-24 hour phone number (714) 754-5251 (CMPD Communications)

Orange County Sanitation District- 24 hour emergency phone number 714-593-7025. When the EOC is activated, the Sanitation District is coordinated by the *Operations Section, Municipal Operations Branch*.

AT&T - 24 hour phone number is 800-807-4205. When the EOC is activated, AT&T will be coordinated by the *Logistics Section/Telecommunications Unit*.

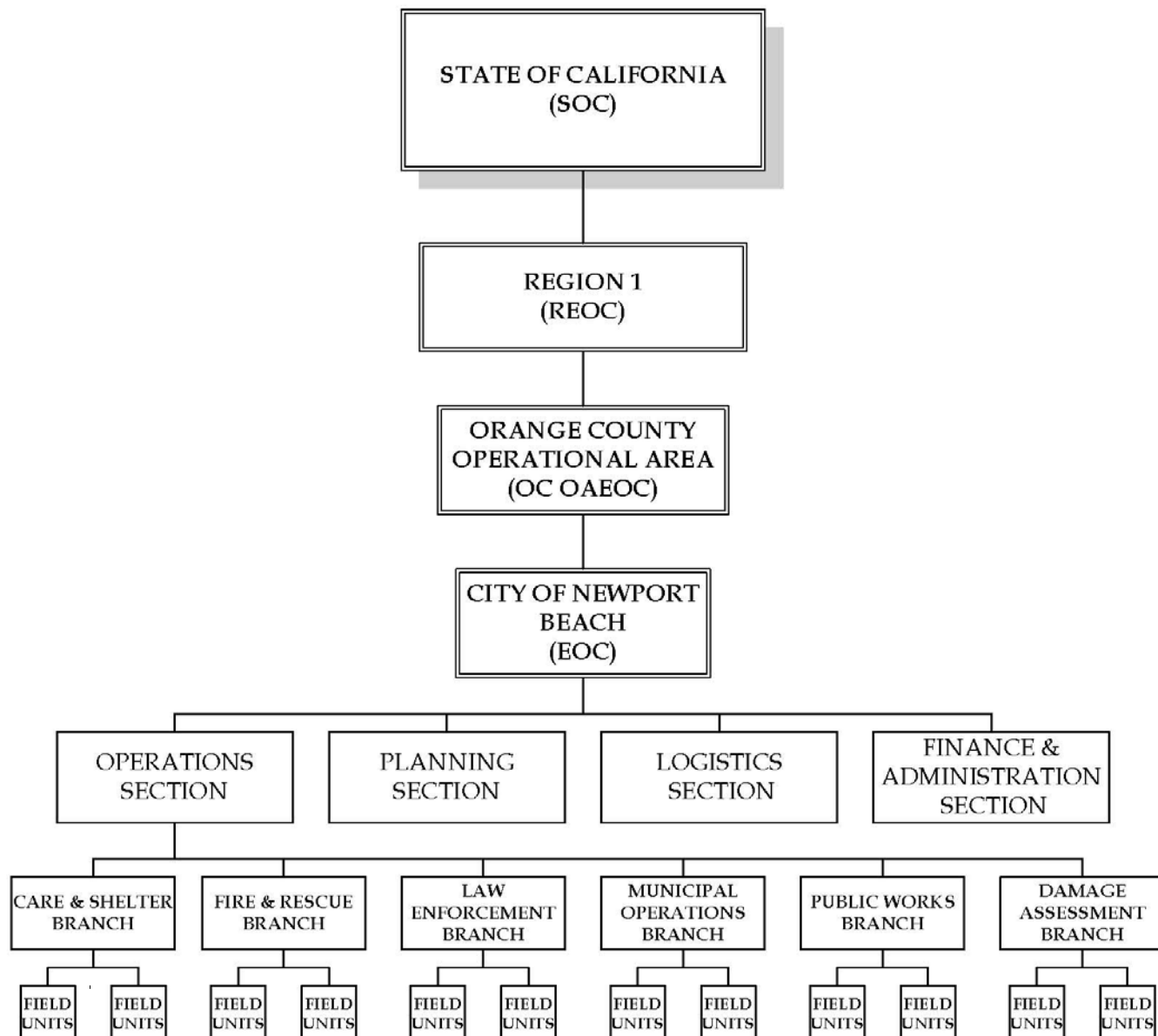
Verizon - 24 Hour phone number is 800-483-0722.

Southern California Edison- 24 hour emergency phone number is 800-962-6269. When the EOC is activated, SCE is coordinated by the *Operations Section, Municipal Operations Branch*.

Continuity of Government

The California Emergency Services Act, as well as the Constitution of California, provides the authority for State and Local government to reconstitute itself in the event incumbents are unable to serve. For details on Continuity of Government, see Attachment F. (EOP 17)

NIMS/SEMS LEVELS ORGANIZATION CHART (EOP 21)



A series of forms have been developed by the Operational Area to report status of each function involved in a disaster. The list of forms, when each should be sent to the Operational Area, who at the EOC is responsible to send the form and who the form should be sent to are listed on the summary sheet in the Planning Section, Enclosure 1. These forms are to be faxed or e-mailed to the Operational Area EOC. If the fax or e-mail is not operational, the following page lists other SEMS Coordination/Communication Links.

NIMS/SEMS COORDINATION/COMMUNICATIONS LINKS

(EOP 21, 23)

NIMS/SEMS Coordination Links

I. Field Level to the Newport Beach EOC Operations Section

A. Fire & Rescue Branch

- * The Fire Department has a Fire Department Operations Center (FDOC) which is activated for major incidents to coordinate fire and lifeguard resources. It is located in Fire Station #3 at 868 Santa Barbara Dr. All fire radios operate on 800 MHz frequency.
- * When the FDOC is activated, phones are used to contact the EOC.
- * Fire Department field personnel and field units have radios able to communicate with the Fire Dispatch Center and with the FDOC.
- * Cellular phones are available to fire personnel including: Fire Chief, BC's, Backup BC, all fire apparatus, all Lifeguard Watch Commanders and Division Supervisors, PIO, Emergency Services Coordinator, and Support Staff.
- * Fax machines are located in the EOC, FDOC and Fire and Lifeguard Dispatch Centers.
- * The Fire Department can communicate with County Communications by radio or phone.

B. Police Branch

- * During major police incidents, the Police Department activates its Police Department Operations Center (PDOC) to coordinate resources. It is located in Police Headquarters at 870 Santa Barbara Dr.
- * Police Department field personnel have hand-held and vehicle radios from the field units to the Police Dispatch Center and to the PDOC. The Police Dispatch Center is located in the Police Headquarters.
- * The EOC is located in the same building as the Police Dispatch Center and PDOC.
- * Police helicopter personnel can communicate with both the Police Dispatch Center and the Fire Dispatch Center.
- * Selected Police Department personnel are assigned cellular phones including: Police Chief, Vice Detectives, Support Staff, and Narcotics Detectives.
- * Police can communicate with County Communications by radio, phone, or California Law Enforcement Telecommunications System (CLETS).

C. Public Works Branch

- The Public Works Department Operations Center is located at 3300 Newport Blvd.
- Public Works field units have radios, which are on the local government municipal frequency. This department has many Nextel Cellular Phones possessing radio features, as well.

D. Municipal Operations Branch

- This Branch is staffed by two different Department Operations Centers (DOC) which coordinate their resources. The General Services DOC is located at 592 Superior. Public Works is located at 3300 Newport Blvd. The Utilities DOC is located at 949 W. 16th Street.
- All field units have radios, which are on the local government municipal frequency. They also have cellular phones.
- Fax machines are located in the EOC and all DOCs.

E. Care & Shelter Branch

- This branch is coordinated by the Recreation & Senior Services Department and will be managed in cooperation with the American Red Cross, Public Works, Newport-Mesa Unified School District, and Public Health, if needed.
- During an incident requiring the activation of a shelter, the EOC will automatically activate to assist in the management of the incident. Recreation & Senior Services does not maintain a DOC, and will conduct coordination at shelter sites and the EOC.
- Recreation & Senior Services can communicate with other City departments by cellular phone.
- RACES will be used as backup communications for shelter operations.

F. Logistics Section/Communications Unit/RACES

- * The Administrative Services Department manages the Logistics branch. Communications are coordinated out of this branch, and Logistics will rely mainly on cell phones.
- * RACES will be used as a backup for Operations Sections Branches who have communications system failures.

II. City of Newport Beach EOC to Operational Area EOC

- * Telephones at the OA EOC (714) 628-7060, (714) 628-7055
- * Fax at the OA EOC (714) 628-7154
- * EOC-to-EOC Radio System (located in Police Dispatch, communication from city to city and city to county)
- * Amateur Radio Frequencies used by RACES

- * Primary VHF Repeater 145.160/156.7 PL
- * Primary VHF Simplex 147.585
- * Secondary Simplex 147.570
- * Primary UHF Repeater 445.4000/110.9 PL
- * Primary UHF Simplex 445.000
- * Amateur radio-packet system
- * Fire Department and Police Department radios can communicate with OC Communications as part of the 800MHz system.

III. Operational Area EOC to Southern Region REOC

- | | |
|---------------|------------------|
| 1. Telephones | 3. OASIS |
| 2. Fax | 4. Amateur Radio |

IV. Southern Region REOC to State SOC

- | | |
|---------------|------------------|
| 1. Telephones | 3. OASIS |
| 2. Fax | 4. Amateur Radio |

CHAPTER 6 - RECOVERY OPERATIONS

(EOP 29)

Introduction

Recovery refers to those measures undertaken by an entity following a disaster that will return all systems (utilities, roads, government offices, etc.) to normal levels of service. A successful recovery starts at the moment of impact. There is no clearly defined separation between response and recovery. The tasks are different from response, but they should be carried out simultaneously. Establishing a recovery organization prior to a disaster has proven effective in enabling a smooth and speedy recovery. Emergency response personnel are to continue using SEMS/NIMS principles and procedures during recovery.

Recovery operations differ significantly from emergency response activities, which are greater in the SEMS/NIMS Operations and Logistics functions. Recovery activities see much more activity in the Finance/Administration and Planning functions and less in the other SEMS functions. The Orange County Operational Area (OA) also plays a different role in recovery than in response. The OA may act as an information and coordination point for its constituent jurisdiction; however, each local jurisdiction works directly with state and federal recovery programs rather than having to go through the OA. The organizational goal is no longer life saving, it is now recovery. Recovery priorities include:

- Financial recovery
- Rebuilding and construction
- Business recovery
- Recovery of public facilities and services
- Temporary/permanent housing issues

The City's Emergency Operations Center (EOC) will be activated to manage the disaster/emergency and will continue operations into the recovery phase. It is possible, based on the size and length of the recovery period that operations may shift to a Recovery Coordination Center. Even though response efforts may take priority over recovery efforts, a representative from the Community Development Department will be assigned to lead the Advanced Planning Unit during the height of response operations. In that role, that individual will begin assessing the situation to identify recovery priorities and straw-man strategies for consideration.

When all life saving and immediate property protection operations are complete, the Director of Emergency Services will determine who will act as the lead for all recovery operations. The organizational structure will remain largely intact as described in coming sections; however, it will be altered to some degree to reflect its new mission.

For the sake of planning, it is assumed that the DES will appoint the Planning Director as the Incident Commander.

The Planning IC will set recovery priorities and staff a Recovery Team, which may or may not include the Emergency Response Organization participants from the response phase. The priorities for this Section will be as follows:

- Re-establish essential public services.
- Permanently restore City property.
- Coordinate services to assist in the restoration of private property.
- Coordinate services to provide temporary housing, if appropriate.
- Assist in removing barriers to the recovery of the affected population.
- Assist in removing barriers to business recovery including economic development, redevelopment, and new development.
- Identify residual hazards.
- Plan to mitigate future hazards.
- Make recommendations on City infrastructure restoration priorities.
- Coordinate cleanup and debris removal operations.
- Facilitate the rebuilding process and expedite the permit process.
- Recover costs associated with response and recovery efforts.
 - Aggressively identify all damages, and seek financial reimbursement from FEMA, State Cal EMA, and other organizations as appropriate.
 - Assist departments in identifying all funding sources made available through legislation and disaster grants.

Phases of Recovery

Short-term

Short-term recovery is associated with periods ranging from a few days to approximately six months after a disaster. Activities include rapid damage assessment, debris removal, temporary relocation of residents and businesses, immediate restoration of services, temporary financial relief to disaster victims, immediate abatement of extreme hazards, and crisis counseling to disaster victims. The restoration of infrastructure is also one of the highest priorities because it impacts many other elements of the recovery. In particular, focus should be placed on the restoration of:

- Electric power
- Communications
- Water and sewer
- Facilities designated as critical or essential to the City
- High impact areas and special districts, schools, and hospitals
- Economic and social systems of the community

Since these restoration activities will have been initiated during the response phase, continued coordination into the recovery phase is necessary to follow-through with high priority areas for service resumption.

Mid-Term (six months to two years)

In the mid-term phase of recovery the most vital services have been restored, but life may not be characterized as "back to normal." This period, which ranges from months to two years, may be characterized by the following:

- Large numbers of displaced persons may still be living in temporary housing.
- Businesses are once again open, but they may be operating from temporary facilities.
- Transportation arteries may be open, but they are not fully restored.
- Government, private and non-profit sectors, and individuals may have applied for grants and loans but have not received the money.

Behavioral health services become paramount during this time since disaster victims experience the stresses related to coping with the ongoing disaster effects.

Long-Term (two to ten years or longer)

Long term recovery and reconstruction takes many months to many years to accomplish. Tasks may involve the following:

- Reconstruction of damaged buildings
- Permanent re-establishment of public, private, and non-profit services
- Economic rebound
- Long-range planning for hazard mitigation and land use
- Treatment of physical and psychological injuries

Long-term recovery for severely traumatized victims may involve bringing those individuals into the county mental health system for care. Additional long-term considerations include updating plans based on lessons learned and conducting post-incident assessments.

Recovery Organization

In the aftermath of a disaster, all departments will be responsible for carrying out some portion of the recovery and reconstruction duties and assisting in the preparation of the City's Recovery and Reconstruction Plan. Some departments may even be expected to defer some of their normal day-to-day operations in order to devote personnel and equipment to carrying out functions during the initial phase of recovery.

Any City department, whether or not it has been assigned specific recovery role, may be called upon to provide recovery assistance to other departments. Some departments

may be designated to coordinate or assist in coordinating recovery functions between departments and/or outside agencies. All departments will be expected to comply immediately with reasonable requests for assistance from the Recovery IC. In the event that a request will impede the ability of the department to fulfill its normal primary and non-deferrable mission, the Recovery IC will inform the Director of Emergency Services. The final decision to divert a department's resources from its normal primary and non-deferrable mission to recovery support activities will be made by the Director of Emergency Services.

Recovery Coordination Center

Since recovery operations may go on for years, it is not always advantageous to have recovery operations based from the City EOC since the EOC may be needed to support new emergency response operations. Instead, the Director of Emergency Services, in consultation with the Policy Group and Recovery IC, may select to move recovery operations to another facility, which will be designated as the Recovery Coordination Center (RCC).

Additional consideration should be given to co-locating the RCC with a facility to provide service to the public. This "one stop" opportunity for citizens may address temporary housing, demolition permits, building permits, federal grants, tax relief, American Red Cross services, etc. FEMA refers to this type of facility as a Disaster Recovery Center (DRC). Prior to renting or assigning the facility, coordination with Cal EMA and FEMA is recommended. The RCC should be a facility that is available to support the potential long-term needs of the recovery operation. It should be convenient to City personnel, have appropriate security and capabilities, and be accessible to citizens should it be used as a service center to support constituents.

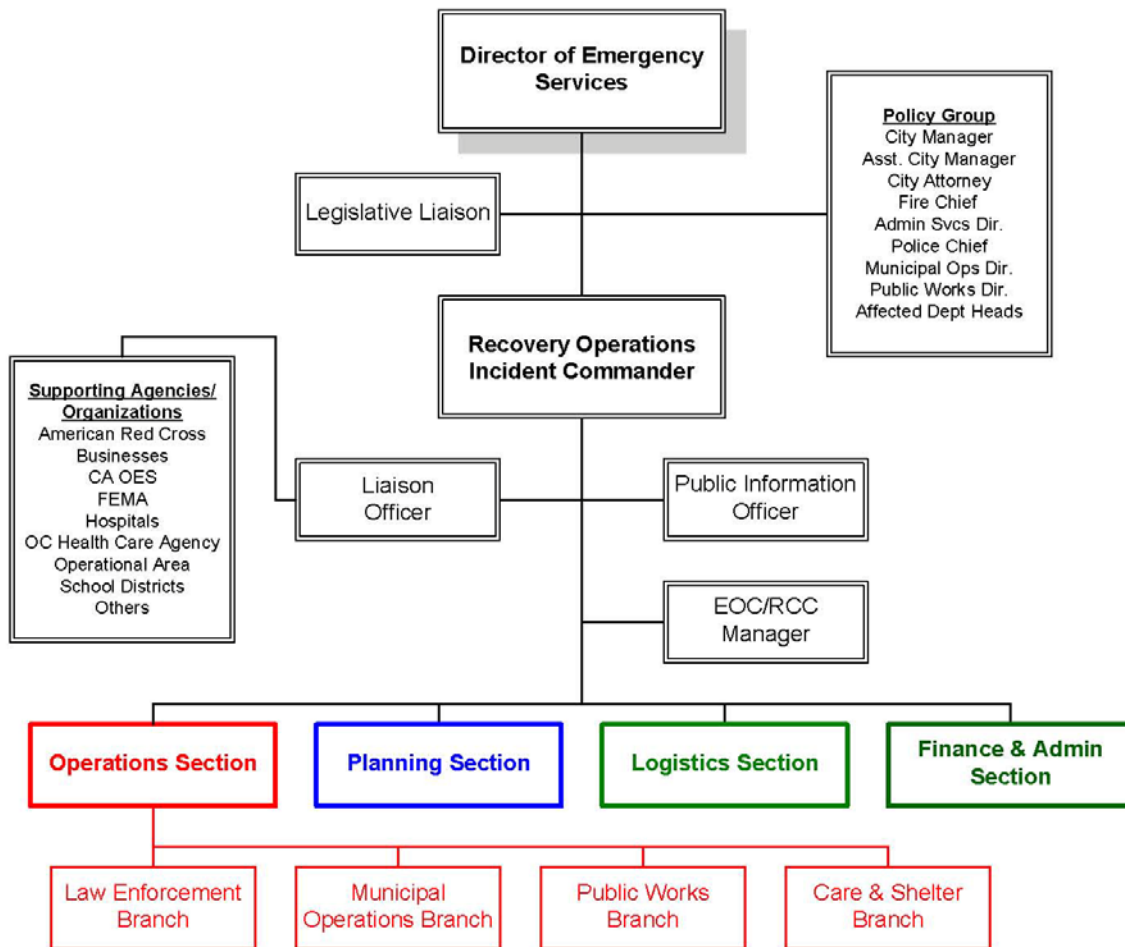
Joint Field Office (Federal)

Following a Presidential Declaration of a Disaster or Emergency, a Joint Field Office (JFO) will be established in the proximity of the disaster area. If applicable, the Recovery IC and RCC staff will coordinate closely with the Federal Coordinating Officer (FCO) and JFO staff to recover and rebuild the community. The JFO provides the direction and coordination point for federal assistance.

Typical functions of the JFO include:

- Management - Coordination of the overall federal assistance programs for individual and public assistance, as well as any existing emergency work.
- Public Information - Overall direction of public news releases on the progress of the emergency recovery actions, public notices on obtaining assistance, problems, and other pertinent information.
- Liaison - Provides coordination and cooperation with other federal, state, and local agencies.
- Operations - Responsible for damage survey teams, outreach activities, and program implementation (i.e. public assistance, individual assistance, and hazard mitigation).
- Logistics - Provides materials and resources to perform the tasks associated with recovery.
- Finance/Administration - Tracks and monitors federal costs, approves purchases, and audits activities as needed.
- Plans/Intelligence - Develops federal action plans, identifies priorities, potential problems, and documents the overall recovery actions.

Roles and Responsibilities
(EOP 30)



The Recovery organization is required to communicate and interact with all departments on recovery planning and will be responsible for forming the task force, which will prepare the City's Recovery and Reconstruction Plan. This function will be activated at the initial stages of a disaster response through the Advanced Planning Unit under the Planning Section. Additional recovery activities will be coordinated through the Cost Recovery/Cal EMA & FEMA Filing Unit under the Finance and Administration Section. This Unit will start the process of collecting the required documentation for future Cal EMA and FEMA reimbursement filings. Following a transition to recovery operations, the recovery organization, as identified above, will be responsible for short- and long-term recovery planning, redevelopment, and economic recovery efforts.

The recovery organization and the Recovery IC are responsible for the dissemination of information, the establishment of recovery priorities, and distribution of resources. A concerted effort on the part of many individuals in many departments will be required to coordinate and implement recovery operations. During a transition to recovery,

unnneeded EOC public safety personnel will be relieved from the Operations Section, while staff from departments with recovery responsibilities, such as community development, planning, public works, and finance are added.

If the recovery organization must work full time, the DES and Recovery IC must consider how it will maintain day-to-day workloads for disaster and normal City functions. City personnel will be working long hours coordinating the recovery process. Many have responsibilities during the response phase and the recovery phase. To keep staff from “burnout,” maximum work hours per day and/or week may need to be set. Exhausted, over-worked personnel should not make decisions. It may be determined that additional personnel must be hired during the recovery phase. Refer to FEMA Public Assistance Program for reimbursement opportunities.

City of Newport Beach EOC or Assignment of Recovery Responsibilities Matrix
(EOP)

P = Primary Responsibility
S = Supporting Role
C = Same as During Response Phase
1 = First Alternate to Primary
2 = Second Alternate to Primary
3 = Third Alternate to Primary
4 = Fourth Alternate to Primary
5 = Fifth Alternate to Primary

	Director of Emergency	Policy Group	Recovery Operations	Liaison Officer	Public Information Officer	Legislative Liaison	EOC/Recovery IC	Operations Section Chief	Law Enforcement Branch	Public Works Branch	Municipal Operations Branch	Care and Shelter Branch	Planning Section Chief	Logistics Section Chief	F/A Section Chief
Assistant City Manager	1	P		P											
City Attorney		P													
City Clerk													S		
City Manager	P	P				P									
City Public Information Office					P										
Recreation & Senior Services.			P									P			
Library Services Department														2	
Finance Department							1							P	P
Fire Department	3	P			1			C							
Human Resources Department														1	
Emergency Services				P			2								
Community Development Dept		P					P						P		
Police Department	4	P			2			C	P						
Municipal Operations	5	P						C			P				
Public Works Department		P						C		P					
American Red Cross												S			

*Alternate departments are only identified if they are a different department than the one tasked with primary responsibility.

Management Section Recovery Functions
Positions/Organizational Elements

Director of Emergency Services

Recovery operations will be directed by the City Manager, or designee, who serves as the Director of Emergency Services (DES). The Director of Emergency Services has the authority to direct all emergency management activity and is responsible for the City's overall emergency management policy and coordination.

Responsibilities:

- Exercises overall management responsibility for the coordination of the recovery efforts within the affected area.
- Ensures that all agency actions are accomplished within the priorities established.
- Makes executive decisions based on policies of the City Council.
- Keeps the City Council apprised of recovery operations.
- Develops and issues rules, regulations, proclamations, and orders.
- Establishes the appropriate level of organization and staffing necessary to support operations and continuously monitor the effectiveness of that organization.
- Obtains support for the City of Newport Beach and provides support to other jurisdictions as required.
- Ensures that multi-agency or inter-agency coordination is accomplished effectively.

Policy Group

Responsibilities:

- Establishes basic policies which govern the manner and means in which the City will recover from a disaster.
- Provides support to the Director of Emergency Services (DES).
- Acts as an advisor and furnishes the Recovery Operations Manager with critical data to support recovery priorities and implementation.
- Assists in making executive decisions based on policies of the City Council.
- Assists the DES in the development of rules, regulations, proclamations and orders.

Recovery Incident Commander (IC)

Responsibilities

- Responsible for all Recovery Operations.
- Coordinates redevelopment and economic recovery.
- Helps identify priorities for recovery.
- Directs resources to priority recovery projects.
- Ensures the City receives all emergency assistance and disaster recovery financial reimbursement for which it is eligible.
- Ensures the City is prepared to participate jointly with FEMA, State Cal EMA, Orange County Operational Area, and non-profit organizations to expedite disaster assistance for individuals, families, businesses, public entities, and others entitled to disaster assistance.
- Monitors and ensures that required and/or approved mitigation measures are carried out.
- Exchanges information with other local, county, state, and federal recovery centers.
- Takes advantage of disaster-caused opportunities to correct past poor land use practices, while ensuring legal safeguards for property owners and the jurisdiction are observed.
- Manages short-term and long-term housing shortages.
- Assists public agencies, residents, and business owners in applying for Cal EMA/FEMA funds.
- Informs and briefs elected officials.
- Serves as the lead for recovery-related policy decisions.

Legislative Liaison

The Legislative Liaison is a part of the management staff and may be a singular person or group that establishes and maintains personal contact with the elected officials representing the impacted area at the county/state/federal levels.

Responsibilities

- Ensures that a link has been established between the City of Newport Beach and the legislative body to pass on information and requests.
- Lobbies for the filling of requests when the established request channels are overloaded and not responsive.
- Communicates local needs to Newport Beach's legislative officers and establishes a continued link between the jurisdiction and all other potential entities as needed.
- Identifies issues that should be forwarded to the proper political entities to ensure efficient recovery.
- Works closely with the DES to ensure proper support from all legislative entities.

Public Information Office

Provides for the release of public information, and updates the media, public, and outside organizations on recovery efforts.

Responsibilities

- Formulates and releases recovery-related information.
- Coordinates media relations with the Council/City Officials.
Serves as the dissemination point for all media releases.
- Coordinates as necessary to ensure that the public within the affected area receives complete, accurate, timely, and consistent information about recovery status and relief programs and services.
- Reviews and coordinates all related information releases, including dissemination of recovery information to city departments, to keep employees apprised of the situation.
- Maintains a relationship with media representatives and holds periodic press conferences as required.
- Establishes and directs or participates in the Joint Information Center as necessary.

Liaison Officer

Responsibilities

- Acts as a point of contact for the following types of agencies/external organizations:
 - American Red Cross
 - Businesses
 - California Cal EMA
 - FEMA
 - Hospitals
 - OC Health Care Agency
 - Orange County Operational Area
 - Salvation Army
 - School Districts
- Other Acts as a point of contact that City departments can use for recovery-related information.
- Advises the Recovery Operations Manager and DES regarding emergency management issues, proper requesting, and recording procedures.
- Coordinates with agency representatives assigned to the RCC.
- Functions as a central point of contact for incoming agency representatives, provides workspace, and arranges for support as necessary.

- Interacts with other sections and branches/groups/units within the RCC to obtain information to assist in coordination and ensure the proper flow of information.

Emergency Operations Center (EOC) or Recovery Manager

Responsibilities

- Ensures physical set-up for and staffing of support staff in the City EOC or RCC (whichever is activated for recovery).
- Directs and coordinates EOC/RCC support staff/personnel.
- Interacts with other sections and branches/groups/units within the EOC/RCC to obtain information to assist in coordination and ensure the proper flow of information.
- Ensures that the EOC/RCC remains administratively functional by coordinating facility needs such as feeding, cleaning, and equipment requests.
- Coordinates the servicing of or repairs to equipment, systems, and software.
- Restocks supplies.

Planning Section (Functional Area)

The Planning Section performs perhaps one of the most critical functions in recovery operations – establishing the long-term recovery strategy for the City. Among other planning activities, the Planning Section will work with the DES, Recovery IC, the Policy Group, and other affected stakeholders in determining how to approach Newport Beach’s future.

Planning Section Recovery Functions

Planning Section Chief

Responsibilities

- Facilitates development of the Recovery and Reconstruction Plan to include considerations for:
 - Revenue losses and curtailed programs
 - Progressive legislation packages based on changing needs, recovery time frames, etc.
 - Capital projects: Curtail? - Hold? - Expand? - Implications?
 - Bonds (use, limitations, access, etc.)
 - Special interest groups (developers, historic preservation, etc.)
 - Mitigation plans to qualify for federal relief dollars
 - Short and long-range medical and mental health issues
 - Environmental use and zoning ordinances

- Expedited loan assistance process
- Demolition policies
- Interim housing, long-term housing, and low income housing
- Public hearings and appeals processes, etc.
- Infrastructure, utilities
- New development opportunities and redevelopment
- Business resumption
- Government cash flow
- Coordinated public information systems, public service announcements, and hot lines, etc.
- Legal and political issues
- Implementation activities
- Maintains information on the status/availability of resources that may be needed to carry out the primary recovery mission.
- Provides recovery briefings/written reports to the Director of Emergency Services, City Council, and other officials.
- Develops an official/detailed assessment of all damages.
- Provides documentation of SEMS compliance for disaster assistance.
- Provides after-action reports consistent with SEMS requirements (See Attachment G - After Action Report Instructions).
- Provides direction in land use and zoning issues.
- Develops alternative building regulations and code enforcement.
- Reviews and revises the general plan.
- Develops recovery situation reports.
- Documents recovery operations.
- Creates mitigation plans.

Operations Section Recovery Functions

During recovery, the Operations Section is responsible for implementing recovery strategies by leading or assisting in the rebuilding of infrastructure, provision of human services, and other operations (for which Newport Beach has direct responsibility) to restore the jurisdiction to normal day-to-day operations.

Operations Section Chief

Responsibilities

- Implements the Recovery and Reconstruction Plan.
- Manages, coordinates, or assists organizations in implementing efforts to support recovery.
- Implements executive decisions with respect to priorities and recovery plans.
- Participates in development of the Recovery Plan.
- Supervises the Operations Section in accordance with the Recovery Plan.

- Directs the preparation of department operational plans.
- Determines the need for resources, as required by recovery efforts.
- Requests mutual aid and other necessary resources.
- Notifies the Planning Section of the status of deployed and available resources.

Law Enforcement Branch

Responsibilities

- Ensures the security of damaged or temporary critical/essential facilities.
- Ensures civil order is maintained in light of the disaster situation.
- Takes overall responsibility for all law enforcement activities needed to support recovery operations.
- Mobilizes, deploys, and organizes resources for law enforcement, traffic control, and perimeter control operations.
- Surveys the City and reports an assessment of damage to the EOC/RCC.
- Provides security for all City facilities, operations, and evacuated areas as needed.
- Notifies the Planning Section of the status of deployed and available resources.

Municipal Operations Branch

Responsibilities

- Removes debris.
- Coordinates route clearance priority and restoration.
- Provides public and private building safety inspections.
- Coordinates restoration of medical facilities and services.
- Coordinates restoration of government facility functions.
- Secures and closes off unsafe areas.
- Conducts damage assessment inspections and reports on impact to public facilities.
- Coordinates repairs of infrastructure damage.
- Demolishes hazardous structures.
- Provides corrective measures to sanitation services.
- Assists in vector control.
- Rebuilds, repairs, and/or returns the City's electrical system to full operational capacity.
- Rebuilds, repairs, and/or returns the City's water system to full operational capacity.

- Maintains, restores, or establishes electric power and water services to meet the requirements of recovery functions and the essential needs of the community in accordance with the Recovery and Restoration Plan.
- Provides for the management and procurement of all required utilities: power, water, gas, and telephone.
- Coordinates with outside vendors, providers, and contractors as necessary (i.e., Southern California Edison, Southern California Gas Company, AT&T, etc.).
- Maintains the status of utility systems during long-term recovery.
- Notifies the Planning Section of the status of deployed and available resources.

Care and Shelter Branch

Responsibilities

- Facilitates assistance to affected residents in addressing economic and psycho-social concerns.
- Attempts to restore families and the community to pre-disaster conditions.
- Arranges crisis counselors for the community, as needed.
- Gathers information about victim assistance programs.
- Accomplishes objectives of the Recovery and Reconstruction Plan.
- Notifies the Planning Section of the status of deployed and available resources.

Logistics Section Recovery Functions

The Logistics Section is responsible for obtaining the resources necessary to carry out recovery operations.

Logistic Section Chief

Responsibilities

- Provides support for the recovery/replenishing of all government operations (e.g., fire, law enforcement, etc.).
- Prioritizes and coordinates the use and distribution of recovery resources not already managed by other City departments.
- Finds/allocates office space for departments in need.
- Provides recovery supplies and equipment.
- Provides vehicles and support personnel as needed.
- Supports the Care and Shelter Branch in addressing sheltering and housing shortage issues.

Finance & Administration Section Recovery Functions

The Finance/ Administration Section handles all of the jurisdiction's recovery-related financial transactions.

Finance Section Chief

Responsibilities

- Brings parties together (along with the Emergency Services Coordinator) to facilitate the FEMA/Cal EMA claim process.
- Activates and maintains a Disaster Accounting System.
- Coordinates documentation of costs with other sections and departments.
- Acts as a liaison with disaster assistance agencies and coordinates the recovery of costs as allowed by law.
- Files reimbursement claims for costs associated with response and recovery operations.
- Collects and secures all required financial accounting data for expected audits.
- Files required disaster assistance applications with state and federal agencies.
- Handles the Cal EMA and/or FEMA audits.
- Manages public finances.
- Prepares and maintains the budget.
- Develops and maintains contracts.
- Processes accounting and claims.
- Collects taxes.
- Manages insurance settlements.

DISASTER ASSISTANCE PROGRAMS (EOP 34)

Disaster assistance is divided into two forms: individual and public assistance. Individual assistance consists of services provided to individuals and businesses. Public assistance provides assistance to government entities and certain non-profit organizations. Both of these programs are only available after a presidential disaster declaration. They will be managed jointly by Cal EMA and the Federal Emergency Management Agency (FEMA), and coordinated with the City's Emergency Services Coordinator.

Individual Assistance

Individual assistance is money or direct assistance to individuals, families, and businesses in an area where property has been damaged or destroyed and whose losses are not covered by insurance. It is meant to help with critical expenses that cannot be covered in other ways. This assistance is not intended to restore damaged property to its condition before the disaster.

Some housing assistance funds are available through the Individuals and Households Program, however most disaster assistance from the Federal government is in the form of loans administered by the Small Business Administration.

The following FEMA and SBA Programs are available by calling the FEMA registration line (800-745-0243; TTY users contact TRS for a connection) or online at <http://www.fema.gov/assistance/register>:

Individual Assistance Housing Program

- *Temporary Housing* (a place to live for a limited period of time): Money is available to rent a different place to live, or to move to a government provided housing unit when rental properties are not available.
- *Repair*: Money is available to homeowners to repair damage from the disaster to their primary residence that is not covered by insurance. The goal is to make the damaged home safe, sanitary, and functional.
- *Replacement*: Money is available to homeowners to replace their home destroyed in the disaster that is not covered by insurance. The goal is to help the homeowner with the cost of replacing their destroyed home.

Other than Housing Needs: Money is available for necessary expenses and serious needs caused by the disaster. This includes disaster-related medical and dental costs, disaster-related funeral and burial costs, clothing, household items (room furnishings, appliances), tools (specialized or protective clothing and equipment) required for

citizens' jobs, necessary educational materials (computers, school books, supplies), fuels for primary heat sources (heating oil, gas, firewood), clean-up items (wet/dry vacuum, air purifier, dehumidifier), repair to disaster damaged vehicles, moving and storage expenses related to the disaster (moving and storing property to avoid additional disaster damage while disaster-related repairs are being made to the home), other necessary expenses, or serious needs as determined by FEMA.

Small Business Administration (SBA Loans)

The U.S. Small Business Administration (SBA) can make federally subsidized loans to repair or replace homes, personal property or businesses that sustained damages not covered by insurance. The Small Business Administration can provide three types of disaster loans to qualified homeowners and businesses:

- *Home disaster loans* to homeowners and renters to repair or replace disaster-related damages to home or personal property.
- *Business physical disaster loans* to business owners to repair or replace disaster-damaged property, including inventory, and supplies.
- *Economic injury disaster loans*, which provide capital to small businesses and to small agricultural cooperatives to assist them through the disaster recovery period.

Legal Services

FEMA, through an agreement with the Young Lawyers Division of the American Bar Association, provides free legal assistance to disaster victims.

Crisis Counseling

The Crisis Counseling Assistance and Training Program (CCP) is designed to provide supplemental funding to States for short-term crisis counseling services to people affected in presidentially declared disasters.

Disaster Unemployment Assistance

The Disaster Unemployment Assistance (DUA) program provides unemployment benefits and re-employment services to individuals who have become unemployed because of major disasters.

Non-profit Charitable Organization

Volunteer and charitable organizations such as the American Red Cross and the Salvation Army are available to provide physical and psychological support. The American Red Cross provides shelter, food, clothing, and temporary housing. The Salvation Army provides food, clothing, and spiritual support.

Public Assistance

The objective of the FEMA Public Assistance (PA) Grant Program is to provide assistance to States, local governments (Newport Beach), and certain nonprofit organizations through supplemental federal disaster grant assistance for the cost of emergency operations and the repair, replacement, or restoration of disaster-damaged publicly owned facilities and the facilities of certain Private Nonprofit (PNP) organizations. This is a cost-share program where the federal share of assistance is not less than 75% of the eligible cost for the emergency measures and permanent restoration. The grantee (the State of California) determines how the non-federal share (up to 25%) is split with the subgrantees (eligible applicants- Newport Beach).

The state cost share is authorized through the *State-Natural Disaster Assistance Act* (NDAA). NDAA is available to counties, cities, and special districts to repair disaster-related damages to public buildings, levees, flood control works, channels, irrigation works, city streets, county roads, bridges, and other public works, except facilities used solely for recreational purposes. This program offers up to 75% of the eligible cost to repair, restore, reconstruct, or replace public property on facilities, to cover direct and indirect costs of grant administration with the Cal EMA Director's concurrence, and to cover the cost of overtime and supplies used for response.

DES and Recover IC should note that a condition for implementation of NDAA is the declaration of a local state of emergency and the Cal EMA Director's concurrence. There are also requirements for a state and federal disaster declaration.

The RCC will be responsible for gathering information and submitting claims for reimbursement to Cal EMA and FEMA. A post-disaster applicant's briefing will occur, sponsored by Cal EMA and FEMA, to describe the program, eligibility rules, and filing procedures and deadlines. Participation in these activities by a number of the RCC staff is critical to take full advantage of the program and minimize appeal proceedings later on. For the most current information about public assistance program eligibility and rules, refer to Cal EMA and FEMA guidance.

AFTER-ACTION REPORTING

(EOP 33)

Standardized Emergency Management System (SEMS) regulations require any city, city and county, or county declaring a local emergency for which the governor proclaims a state of emergency to complete and transmit an after-action report to Cal EMA within 90 days of the close of the incident period.

The after-action report will provide, at a minimum, response actions taken, applications of SEMS, suggested modifications to SEMS, necessary mediations to plans and procedures, identified training needs, and recovery activities to date.

The after-action report will serve as a source for documenting Newport Beach's response activities and identifying areas of concern and success. It will also be utilized to develop and describe a work plan for implementing improvements.

The after-action report will be a composite document for all SEMS levels and will provide a broad perspective on the incident, reference more detailed documents, and address all areas specified in regulations. It will be coordinated with, but not encompass, hazard mitigation. Hazard mitigation efforts may be included in the "recovery actions to date" portion of the after-action report.

The Emergency Services Coordinator will be responsible for the completion and distribution of the Newport Beach after-action report, including sending it to the Governor's Southern Region Office of Emergency Management Agency within the required 90 day period.

Data for the after-action report will be collected from a questionnaire. Documents developed during the disaster response and initial recovery phases will be used, and interviews with emergency personnel will occur as needed. The most recent After-Action Report Instructions and Report Forms are found in Attachment G.